

GROßBRITANNIEN

Retained Regulation (EU) 2016/2031 of the European Parliament of the Council of 26 October 2016 on protective measures against pests of plants (as amended by Regulation (EU) 2017/625 of the European Parliament and of the Council of 15 March 2017)

(Beibehaltene Verordnung (EU) 2016/2031 des Europäischen Parlaments und des Rates vom 26. Oktober 2016 über Maßnahmen zum Schutz vor Pflanzenschädlingen (geändert durch Verordnung (EU) 2017/625 des Europäischen Parlaments und des Rates vom 15. März 2017)

Quelle: <https://eur-lex.europa.eu/legal-content/> , <https://www.legislation.gov.uk>, aufgerufen am 05.04.2021

(Redaktionelle Bearbeitung und Konsolidierung, Julius Kühn-Institut, Bundesforschungsinstitut für Kulturpflanzen, Institut für nationale und internationale Angelegenheiten der Pflanzengesundheit, 31.08.2023)

Die Wiedergabe erfolgt ohne Gewähr.

Inoffiziell konsolidierte Fassung. Geändert durch:

- **M6** The Plant Health and Trade in Animals and Related Products (Amendment) Regulations 2022, SI 2022 No. 1367
- **M5** The Animals and Animal Health, Feed and Food, Plants and Plant Health (Amendment) Regulations 2022, SI 2022 No. 1315
- **M4** The Official Controls, Plant Health, Seeds and Seed Potatoes (Amendment etc.) Regulations 2021, SI 2021 No. 426
- **M3** The Plant Health (Amendment) (EU Exit) Regulations 2021, SI 2021 No. 79
- **M2** The Official Controls (Animals, Feed and Food, Plant Health etc.) (Amendment) (EU Exit) (No. 2) Regulations 2020, SI 2020 No. 1631
- **M1** The Plant Health (Amendment etc.) (EU Exit) Regulations 2020, SI 2020 No. 1482

Retained Regulation (EU) 2016/2031 of the European Parliament of the Council of 26 October 2016 on protective measures against pests of plants (as amended by Regulation (EU) 2017/625 of the European Parliament and of the Council of 15 March 2017)

CHAPTER I

Subject matter, scope and definitions

Article 1

Subject matter and scope

1. This Regulation establishes rules to determine the phytosanitary risks posed by any species, strain or biotype of pathogenic agents, animals or parasitic plants injurious to plants or plant products ('pests') and measures to reduce those risks to an acceptable level.
2. Where there is evidence that non-parasitic plants, other than those regulated under Article 4(1) of Regulation (EU) No 1143/2014, pose phytosanitary risks which would have a severe economic, social and environmental impact on ► **M1** Great Britain ◀, those non-parasitic plants may be considered as pests for the purposes of this Regulation.
3. ► **M1** ----- ◀

Article 2

Definitions

For the purposes of this Regulation, the following definitions apply:

- (1) 'plants' means living plants and the following living parts of plants:
- (a) seeds, in the botanical sense, other than those not intended for planting;
 - (b) fruits, in the botanical sense;
 - (c) vegetables;
 - (d) tubers, corms, bulbs, rhizomes, roots, rootstocks, stolons;
 - (e) shoots, stems, runners;
 - (f) cut flowers;
 - (g) branches with or without foliage;
 - (h) cut trees retaining foliage;
 - (i) leaves, foliage;
 - (j) plant tissue cultures, including cell cultures, germplasm, meristems, chimaeric clones, micro-propagated material;
 - (k) live pollen and spores;
 - (l) buds, budwood, cuttings, scions, grafts;

- (2) 'plant products' means unmanufactured material of plant origin and those manufactured products that, by their nature or that of their processing, may create a risk of the spread of quarantine pests.

Except where otherwise provided in the ► **M1** Phytosanitary Conditions Regulation, other retained EU law relating to plant health or regulations made under Article 28(1) or 30(1) ◀, wood shall only be considered as a plant product if it fulfils one or more of the following criteria:

- (a) it retains all or part of its natural round surface, with or without bark;
 - (b) it has not retained its natural round surface due to sawing, cutting or cleaving;
 - (c) it is in the form of chips, particles, sawdust, wood waste, shavings or scrap, and has not undergone processing involving the use of glue, heat or pressure or a combination thereof to produce pellet, briquettes, plywood or particle board;
 - (d) it is, or is intended to be, used as packaging material, whether or not it is actually in use for transport of goods;
- (3) 'planting' means any operation for the placing of plants in a growing medium, or by grafting or similar operations, to ensure their subsequent growth, reproduction or propagation;
- (4) 'plants for planting' means plants intended to remain planted, to be planted or to be replanted;
- (5) 'other object' means any material or object, other than plants or plant products, capable of harbouring or spreading pests, including soil or growing medium;

- (6) 'competent authority' means:
- **M1** (a) in relation to England, the Secretary of State or the Forestry Commissioners;
 - (b) in relation to Scotland, the Scottish Ministers; and
 - (c) in relation to Wales, the Welsh Ministers ; ◀
- (7) 'lot' means a number of units of a single commodity, identifiable by its homogeneity of composition, origin and other relevant elements, forming part of a consignment;
- (8) 'trade unit' means the smallest commercial or other useable unit applicable to the marketing stage concerned, which may be the subset or the whole of a lot;
- (9) 'professional operator' means any person, governed by public or private law, involved professionally in, and legally responsible for, one or more of the following activities concerning plants, plant products and other objects:
- (a) planting;
 - (b) breeding;
 - (c) production, including growing, multiplying and maintaining;
 - (d) introduction into, and movement within and out of, ► **M4** Great Britain ◀;
 - (e) making available on the market;
 - (f) storage, collection, dispatching and processing;
- (10) 'registered operator' means a professional operator registered in accordance with Article 65;
- (11) 'authorised operator' means a registered operator authorised by the competent authority to issue ► **M3** UK ◀ plant passports in accordance with Article 89, to apply a mark in accordance with Article 98, or to issue attestations in accordance with Article 99;
- (12) 'final user' means any person acting for purposes which are outside that person's trade, business or profession who acquires plants or plant products for personal use;
- (13) 'test' means an official examination, other than visual, to determine if pests are present or to identify pests;
- (14) 'treatment' means a procedure, whether official or non-official, for the killing, inactivation or removal of pests, or for rendering those pests infertile, or for the devitalisation of plants or plant products;
- (15) 'incidence' means the proportion or number of units in which a pest is present in a sample, consignment, field or other defined population;
- (16) 'establishment' means the perpetuation, for the foreseeable future, of a pest within an area after entry;
- (17) 'eradication' means the application of phytosanitary measures to eliminate a pest from an area;
- (18) 'containment' means the application of phytosanitary measures in and around an infested area to prevent the spread of a pest;

- (19) 'quarantine station' means any official station for holding pests, plants, plant products or other objects in quarantine;
- (20) 'confinement facility' means any facility, other than quarantine stations, where pests, plants, plant products or other objects are kept under confinement conditions;
- (21) 'traceability code' means a letter, numerical or alphanumeric code that identifies a consignment, lot or trade unit, used for traceability purposes, including codes referring to a lot, batch, series, date of production or professional operator documents;
- (22) 'phytosanitary measure' means any official measure having the purpose to prevent the introduction or spread of quarantine pests or to limit the economic impact of regulated non-quarantine pests.
- (23) 'Regulation (EU) 2017/625' means Regulation (EU) 2017/625 of the European Parliament and of the Council on official controls and other official activities performed to ensure the application of food and feed law, rules on animal health and welfare, plant health and plant protection products(13);
- (24) 'appropriate authority' has the meaning given in Article 2a;
- (25) 'appropriate computerised information management system' means the computerised information management system for official controls referred to in Article 131 of Regulation (EU) 2017/625;
- (26) 'CD authority', in relation to a CD territory, means the authority that is officially responsible in that territory for the implementation of rules in relation to protective measures against pests of plants;
- (27) 'CD pest-free area' means a CD territory or an area in that territory which has been established as a pest-free area in accordance with ISPM4 in respect of a CD quarantine pest;
- (28) 'CD quarantine pest' means a pest which:
 - (i) is present in Great Britain, but not in a CD territory that is recognised as free from that pest in accordance with ISPM4; and
 - (ii) may not, under the relevant CD legislation, be introduced into the CD territory;
- (29) 'CD territory' means the Bailiwick of Guernsey, the Bailiwick of Jersey or the Isle of Man;
- (30) 'Commission Delegated Regulation (EU) 2019/1702' means Commission Delegated Regulation (EU) 2019/1702 establishing a list of priority pests(14);
- (31) 'GB pest-free area' means an area in Great Britain which has been established as a pest-free area in accordance with ISPM4 in respect of a PFA quarantine pest;
- (32) 'GB quarantine pest' has the meaning given in Article 4;
- (33) 'GB regulated non-quarantine pest' has the meaning given in Article 36;
- (34) 'IPPC' means the International Plant Protection Convention 1951(15);
- (35) 'ISPM4' means International Standard for Phytosanitary Measures No. 4 of November 1995 on the requirements for the establishment of pest free areas, prepared by the

Secretariat of the IPPC established by the Food and Agriculture Organisation of the United Nations(16);

- (36) 'ISPM15' means International Standard for Phytosanitary Measures No. 15 of March 2002 on guidelines for regulating wood packaging material in international trade, prepared by the Secretariat of the IPPC established by the Food and Agriculture Organisation of the United Nations(17);
- (37) 'PFA quarantine pest' has the meaning given in Article 32(1);
- (38) 'the Phytosanitary Conditions Regulation' means Commission Implementing Regulation (EU) 2019/2072 establishing uniform conditions for the implementation of Regulation (EU) 2016/2031 of the European Parliament and the Council, as regards protective measures against pests of plants(18);
- (39) 'priority pest' has the meaning given in Article 6(1);
- (40) 'provisional GB quarantine pest' has the meaning given in Article 30(A1);
- (41) 'relevant CD legislation', in relation to a CD territory, means legislation on protective measures against pests of plants that is in force in that CD territory;
- (42) 'relevant legislation on the marketing of seeds and other propagating material' means retained EU law that implemented:
 - (a) in relation to fodder plant seed, Council [Directive 66/401/EEC](#) on the marketing of fodder plant seed(19);
 - (b) in relation to cereal seed, Council [Directive 66/402/EEC](#) on the marketing of cereal seed(20);
 - (c) in relation to propagating material of ornamental plants, Council [Directive 98/56/EC](#) on the marketing of propagating material of ornamental plants(21);
 - (d) in relation to forest reproductive material, Council [Directive 1999/105/EC](#) on the marketing of forest reproductive material(22);
 - (e) in relation to beet seed, Council [Directive 2002/54/EC](#) on the marketing of beet seed(23);
 - (f) in relation to vegetable seed, Council [Directive 2002/55/EC](#) on the marketing of vegetable seed(24);
 - (g) in relation to seed potatoes, Council [Directive 2002/56/EC](#) on the marketing of seed potatoes(25);
 - (h) in relation to seed of oil and fibre plants, Council [Directive 2002/57/EC](#) on the marketing of seed of oil and fibre plants(26);
 - (i) in relation to vegetable propagating and plant material, other than seed, Council [Directive 2008/72/EC](#) on the marketing of vegetable propagating and plant material, other than seed(27);
 - (j) in relation to fruit plant propagating material and fruit plants intended for fruit production, Council [Directive 2008/90/EC](#) on the marketing of fruit plant propagating material and fruit plants intended for fruit production(28);

- (k) 'UK NPPO' means the national plant protection organisation of the United Kingdom;
- (l) 'UK plant passport' has the meaning given in Article 78.

► M1 Article 2a

Meaning of appropriate authority

1. In this Regulation, 'the appropriate authority' means:
 - (a) in the case of regulations applying in relation to England, the Secretary of State;
 - (b) in the case of regulations applying in relation to Wales, the Welsh Ministers;
 - (c) in the case of regulations applying in relation to Scotland, the Scottish Ministers.
2. But 'the appropriate authority' is the Secretary of State:
 - (a) if consent is given:
 - (i) in the case of regulations applying in relation to Wales, by the Welsh Ministers;
 - (ii) in the case of regulations applying in relation to Scotland, by the Scottish Ministers; or
 - (b) in relation to any provision in regulations applying in relation to Great Britain which is outside devolved competence.
3. For the purposes of paragraph 2, a provision is "outside devolved competence":
 - (a) in relation to Wales, if it would not be within the legislative competence of the Senedd Cymru if it were contained in an Act of the Senedd Cymru (assuming, in the case of provision that could only be made with the consent of a Minister of the Crown within the meaning of the Ministers of the Crown Act 1975, that such consent were given);
 - (b) in relation to Scotland, if it would not be within the legislative competence of the Scottish Parliament if it were contained in an Act of that Parliament. ◀

► M1 Article 2b

Meaning of third country and related expressions

1. In this Regulation:

'third country' means any country or territory outside the British Islands; 'EU Member State' means a member State.
 2. For the purposes of this Regulation, any reference to the territory of an EU Member State or the European Union is not to be treated as including the Canary Islands, Ceuta, Melilla or the French Overseas Departments. ◀
- M3 3. Any reference in this Regulation (however expressed) to plants, plant products or other objects originating in or from a third country, or dispatched from a third country, does not include:
- a) any plants, plant products or other objects in so far as they are part of a relevant NI trade unit; or
 - b) any wood packaging material in use in the transport of a relevant NI trade unit. ◀

► M3 Article 2c

Meaning of qualifying Northern Ireland goods and other expressions relating to qualifying Northern Ireland goods ◀

► M3 In this Regulation:

- (a) 'EU Plant Health Regulation' means Regulation (EU) 2016/2031 of the European Parliament and of the Council on protective measures against pests of plants, as it applies in Northern Ireland by virtue of the Protocol on Ireland/Northern Ireland in the EU withdrawal agreement(2);
- (b) 'other permitted plant passport' means a plant passport which has been issued in respect of a relevant NI trade unit before its introduction into Great Britain and by which, pursuant to paragraph 2 of Article 95a, that trade unit is permitted to be accompanied if moved within Great Britain;
- (c) 'qualifying Northern Ireland goods' has the meaning given in the Definition of Qualifying Northern Ireland Goods (EU Exit) Regulations 2020(3);
- (d) 'relevant NI trade unit' means a trade unit of plants, plant products or other objects listed in Annex 13 or 14 to the Phytosanitary Conditions Regulation which consists entirely of qualifying Northern Ireland goods, other than any such trade unit in respect of which a UK plant passport has been issued in accordance with Articles 83 to 86, 87, 89 and 90. ◀

CHAPTER II

Quarantine pests

Section 1

Quarantine pests

Article 3

Definition of quarantine pests

A pest is a 'quarantine pest', with respect to a defined territory, if it fulfils all of the following conditions:

- (a) its identity is established, within the meaning of point (1) of Section 1 of Annex I;
- (b) it is not present in the territory, within the meaning of point (2)(a) of Section 1 of Annex I, or, if present, is not widely distributed within that territory, within the meaning of points (2)(b) and (c) of Section 1 of Annex I;
- (c) it is capable of entering into, becoming established in and spreading within the territory, or, if present in the territory, but not widely distributed, is capable of entering into, becoming established in and spreading within those parts of that territory where it is absent, within the meaning of point (3) of Section 1 of Annex I;
- (d) its entry, establishment and spread would, within the meaning of point (4) of Section 1 of Annex I, have an unacceptable economic, environmental or social impact on that territory, or, if present but not widely distributed, for those parts of the territory where it is absent; and
- (e) feasible and effective measures are available to prevent the entry into, establishment in or spread of that pest within, that territory and to mitigate the risks and impact thereof.

Section 2

► M1 GB ◀ quarantine pests

Article 4

Definition of ► M1 GB ◀ quarantine pests

A quarantine pest is a ► M1 GB ◀ quarantine pest' if the defined territory referred to in the introductory part of Article 3 is ► M1 Great Britain ◀ and if it is included in the list ► M1 in Annex 2 to the Phytosanitary Conditions Regulation ◀.

Article 5

Prohibition of introduction, movement, holding, multiplication or release of ► M1 GB ◀ quarantine pests

1. A ► M1 GB ◀ quarantine pest shall not be introduced into, moved within, or held, multiplied or released in, the ► M1 Great Britain ◀.
2. ► M1 -----
3. ► M1 The appropriate authority may by regulations modify the list of GB quarantine pests in Annex 2 to the Phytosanitary Conditions Regulation where the results of an assessment show, in respect of Great Britain, that a pest which is not included in that list fulfils the conditions listed in Article 3, or that a pest which is included in that list no longer fulfils one or more of those conditions. ◀
4. ► M1 ----- ◀

Article 6

Priority pests

1. ► M1 GB ◀ quarantine pests are 'priority pests' if they fulfil all of the following conditions:
 - (a) they fulfil, as regards ► M1 Great Britain ◀, one or more of the conditions set out in point (2) of Section 1 of Annex I;
 - (b) their potential economic, environmental or social impact is the most severe in respect of the ► M1 Great Britain ◀ as set out in Section 2 of Annex I;
 - (c) they are ► M1 included in the list in the Annex to Commission Delegated Regulation (EU) 2019/1702 ◀.
2. ► M1 The appropriate authority may by regulations amend the list of priority pests in the Annex to Commission Delegated Regulation (EU) 2019/1702 where the results of an assessment show that a GB quarantine pest which is not included in that list fulfils the conditions referred to in points (a) and (b) of paragraph 1, or that a pest which is included in that list no longer fulfils either of those conditions. ◀

Article 7

Amendment of Section 1 of Annex I

The ► M1 appropriate authority may by regulations amend ◀ Section 1 of Annex I in order to adapt it to the development of scientific and technical knowledge and of relevant international standards.

Article 8

►M1 GB ◄ quarantine pests used for official testing, scientific or educational purposes, trials, varietal selections or breeding

1. By way of derogation from Article 5(1), ►M1 a competent authority ◄ may, on application, temporarily authorise the introduction into, the movement within, and the holding and multiplication in, ►M1 in the relevant territory of GB quarantine pests or provisional GB quarantine pests ◄ for official testing, scientific or educational purposes, trials, varietal selections, or breeding.

An authorisation shall be granted for the activity concerned only if adequate restrictions are imposed to ensure that the introduction, movement, holding, multiplication or use of the pest concerned does not result in its establishment or spread within the ►M1 relevant ◄ territory, taking into account the identity, biology and means of dispersal of the pest, the activity envisaged, the interaction with the environment and other relevant factors relating to the risk posed by that pest.

2. Authorisations granted pursuant to paragraph 1 shall include all of the following conditions:

- (a) the pest is to be kept in a location and under conditions which:
 - (i) the competent ►M1 authority considers ◄ to be appropriate; and
 - (ii) are referred to in the authorisation;
- (b) the activity involving the pest is to be carried out in a quarantine station or a confinement facility designated by the competent authority in accordance with Article 60 and referred to in the authorisation;
- (c) the activity involving the pest is to be carried out by personnel:
 - (i) whose scientific and technical competence is considered to be appropriate by the competent authority; and
 - (ii) who are referred to in the authorisation;
- (d) the pest is to be accompanied by the authorisation when introduced into, moved within, or held or multiplied in, the ►M1 relevant ◄ territory.

3. ►M1 An authorisation ◄ granted pursuant to paragraph 1 shall be limited as to the quantity of the pest that can be introduced, moved, held, multiplied or used and the length of time that ►M1 is ◄ adequate for the activity concerned. ►M1 The authorisation ◄ shall not exceed the capacity of the designated quarantine station or confinement facility.

►M1 An authorisation ◄ shall include the restrictions necessary to adequately eliminate the risk of establishment and spread of the ►M1 GB quarantine pest or provisional GB quarantine pest to which the authorisation relates ◄.

4. The competent authority shall monitor compliance with the conditions referred to in paragraph 2 and the limitation and the restrictions referred to in paragraph 3 and take the necessary action in the event of non-compliance. Where appropriate, that action shall be the revocation of the authorisation referred to in paragraph 1.

5. The ►M1 appropriate authority may by regulations lay ◄ down detailed rules concerning:

- (a) ►M1 ----- ◄;
- (b) the procedure and conditions for granting the authorisation referred to in paragraph 1; and

- (c) the monitoring of compliance and the actions to be taken in the event of non-compliance, as referred to in paragraph 4.

Article 9

Notification of an imminent danger

1. ► **M1** ----- ◀
2. ► **M1** ----- ◀
3. ► **M1** Where a professional operator has any evidence concerning an imminent danger of the entry of a GB quarantine pest or a provisional GB quarantine pest into Great Britain, or into a part of Great Britain where it is not yet present, the professional operator must immediately notify the competent authority. ◀

Article 10

Official confirmation by the competent authorities of the presence of a ► **M1 GB ◀ quarantine pest**

Where a competent authority suspects or has received evidence concerning the presence of a ► **M1** GB quarantine pest or a provisional GB quarantine pest in a part of its territory ◀ where that pest was previously not known to be present, or in a consignment of plants, plant products or other objects introduced into, intended to be introduced into, or moved within, the ► **M1** Great Britain ◀, it shall immediately take any measures necessary to confirm on the basis of a diagnosis of an official laboratory as referred to in Article 37 of Regulation (EU) 2017/625 ('to officially confirm'), whether that pest is present or not.

Pending the official confirmation of the presence of the pest, the ► **M1** competent authority ◀ shall, where appropriate, take phytosanitary measures to eliminate the risk of spread of the pest.

The suspicion or evidence referred to in the first paragraph of this Article may be based on any information received pursuant to Articles 14 and 15, or any other source.

Article 11

Notification of ► **M1 GB quarantine pests by competent authorities ◀**

► **M1** A competent authority that officially confirms any of the situations described in paragraph 2 must immediately provide details of the situation to the other competent authorities. ◀

► **M1** The situations are: ◀

- (a) the presence in its territory of a ► **M1** GB ◀ quarantine pest ► **M6** or provisional GB quarantine pest ◀ not known to be present there;
- (b) the presence of a ► **M1** GB ◀ quarantine pest ► **M6** or provisional GB quarantine pest ◀ in a part of its territory where it was previously not present;
- (c) the presence in its territory of a ► **M1** GB ◀ quarantine pest ► **M6** or provisional GB quarantine pest ◀ in a consignment of plants, plant products or other objects introduced into, intended to be introduced into, or moved within, the ► **M1** Great Britain ◀.

► **M1** ----- ◀

Article 12

Information on ►M1 GB ◀ quarantine pests to be provided to professional operators by the competent authorities

1. Where one of the situations referred to in Article 11 ►M1 (2)◀ is officially confirmed, the competent authority shall ensure that professional operators whose plants, plant products or other objects may be affected are informed of the presence of the ►M1 GB ◀ quarantine pest ►M6 or provisional GB quarantine pest◀ without delay.
2. ►M1 ----- ◀

Article 13

Information on priority pests to be provided to the public by the competent authorities

Where one of the situations referred to in points (a) and (b) of ►M1 ----- ◀ Article 11 ►M1 (2)◀ is officially confirmed as regards a priority pest, the competent authority shall inform the public about the measures it has taken or intends to take and about any measures to be taken by relevant categories of professional operators or other persons.

Article 14

Measures to be taken immediately by professional operators

1. Where a professional operator suspects or becomes aware that a ►M1 GB quarantine pest or a provisional GB quarantine pest ◀ is present in plants, plant products or other objects which are under that operator's control, it shall immediately notify the competent authority thereof, in order for that competent authority to take actions in accordance with Article 10. Where appropriate, the professional operator shall also immediately take precautionary measures to prevent the establishment and spread of that pest.
2. The competent authority may decide that the notification referred to in paragraph 1 is not required where a specific pest is known to occur in an area. ►M1 The competent authority must promptly publish any decision made under this paragraph in such manner as the competent authority considers is appropriate to bring it to the attention of the professional operators who may be affected by the decision.◀
3. Where a professional operator receives an official confirmation concerning the presence of a ►M1 GB ◀ quarantine pest ►M6 or provisional GB quarantine pest◀ in plants, plant products or other objects which are under that operator's control, it shall consult the competent authority regarding the action to be taken and shall proceed, as applicable, with the actions referred to in paragraphs 4 to 7.
4. The professional operator shall immediately take the necessary measures to prevent the spread of that pest. Where the competent authority has provided instructions concerning those measures, the professional operator shall act in accordance with those instructions.
5. Where so instructed by the competent authority, the professional operator shall take the necessary measures to eliminate the pest from the plants, plant products or other objects concerned and from that operator's premises, land, soil, water or other infested elements which are under its control.
6. Unless otherwise instructed by the competent authority, the professional operator shall, without delay, withdraw from the market the plants, plant products and other objects which are under that operator's control and in which the pest could be present.

Where those plants, plant products or other objects have left the control of the professional operator, the professional operator shall, unless otherwise instructed by the competent authority, immediately:

- (a) inform the persons in the trade chain to whom those plants, plant products and other objects have been supplied of the presence of the pest;
- (b) provide those persons with guidelines on the necessary measures to be taken during shipment of the respective plants, plant products and other objects to reduce the risk of spread or escape of the pests concerned; and
- (c) recall those plants, plant products or other objects.

7. Where paragraph 1, 3, 4, 5 or 6 of this Article applies, the professional operator shall, on request, provide to the competent authority all information which is relevant for the members of the public. Without prejudice to Article 13, if action is necessary with regard to the plants, plant products or other objects in which the respective pest may be present, the competent authority shall inform the public as soon as possible of that fact.

Article 15

Measures to be taken by persons other than professional operators

1. Where any person other than a professional operator becomes aware of the presence of a ►M1 GB ◀ quarantine pest ►M6 or provisional GB quarantine pest ◀ or has reason to suspect such a presence, that person shall immediately notify the competent authority. Where that notification is not made in writing, the competent authority shall officially record it. If so requested by the competent authority, that person shall provide that authority with the information which is in its possession concerning that presence.

2. The competent authority may decide that the notification referred to in paragraph 1 is not required where a specific pest is known to be present in an area.

►M1 2A. The competent authority must promptly publish any decision made under paragraph 2 in such manner as the competent authority considers is appropriate to bring the decision to the attention of the public. ◀

3. The person who made the notification referred to in paragraph 1 shall consult the competent authority on the action to be taken and shall, in accordance with the instructions of the competent authority, take the measures necessary to prevent the spread of that pest and to eliminate it from the plants, plant products or other objects concerned and, where applicable, from that person's premises.

Article 16

Derogations to notification obligations

The notification obligations referred to in Articles 14 and 15 shall not apply where:

- (a) a ►M1 GB ◀ quarantine pest ►M6 or provisional GB quarantine pest ◀ is found to be present in the infested zone of a demarcated area established for the containment of that pest, as referred to in Article 18(2);
- (b) a ►M1 GB ◀ quarantine pest ►M6 or provisional GB quarantine pest ◀ is found to be present in the infested zone of a demarcated area and subject to eradication measures requiring eight years or more, during the period of those first eight years.

Article 17
Eradication of ►M1 GB ◄ quarantine pests

1. Where one of the situations referred to in points (a) and (b) of ►M1 ----- ◄ Article 11 ►M1 (2)◄ is officially confirmed, the competent authority shall immediately take all necessary phytosanitary measures to eradicate the relevant ►M1 GB ◄ quarantine pest from the area concerned. Those measures shall be taken in accordance with Annex II.

That obligation to eradicate shall not apply where ►M1 retained direct EU legislation relating to plant health provides or regulations made under Article 28(1) provide ◄ otherwise.

2. The competent authority shall without delay investigate the source of the presence of the ►M1 GB ◄ quarantine pest ►M6 or provisional GB quarantine pest◄ concerned, in particular where that presence may be related to movements of plants, plant products or other objects, and the possibility that the pest concerned has been spread to further plants, plant products or other objects by those movements.

3. ►M1 — ◄

4. The measures referred to in paragraph 1 and the investigations referred to in paragraph 2 shall be taken irrespective of whether the pest is present on public or private premises.

Article 18
Establishment of demarcated areas

1. Where one of the situations referred to in points (a) and (b) of ►M1 ----- ◄ Article 11 ►M1 (2)◄ is officially confirmed, the competent authority shall immediately establish one or more areas where the eradication measures referred to in Article 17(1) are to be taken ('demarcated area').

The demarcated area shall consist of an infested zone and a buffer zone.

2. The infested zone shall, as applicable, contain:

- (a) all plants known to be infested by the pest concerned;
- (b) all plants showing signs or symptoms indicating possible infestation by that pest;
- (c) all other plants liable to have been or become contaminated or infested by that pest, including plants liable to be infested due to their susceptibility to that pest and their close proximity to infested plants or common source of production, if known, with infested plants, or plants grown from them;
- (d) land, soil, water courses or other elements infested, or liable to be infested, by the pest concerned.

3. The buffer zone shall be adjacent to the infested zone and shall surround it.

Its extent shall be appropriate in view of the risk of the pest concerned spreading out of the infested zone naturally or by human activities in the infested zone and its surroundings, and shall be decided in accordance with the principles set out in Section 2 of Annex II.

However, where any risk of the pest spreading out of the infested zone is eliminated or reduced to an acceptable level through natural or artificial barriers, no buffer zone shall be required to be established.

4. By way of derogation from paragraph 1, where upon initial examination the competent authority concludes, in view of the nature of the pest, the plant, plant product or other object concerned and the site where it was found, that the pest concerned can be eliminated immediately, the competent authority may decide not to establish a demarcated area.

In that case, it shall carry out a survey to determine whether any further plants or plant products have been infested. On the basis of that survey, the competent authority shall determine whether there is a need to establish a demarcated area.

► **M6** 4A. Where, in accordance with paragraph 2 or 3, the competent authority determines that a demarcated area should extend to or be established in another territory of Great Britain, it must immediately inform the competent authority in relation to that other territory of the extent of the proposed demarcated area. ◀

► **M6** 4B. Where, in accordance with paragraph 4A, the competent authority in relation to that other territory is informed that a demarcated area should extend to or be established in the territory for which it is responsible, it may, in accordance with paragraphs 2 to 4, establish an appropriate demarcated area in the territory for which it is responsible. ◀

5. ► **M1** ----- ◀

6. ► **M1** ----- ◀

Article 19

Surveys and modifications of demarcated areas and lifting of restrictions

1. ► **M1** The ◀ competent authorities shall at least annually, at appropriate times, carry out a survey of each demarcated area as regards the development of the presence of the pest concerned.

Those surveys shall be carried out in accordance with Article 22(2).

2. ► **M1** ----- ◀

3. ► **M1** Where appropriate, the ◀ competent authorities shall modify the boundaries of ► **M1** the infested zones, the buffer zones and the demarcated areas ◀, in view of the results of the surveys referred to in paragraph 1.

4. ► **M1** The ◀ competent authorities may abolish a demarcated area and terminate the respective eradication measures where the pest-free status of that area has been verified. This will be the case where the following two conditions are fulfilled:

- (a) the survey referred to in paragraph 1 shows that the area has been found to be free from the pest concerned; and
- (b) the pest concerned has not been found to be present in that demarcated area for a sufficiently long period.

5. When deciding on the modifications referred to in paragraph 3 or the abolition of the demarcated area referred to in paragraph 4, the competent authority concerned shall take into account at least the following factors:

- (a) the biology of the pest and the vector concerned;
- (b) the presence of host plants;
- (c) the ecoclimatic conditions; and

(d) the likelihood of the eradication measures having been successful.

6. By way of derogation from paragraph 1 of this Article, annual surveys shall not be required to be carried out in the infested zone of demarcated areas established for:

- (a) pests subject to eradication measures requiring eight years or more;
- (b) pests subject to the containment measures ►M1 where applicable◄.

7. ►M1 The appropriate authority may by regulations supplement ◄ this Regulation by further specifying the pests referred to in point (a) of paragraph 6 of this Article and in point (b) of Article 16 and conditions for the application of those derogations.

►M1 Article 20

~~Reports on measures taken in accordance with Articles 17, 18 and 19~~◄

Article 21

Amendment of Annex II

►M1 The appropriate authority may by regulations amend ◄ Annex II in order to adapt it to the development of scientific and technical knowledge and of relevant international standards.

Article 22

Surveys on ►M1 GB ◄ quarantine pests and pests provisionally qualifying as ►M1 GB ◄ quarantine pests

1. ►M1 The competent authorities ◄ shall carry out risk-based surveys ►M1 in the territories for which they are responsible ◄, over specific periods of time, checking at least for:

- (a) the presence of any ►M1 GB ◄ quarantine pest; and
- (b) signs or symptoms of any ►M1 provisional GB quarantine pest or any pest which is subject to measures pursuant to Article 29(2A)◄.

Those surveys shall take place in all areas where the pest concerned was not known to be present.

►M1 ----- ◄

2. The design of the surveys referred to in paragraph 1 shall be based on the risk that the pest occurs in the area covered by each survey. They shall consist, at least, of visual examinations by the competent authority ►M1 carrying out the survey ◄ and, where appropriate, the collection of samples and performance of tests. Those surveys shall be carried out in all appropriate locations and shall include, where appropriate, premises, vehicles, machinery and packaging used by professional operators and other persons. They shall be based on sound scientific and technical principles and shall be carried out at appropriate times with regard to the possibility to detect the pest concerned.

Those surveys shall take account of scientific and technical evidence, and any other appropriate information, concerning the presence of the pests concerned.

3. ►M1 ----- ◄

Article 23

Multiannual survey programmes and collection of information

1. ►M1 The competent authorities ◄ shall establish multiannual survey programmes setting out the content of the surveys to be carried out pursuant to Article 22. Those programmes shall provide for the

collection and recording of the scientific and technical evidence and other information referred to in the second subparagraph of Article 22(2).

The multiannual survey programmes shall include the following elements in conformity with Article 22(2):

- (a) the specific objective of each survey;
- (b) the scope of each survey as regards the area concerned and the time scale covered, as well as the pests, plants and commodities targeted;
- (c) the survey methodology and quality management including a description of the procedures for visual examination, sampling and testing and their technical justification;
- (d) the timing, frequency and numbers of scheduled visual examinations, samples and tests; and
- (e) the methods of recording and reporting the information collected.

The multiannual survey programmes shall be for a period of five to seven years.

2. ►M1 ----- ◄

3. ►M1 ----- ◄

Article 24

Surveys of priority pests

1. For each priority pest, ►M1 the competent authorities ◄ shall carry out a survey annually, as set out in Article 22(1) and (2). Those surveys shall include a sufficiently high number of visual examinations, sampling and testing, as appropriate for each priority pest, to ensure, as far as it is possible given the respective biology of each priority pest and the ecoclimatic conditions, with a high degree of confidence, the timely detection of those pests.

►M1 ----- ◄

2. ►M1 ----- ◄

Article 25

Contingency plans for priority pests

1. Each ►M1 competent authority ◄ shall draw up and keep up to date for each priority pest which is capable of entering into and becoming established in ►M1 Great Britain ◄, a separate plan containing information concerning the decision-making processes, procedures and protocols to be followed, and the minimum resources to be made available and the procedures to make available further resources in the event of an officially confirmed or suspected presence of that pest ('the contingency plan').

►M1 The competent authorities ◄ shall, at an appropriate stage, consult all relevant stakeholders in the process of drawing up and keeping up to date the contingency plans.

►M1 ----- ◄

2. Each contingency plan shall include the following:

- (a) the roles and responsibilities of the bodies involved in the execution of the plan in the event of an officially confirmed or suspected presence of the priority pest concerned, as well as the chain of command and procedures for the coordination of actions to be taken by ►M1 the competent

authority◀, other public authorities, as referred to in Article 4(2) of Regulation (EU) 2017/625 , delegated bodies or natural persons involved, as referred to in Article 28(1) of that Regulation, laboratories and professional operators►M1 ----- ◀;

- (b) access of ►M1 the competent authority◀to premises of professional operators, other relevant operators and natural persons;
- (c) access of ►M1 the competent authority◀, where necessary, to laboratories, equipment, personnel, external expertise and resources necessary for the rapid and effective eradication or, where appropriate, containment of the priority pest concerned;
- (d) measures to be taken concerning the provision of information to ►M1 ----- ◀ the professional operators concerned and the public as regards the presence of the priority pest concerned and the measures taken against it in the event that the presence of the pest concerned is officially confirmed or suspected;
- (e) arrangements for recording findings of the presence of the priority pest concerned;
- (f) the available assessments as set out in Article 6(2) ►M1 ----- ◀;
- (g) the risk management measures to be taken as regards the priority pest concerned, in accordance with Section 1 of Annex II, and the procedures to be followed;
- (h) principles for the geographical demarcation of demarcated areas;
- (i) protocols describing the methods of visual examinations, sampling and laboratory testing; and
- (j) principles concerning the training of personnel of ►M1 the competent authority◀ and, where appropriate, the bodies, public authorities, laboratories, professional operators and other persons referred to in point (a).

Where appropriate, the items referred to in points (d) to (j) of the first subparagraph shall take the form of instruction manuals.

3. Contingency plans may be combined for multiple priority pests with similar biology and range of host species. In those cases, the contingency plan shall consist of a general part common to all priority pests covered by it and of specific parts for each priority pest concerned.

4. ►M1 The competent authorities must, before 1st January 2023, establish contingency plans for the priority pests included in the list of priority pests in the Annex to Commission Delegated Regulation (EU) 2019/1702, as it has effect on IP completion day.◀

►M1 4A. Paragraph 4 does not apply in relation to any priority pest that is removed from that list before 1st January 2023.◀

►M1 4B. ◀ Within one year from the date of the inclusion of any further pest concerned in the list of priority pests, ►M1 the competent authority◀ shall establish contingency ►M1 plans◀ for that priority pest.

►M1 4C. ◀ ►M1 The competent authorities◀ shall regularly review and, where appropriate, update their contingency plans.

5. ►M1 ----- ◀

Article 26

Simulation exercises for priority pests

1. ►M1 The competent authorities ◀ shall carry out simulation exercises ►M1 in the territories for which they are responsible ◀ concerning the implementation of the contingency plans at intervals set according to the biology of the priority pest or pests concerned and the risk posed by that pest or those pests.

Those exercises shall take place with regard to all priority pests concerned within a reasonable period of time and with the involvement of the relevant stakeholders.

Those exercises shall not be required where ►M1 ----- ◀ measures for the eradication of the pest or pests concerned ►M1 have recently been taken ◀.

2. ►M1 ----- ◀

3. ►M1 ----- ◀

Article 27

Action plans for priority pests

1. Where the presence of a priority pest is officially confirmed in ►M1 Great Britain ◀ pursuant to Article 10, the competent authority ►M1 for the territory in which it has been found to be present ◀ shall immediately adopt a plan (the 'action plan') setting out the measures for the eradication of that pest ►M1 ----- ◀ or its containment, ►M1 ----- ◀ as well as a time schedule for the application of those measures.

The action plan shall include a description of the design and organisation of the surveys to be carried out and set out the number of visual examinations, samples to be taken and laboratory tests to be carried out, as well as the methodology to be applied for the examination, sampling and testing.

The action plan shall be based on the relevant contingency plan and shall be immediately communicated by the competent authority to the professional operators concerned.

2. ►M1 ----- ◀

Article 28

►M1 GB ◀ measures for specific ►M1 GB ◀ quarantine pests

1. ►M1 The appropriate authority may by regulations set out measures against specific GB quarantine pests. The provision that may be made in those regulations may include, in relation to a specific GB quarantine pest, provision: ◀

- (a) ►M1 ----- ◀ concerning ►M1 the ◀ measures to be taken in the event of suspicion and official confirmation by competent authorities of the presence of that ►M1 GB ◀ quarantine pest;
- (b) ►M1 ----- ◀ concerning ►M1 the ◀ measures to be taken immediately by professional operators;
- (c) ►M1 ----- ◀ concerning ►M1 the ◀ measures to be taken by persons other than professional operators;
- (d) ►M1 concerning the eradication of that GB quarantine pest ◀;
- M1 (da) concerning the containment of that GB quarantine pest; ◀
- (e) ►M1 ----- ◀ concerning ►M1 the ◀ establishment of demarcated areas;

- (f) ► **M1** ----- ◀ concerning surveys and modifications of demarcated areas and lifting of restrictions;
- (g) ► **M1** concerning surveys of that GB quarantine pest ◀;
- **M1** (ga) concerning prevention measures in areas outside demarcated areas where necessary to prevent the establishment of that GB quarantine pest in those areas; ◀
- (h) ► **M1** in the case of a GB quarantine pest which is a priority pest:
 - (i) concerning surveys for that priority pest, as regards the number of visual examinations, samples and tests for the priority pest;
 - (ii) concerning contingency plans for that priority pest;
 - (iii) concerning simulation exercises for that priority pest;
 - (iv) concerning action plans for that priority pest. ◀;

► **M1** ----- ◀

2. ► **M1** Regulations under paragraph 1 may only make provision under point (da) of that paragraph if the appropriate authority has concluded, on the basis of surveys or other evidence, that the eradication of the GB quarantine pest in a demarcated area is not possible. ◀

3. ► **M1** ----- ◀

4. ► **M1** Regulations made under paragraph 1 may include any of the measures specified in Annex 2, taking into account the specific risks of the GB quarantine pest to which the regulations relate and any specific eco-climatic conditions and risks as regards any part of Great Britain. ◀.

5. ► **M1** ----- ◀.

6. ► **M1** ----- ◀

7. ► **M1** ----- ◀

Article 29

Measures by ► **M1** competent authorities ◀ concerning pests not listed as ► **M1** GB ◀ quarantine pests

1. ► **M1** Where the presence of a pest that is not included in Annex 2 or 2A to the Phytosanitary Conditions Regulation is officially confirmed to be present in a part of Great Britain, and the competent authority considers that the pest may fulfil the conditions for inclusion in Annex 2 to the Phytosanitary Conditions Regulation, the competent authority must immediately assess whether the pest fulfils the criteria set out in Subsection 1 of Section 3 of Annex 1 and notify the other competent authorities of its conclusion. ◀ . If it concludes that those criteria are fulfilled, it shall immediately take eradication measures in accordance with Annex II. Articles 17 to ► **M1** 19 ◀ shall apply.

Where it is concluded, on the basis of the surveys referred to in Article 19 or other evidence, that the eradication of a pest in a demarcated area is not possible, Article 28(2) shall apply mutatis mutandis.

Where the presence of a pest fulfilling the criteria referred to in the first subparagraph is officially confirmed in a consignment of plants, plant products or other objects introduced into, or moved within, ► **M1** Great Britain, the competent authority ◀ shall take the necessary measures to prevent the entry of that pest into, and its establishment and spread in, the ► **M1** the territory for which it is responsible ◀.

Where a Member State suspects the presence in its territory of a pest fulfilling the criteria referred to in the first subparagraph, Article 10 shall apply mutatis mutandis.

Pending the official confirmation of the presence of that pest, the Member State shall, where appropriate, take phytosanitary measures to mitigate the risk of it spreading.

2. ►M1 The competent authority must notify the other competent authorities of the measures that it has taken pursuant to paragraph 1 and the competent authorities must promptly assess whether the pest concerned fulfils the criteria for quarantine pests set out in Section 1 of Annex 1. ◀

►M1 2A. Each competent authority must ensure that interim measures are taken in relation to the pest where it is present in its territory or in a consignment of plants, plant products or other objects introduced into, or moved within, its territory until:

- (a) a risk assessment has been carried out which shows that the pest does not fulfil the criteria set out in Subsection 1 of Section 3 of Annex 1; or
- (b) regulations in relation to the pest have been made under Article 30(1). ◀

►M1 2B. In paragraph 2A, 'interim measures', in relation to a pest which has been provisionally assessed, in accordance with paragraph 2, as fulfilling the criteria set out in Subsection 1 of Section 3 of Annex 1, means any of the following measures insofar as they are relevant:

- (a) official confirmation of the presence of the pest where its presence is suspected;
- (b) pending official confirmation of the presence of the pest, phytosanitary measures to mitigate the risk of the pest spreading;
- (c) where the pest is officially confirmed to be present, eradication measures equivalent to the measures specified in Articles 17 to 19 in relation to GB quarantine pests or, if it is concluded, on the basis of the surveys referred to in Article 19 or other evidence, that the eradication of the pest in a demarcated area is not possible, containment measures; and
- (d) any other measures which are necessary or appropriate to prevent the entry of that pest into Great Britain, or its establishment or spread within Great Britain, including risk-based surveys to check for signs and symptoms of that pest. ◀

3. ►M1 ----- ◀

Article 30

►M1 Measures concerning provisional GB quarantine pests ◀

►M1 A1. In this Article, 'provisional GB quarantine pest' means a pest which is not included in the list of GB quarantine pests in Annex 2 to the Phytosanitary Conditions Regulation, but which, according to an appropriate assessment, meets the criteria set out in Subsection 2 of Section 3 of Annex 1 and is listed in Annex 2A to the Phytosanitary Conditions Regulation. ◀

►M1 A2. A provisional GB quarantine pest may not be introduced into, moved within, or held, multiplied or released in, Great Britain. ◀

1. ►M11. The appropriate authority may by regulations:

- (a) modify Annex 2A to the Phytosanitary Conditions Regulation where the results of an assessment show, in respect of Great Britain, that a pest which is not included in that Annex fulfils the criteria set out Subsection 2 of Section 3 of Annex 1, or that a pest which is included in that Annex no longer fulfils those criteria or fulfils the criteria in Article 3;

- (b) make temporary provision for the purposes of preventing the introduction of a provisional GB quarantine pest into Great Britain, or the spread of a provisional GB quarantine pest within Great Britain. ◀

►M1 1A. Regulations under paragraph 1 may include, in relation to a pest which is listed, or is to be listed, in Annex 2A, provision concerning:

- (a) measures to be taken in the event of suspicion and official confirmation by competent authorities of the presence of that pest;
- (b) measures to be taken immediately by professional operators;
- (c) measures to be taken by persons other than professional operators;
- (d) the eradication of that pest;
- (e) the containment of that pest;
- (f) the establishment of demarcated areas;
- (g) surveys and modifications of demarcated areas and lifting of restrictions;
- (h) surveys of that pest;
- (i) prevention measures in areas outside demarcated areas where necessary to protect the establishment of that pest in those areas. ◀

. ►M1 ----- ◀

3. ►M1 Regulations under paragraph 1 may only make provision under point (e) of paragraph 1A if the appropriate authority has concluded, on the basis of the surveys or other evidence, that the eradication of the pest in a demarcated area is not possible. ◀

4. ►M1 ----- ◀

5. ►M1 Regulations under paragraph 1 may include any of the measures specified in Annex 2, taking into account the specific risks of the pest. ◀

6. ►M1 ----- ◀

7. ►M1 ----- ◀

8. ►M1 .----- ◀

Article 31

More stringent requirements ►M1 ----- ◀

1. ►M1 The competent authorities may apply within their respective territories more stringent measures than those specified in regulations made under Article 28(1) or 30(1) ◀, if justified by the objective of phytosanitary protection and in accordance with the principles set out in Section 2 of Annex II.

Those more stringent measures shall not impose, or result in, any prohibitions or restrictions on the introduction into, or movement within and through, the ►M1 Great Britain ◀ of plants, plant products and other objects, other than those imposed by ►M1 , or under ◀ Articles 40 to 58 and 71 to ►M1 101 ◀.

2. ►M1 ----- ◀

Section 3
Protected zone quarantine pests

Article 32
Recognition of ► M1 GB pest-free areas ◀

1. ► M1 'A PFA quarantine pest' is a pest which:
 - (a) is present in Great Britain but not in a part of Great Britain that is recognised as free of that pest in accordance with ISPM4; and
 - (b) is listed in column (1) of the table in Annex 3 to the Phytosanitary Conditions Regulation. ◀
2. ► M1 A PFA quarantine pest may not be introduced into, moved within, or held, multiplied or released in, any GB pest-free area established in respect of that PFA quarantine pest, and described in the corresponding entry in column (2) of the table in Annex 3 to the Phytosanitary Conditions Regulation. ◀

Article 8 shall apply mutatis mutandis to the introduction into, movement within, and holding and multiplication in, ► M1 a GB pest-free area of the PFA quarantine pest in respect of which the area was established ◀.

3. ► M1 ----- ◀

► M1 The appropriate authority may by regulations modify Annex 3 to the Phytosanitary Conditions Regulation where:

- (a) a pest is no longer recognised as a PFA quarantine pest;
- (b) any additional GB pest-free area is recognised in relation to a PFA quarantine pest;
- (c) the extent of an existing GB pest-free area has been altered; or
- (d) an existing GB pest-free area is no longer recognised as free from the PFA quarantine pest in respect of which the area was established. ◀

► M1 ----- ◀

4. ► M1 ----- ◀

5. ► M1 ----- ◀

6. ► M1 ----- ◀

7. ► M1 ----- ◀

Article 33
General obligations concerning ► M1 GB pest-free areas ◀

1. With regard to a ► M1 GB pest-free areas ◀, the obligations set out in Articles 9 to 19 shall apply mutatis mutandis to the ► M1 relevant PFA ◀ quarantine pest.
2. ► M1 Where a PFA quarantine pest is found to be present in a GB pest-free area established in respect of that PFA quarantine pest and the competent authority has established a demarcated area in response to its presence, any plant, plant product or other object originating in the demarcated area may only be moved from that demarcated area into the remaining part of that GB pest-free area or into any other GB pest-free area established in respect of that PFA quarantine pest if its movement has been authorised by, or on behalf of, the competent authority by notice. ◀

►M1 2A. By way of derogation from paragraph 2, a plant, plant product or other object may be moved out of that demarcated area and through and out of the GB pest-free area concerned if it is packed and moved in such a way that there is no risk of spreading the relevant PFA quarantine pest within the GB pest-free area. ◀

3. ►M1 ----- ◀

Article 34

Surveys on ►M1 PFA ◀ quarantine pests

1. ►M1 The competent authorities must carry out annual surveys of each GB pest-free area within their respective territories as regards the presence of the PFA quarantine pests in respect of which those areas have been established. ◀

►M1 1A. The appropriate authority may by regulations supplement this Regulation by laying down detailed rules for the preparation and the content of the surveys referred to in paragraph 1. ◀

2. ►M1 ----- ◀

►M1 Article 35

~~Amendment of extent and revocation of recognition of protected zones ◀~~

CHAPTER III

►M1 GB ◀ regulated non-quarantine pests

Article 36

Definition of ►M1 GB ◀ regulated non-quarantine pests

A pest is a ►M1 GB ◀ regulated non-quarantine pest' if it fulfils all of the following conditions and it is included in ►M1 column (1) of the tables in Annex 4 to the Phytosanitary Conditions Regulation ◀:

- (a) its identity is established in accordance with point (1) of Section 4 of Annex I;
- (b) it is present in the ►M1 Great Britain ◀;
- (c) it is not a ►M1 GB ◀ quarantine pest or a ►M1 provisional GB quarantine pest ◀;
- (d) it is transmitted mainly through specific plants for planting, in accordance with point (2) of Section 4 of Annex I;
- (e) its presence on those plants for planting has an unacceptable economic impact, as regards the intended use of those plants for planting, in accordance with point (3) of Section 4 of Annex I;
- (f) feasible and effective measures are available to prevent its presence on the plants for planting concerned.

Article 37

Prohibition of the introduction and movement of ►M1 GB ◀ regulated non-quarantine pests on plants for planting

1. ►M1 A professional operator may not introduce a GB regulated non-quarantine pest into Great Britain, or move a GB regulated non-quarantine pest within Great Britain, on the plants for planting through which it is transmitted, as specified in the entries in respect of that pest in column (2) of the tables in Annex 4 to the Phytosanitary Conditions Regulation, if the presence of that pest on those plants for planting exceeds the thresholds specified in those tables in respect of that pest and those plants for planting. ◀.

The prohibition laid down in the first subparagraph shall not apply in the following cases:

- (a) movement of plants for planting within, or between, the premises of the professional operator concerned;
- (b) movement of plants for planting necessary for their disinfection.

2. ► **M1** ----- ◀

3. ► **M1** ----- ◀

4. ► **M1** ----- ◀

5. ► **M1** The appropriate authority may by regulations modify Annex 4 or 5 to the Phytosanitary Conditions Regulation where the results of an assessment show that:

- (a) a pest not listed in column (1) of the tables in Annex 4 to the Phytosanitary Conditions Regulation fulfils the conditions referred to in Article 36;
- (b) a pest listed in column (1) of the tables in Annex 4 to the Phytosanitary Conditions Regulation no longer fulfils one or more of the conditions referred to in Article 36; or
- (c) amendments to Annex 4 or 5 to the Phytosanitary Conditions Regulation are necessary as regards the categories referred to in paragraph 7 of this Article or the thresholds referred to in paragraph 8 of this Article. ◀

► **M1** 5A. The appropriate authority may by regulations modify Annex 5 to the Phytosanitary Conditions Regulation to make provision as to the measures to be taken to prevent the presence of a GB regulated non-quarantine pest on the plants for planting concerned. ◀

► **M1** 5B. Regulations under paragraph 5A may specify whether the measures are to apply to the introduction of the plants for planting into Great Britain, the movement of those plants for planting within Great Britain, or both. ◀

► **M1** 5C. Any measures specified in regulations under paragraph 5A must be consistent with the principles set out in Section 2 of Annex 2 to this Regulation and are to apply in addition to the measures specified in the relevant legislation on the marketing of seeds and other propagating material. ◀

6. ► **M1** ----- ◀

7. Where point (e) of Article 36 is only fulfilled for one or more of the ► **M1** categories of ◀ pre-basic, basic, or certified material, seed or seed potatoes, or standard or CAC material or seed, as referred to in ► **M1** the relevant legislation on the marketing of seeds and other propagating material, the tables in Annex 4 to the Phytosanitary Conditions Regulation must include ◀ those categories ► **M1** ----- ◀.

8. Where point (e) of Article 36 is only fulfilled if the pest concerned is present at an incidence above a certain threshold higher than zero, ► **M1** the tables in Annex 4 to the Phytosanitary Conditions Regulation must include that threshold ◀.

Such a threshold shall only be ► **M1** included ◀ if both of the following points are fulfilled:

- (a) it is possible for professional operators to ensure that the incidence of that ► **M1** GB ◀ regulated non-quarantine pest on those plants for planting does not exceed that threshold;
- (b) it is possible to verify whether that threshold is not exceeded in lots of those plants for planting.

The principles for the management of the risk of pests set out in Section 2 of Annex II shall apply.

9. Article 31 shall apply mutatis mutandis to the measures to be taken by the ►M1 competent authorities ◄ concerning ►M1 GB ◄ regulated non-quarantine pests and the respective plants for planting.

Article 38

Amendment of Section 4 of Annex I

The ►M1 appropriate authority may by regulations amend ◄Section 4 of Annex I in order to adapt it to the development of scientific and technical knowledge and of relevant international standards.

Article 39

►M1 GB ◄ regulated non-quarantine pests used for scientific or educational purposes, trials, varietal selection, breeding or exhibitions

The prohibition provided for in Article 37 shall not apply to ►M1 GB ◄ regulated non-quarantine pests which are present on plants for planting used for scientific or educational purposes, trials, varietal selection, breeding or exhibitions.

CHAPTER IV

Measures concerning plants, plant products and other objects

Section 1

Measures relating to the entire ►M1 Great Britain ◄

Article 40

Prohibition of the introduction of plants, plant products and other objects into ►M1 Great Britain ◄

1. ►M1 1. Plants, plant products or other objects of a description specified in any entry in column (1) of the tables in Parts A and C of Annex 6 to the Phytosanitary Conditions Regulation may not be introduced into Great Britain if they originate from any third country listed in the corresponding entry in column (2) of those tables. ◄

2. ►M1 ----- ◄

3. ►M1 3. The appropriate authority may by regulations amend Parts A and C of Annex 6 to the Phytosanitary Conditions Regulation where the amendment is appropriate in the light of a risk assessment in relation to a plant, plant product or other object originating in or dispatched from a third country. ◄

►M1 3A. For the purposes of paragraph 3, an amendment is appropriate if, on the basis of the risk assessment, it is concluded:

- (a) in the case of a plant, plant product or other object which is not included in Part A or C of Annex 6 to the Phytosanitary Conditions Regulation, that the plant, plant product or other object poses a pest risk of an unacceptable level which cannot be reduced to an acceptable level by applying one or more of the measures set out in points (2) and (3) of Section 1 of Annex 2; or
- (b) in the case of a plant, plant product or other object which is included in Part A or C of Annex 6 to the Phytosanitary Conditions Regulation, that the plant, plant product or other object no longer poses a pest risk of an unacceptable level, or that it continues to pose a pest risk of an

unacceptable level, but that the risk can be reduced to an acceptable level by applying one or more of the measures set out in points (2) and (3) of Section 1 of Annex 2. ◀

► **M1** 3B. A plant, plant product or other object may only be regarded as posing a pest risk of an unacceptable level for the purposes of paragraph 3A if the risk assessment shows that the plant, plant product or other object may host a GB quarantine pest and, in determining the acceptability of the level of pest risk, the risk assessment was carried out in accordance with the principles set out in Section 2 of Annex 2, where appropriate with regard to one or more specific third countries. ◀

► **M1** ----- ◀

Article 41

Plants, plant products and other objects subject to special and equivalent requirements

1. ► **M1** 1. Plants, plant products or other objects of a description specified in any entry in column (1) of the tables in Parts A and B of Annex 7 to the Phytosanitary Conditions Regulation, and which originate in a third country listed in the corresponding entry in column (2) of those tables may only be introduced into, or moved within, Great Britain if the special requirements specified in the corresponding entry in column (3) of those tables are fulfilled. ◀

► **M1** 1A. Plants, plant products or other objects of a description specified in any entry in column (1) of the tables in Parts A and B of Annex 8 to the Phytosanitary Conditions Regulation, and which originate in a CD territory, may only be introduced into Great Britain if the special requirements specified in the corresponding entry in column (2) of those tables are fulfilled. ◀

► **M1** 1B. Plants, plant products or other objects of a description specified in any entry in column (1) of the tables in Parts A and B of Annex 8 to the Phytosanitary Conditions Regulation, and which originate in Great Britain or a CD territory, may only be moved within Great Britain if the special requirements specified in the corresponding entry in column (2) of those tables are fulfilled. ◀

2. ► **M1** ----- ◀

3. ► **M1** The appropriate authority may by regulations amend Annex 7 or 8 to the Phytosanitary Conditions Regulation where the amendment is appropriate in the light of a risk assessment in relation to a plant, plant product or other object. ◀

► **M1** 3A. For the purposes of paragraph 3, an amendment is appropriate if, on the basis of the risk assessment, it is concluded:

- (a) in the case of a plant, plant product or other object which originates in a third country and is not included in Part A or B of Annex 7 to the Phytosanitary Conditions Regulation, that the plant, plant product or other object poses a pest risk of an unacceptable level which can be reduced to an acceptable level by applying one or more of the measures set out in points (2) and (3) of Section 1 of Annex 2;
- (b) in the case of a plant, plant product or other object which originates in a third country and is included in Part A or B of Annex 7 to the Phytosanitary Conditions Regulation, that the plant, plant product or other object poses a pest risk of an unacceptable level which can no longer be reduced to an acceptable level by applying one or more of the measures set out in points (2) and (3) of Section 1 of Annex 2, or that the pest risk can continue to be reduced to an acceptable level by applying alternative measures to those specified in relation to the plant, plant product or other object in Annex 7 to the Phytosanitary Conditions Regulation;

- **M3** (ba) in the case of a plant, plant product or other object which originates in a third country and is included in Part B of Annex 7 to the Phytosanitary Conditions Regulation, that the plant, plant product or other object continues to pose a pest risk which can be reduced to an acceptable level by applying one or more of the measures set out in points (2) and (3) of Section 1 of Annex 2, and the plant, plant product or other object and those measures can be included in Part A, instead of in Part B, of Annex 7 to the Phytosanitary Conditions Regulation; ◀
- (c) in the case of a plant, plant product or other object which originates in Great Britain or a CD territory and is not included in Annex 8 to the Phytosanitary Conditions Regulation, that the plant, plant product or other object poses a pest risk of an unacceptable level which can be reduced to an acceptable level by applying one or more of the measures set out in points (2) and (3) of Section 1 of Annex 2; or
- (d) in the case of a plant, plant product or other object which originates in Great Britain or a CD territory and is included in Annex 8 to the Phytosanitary Conditions Regulation ► **M3** ----- ◀, that the plant, plant product or other object poses a pest risk of an unacceptable level which can no longer be reduced to an acceptable level by applying one or more of the measures set out in points (2) and (3) of Section 1 of Annex 2, or that the pest risk can continue to be reduced to an acceptable level by applying alternative measures to those specified in relation to the plant, plant product or other object in ► **M3** Annex 8 to the Phytosanitary Conditions Regulation; ◀
- **M3** (e) in the case of a plant, plant product or other object which originates in Great Britain or a CD territory and is included in Part B of Annex 8 to the Phytosanitary Conditions Regulation, that the plant, plant product or other object continues to pose a pest risk which can be reduced to an acceptable level by applying one or more of the measures set out in points (2) and (3) of Section 1 of Annex 2, and the plant, plant product or other object and those measures can be included in Part A, instead of in Part B, of Annex 8 to the Phytosanitary Conditions Regulation. ◀
- **M1** 3B. A plant, plant product or other object may only be regarded as posing a pest risk of an unacceptable level for the purposes of paragraph 3A if the risk assessment shows that the plant, plant product or other object may host a GB quarantine pest and, in determining the acceptability of the level of pest risk and the measures to reduce that risk to an acceptable level, the risk assessment was carried out in accordance with the principles set out in Section 2 of Annex 2, where appropriate with regard to one or more specific third countries or parts of specific third countries. ◀
4. In the event that plants, plant products or other objects have been introduced into, or moved within, the ► **M1** Great Britain ◀ in violation of paragraph 1, ► **M1** the competent authority ◀ shall adopt the necessary measures, as referred to in Article 66(3) of Regulation (EU) 2017/625 ► **M1** ----- ◀.
- **M1** ----- ◀

Article 42

Restrictions on the basis of a preliminary assessment for the introduction into ► **M1** Great Britain ◀ of high-risk plants, plant products and other objects

1. A plant, plant product or other object originating in a third country ► **M1** ----- ◀ and which, on the basis of a preliminary assessment, presents a pest risk of an unacceptable level for ► **M1** Great Britain ◀ is a 'high-risk plant', 'high-risk plant product' or 'high-risk other object' ('high-risk plants, plant products or other objects').

That preliminary assessment shall take into account, as appropriate for the plant, plant product or other object concerned ► **M1** any existing prohibitions or requirements that apply to that plant, plant product or object by virtue of Article 40, or 41 or any measures in regulations made under Article 49(1), and ◀, the criteria set out in Annex III.

2. ► **M1** High-risk plants, plant products or other objects listed in Part B of Annex 6 to the Phytosanitary Conditions Regulation may not be introduced into Great Britain from the third countries, groups of third countries or specific areas of third countries of origin specified in respect of those plants, plant products or other objects in that list. ◀

3. ► **M1** The appropriate authority may by regulations amend Part B of Annex 6 to the Phytosanitary Conditions Regulation to include at the appropriate taxonomic level, pending the risk assessment referred to in paragraph 4, additional high-risk plants, plant products or other objects and, where appropriate, the third countries, groups of third countries or specific areas of third countries of origin in respect of those plants, plant products or other objects. ◀

► **M1** ----- ◀

► **M1** ----- ◀

4. ► **M1** Where a risk assessment in relation to a high-risk plant, plant product or other object originating in any third country has been completed, the appropriate authority must by regulations amend the list of high-risk plants, plant products or other objects in Part B of Annex 6 to the Phytosanitary Conditions Regulation to remove the third country from the entry in that list relating to the plant, plant product or other object. ◀

► **M1** 4A. Regulations under paragraph 4 may amend Part A or C of Annex 6, or Part A or B of Annex 7, to the Phytosanitary Conditions Regulation, where appropriate, in the light of the risk assessment. ◀

5. Provided that demand for import of plants, plant products or other objects listed in ► **M1** Part B of Annex 6 to the Phytosanitary Conditions Regulation ◀ is identified, the risk assessment referred to in paragraph 4 shall be carried out within an appropriate and reasonable period of time.

Where appropriate, that assessment may be limited to plants, plant products or other objects of a particular third country of origin or dispatch, or a group of third countries of origin or dispatch.

6. The ► **M1** the appropriate authority may by regulations ◀ lay down specific rules concerning the procedure to be followed in order to carry out the risk assessment referred to in paragraph 4.

7 ► **M1** ----- ◀

Article 43

Specific import conditions for the introduction into ► **M1** Great Britain ◀ of wood packaging material

1. Wood packaging material, whether or not actually in use in the transport of objects of all kinds, shall only be introduced into ► **M1** Great Britain from a third country ◀ if it fulfils all of the following requirements:

- (a) it has been subject to one or more of the approved treatments and complies with the applicable requirements set out in Annex 1 to ► **M1** ----- ◀ ISPM15;
- (b) it is marked with the mark referred to in Annex 2 of ISPM15 attesting that it has been subject to the treatments referred to in point (a).

This paragraph shall not apply to wood packaging material which is subject to the exemptions provided for in ISPM15.

2. ► **M1** The appropriate authority may by regulations:

- (a) amend the requirements set out in paragraph 1 of this Article to take into account the development of international standards, and in particular of ISPM15; or
- (b) specify derogations from paragraph 1 for the purpose of exempting other wood packaging material which is not subject to the exemptions provided for in ISPM15 from the requirements of paragraph 1 of this Article, or for the purpose of imposing less stringent requirements on wood packaging material which is subject to the exemptions provided for in ISPM15. ◀

Article 44

Setting out of equivalent requirements

1. ► **M11**. The appropriate authority may by regulations make provision for the purpose of giving effect to a relevant decision. ◀

► **M1** 1A. Regulations under paragraph 1 may in particular:

- (a) amend any of the special requirements in column (3) of the table in Part A or B of Annex 7 to the Phytosanitary Conditions Regulation to set out the equivalent requirements that apply to the plant, plant product or other object in question; or
- (b) specify the conditions under which the decision of the UK NPPO applies. ◀

► **M1** 1B. In paragraph 1, 'relevant decision' means a decision of the UK NPPO confirming that specific measures which are applied in the third country in relation to a particular plant, plant product or other object from that third country provide a level of phytosanitary protection which is equivalent to the measures included in the special requirements in relation to that plant, plant product or other object in Annex 7 to the Phytosanitary Conditions Regulation. ◀

2. ► **M1** ----- ◀

Article 45

Information to be provided to travellers and clients of postal services

1. ► **M1** The competent authorities and seaport, airport and international transport operators must make information available to passengers concerning the prohibitions referred to in Article 40(1) and 42(2), the requirements referred to in Article 41(1) and any exemption which applies by virtue of Article 75(1) as regards the introduction of plants, plant products and other objects into Great Britain from a third country. ◀

They shall provide that information in the form of posters or brochures and, where applicable, on their internet sites ► **M1**, in the standardised format published by the appropriate authority (if any) ◀.

Postal services and professional operators involved in sales through distance contracts shall also make available to their clients that information concerning plants, plant products and other objects referred to in the first subparagraph at least through the internet.

► **M1** The appropriate authority may publish, in such manner as the appropriate authority considers appropriate, a standardised format for any poster or brochure which must be used for the purposes of the first subparagraph of this paragraph. ◀

2. ► **M1** ----- ◀

► M1 Article 46

Exception from prohibitions and requirements for frontier zones ◀

Article 47

Requirements for phytosanitary transit

1. By way of derogation from Article 40(1), Article 41(1), Article 42(2), Article 72(1) and Article 73, plants, plant products and other objects may be introduced into, and be passed through, ► M1 Great Britain ◀ to a third country, either in the form of transit or transshipment ('phytosanitary transit'), if they fulfil both of the following conditions:
 - (a) they are accompanied by a signed declaration of the professional operator in control of those plants, plant products and other objects stating that those plants, plant products or other objects are in phytosanitary transit;
 - (b) they are packed and moved in such a way that there is no risk of spreading of ► M1 GB ◀ quarantine pests during their introduction into, and passing through, ► M1 Great Britain ◀.
2. ► M1 The competent authority ◀ shall prohibit phytosanitary transit if the plants, plant products or other objects concerned do not comply, or there is reasonable evidence that they will not comply, with paragraph 1.

Article 48

Plants, plant products and other objects used for official testing, scientific or educational purposes, trials, varietal selection or breeding

1. By way of derogation from Article 40(1), Article 41(1) and Article 42(2), ► M1 a competent authority ◀ may, on application, authorise temporarily the introduction into, and the movement within, ► M1 the territory for which it is responsible ◀ of plants, plant products and other objects used for official testing, scientific or educational purposes, trials, varietal selection or breeding.

That authorisation shall be granted for the activity concerned only if adequate restrictions are imposed to ensure that the presence of the plants, plant products or other objects concerned does not cause an unacceptable risk of the spread of a ► M1 GB quarantine pest or provisional GB quarantine pest ◀, taking into account the identity, biology and means of dispersal of the pests concerned, the activity envisaged, the interaction with the environment and other relevant factors relating to the pest risk posed by those plants, plant products or other objects.

2. Where an authorisation is granted in accordance with paragraph 1, it shall include all of the following conditions:
 - (a) the plants, plant products or other objects concerned are to be kept in a location and under conditions found to be appropriate by the competent ► M1 authority ◀ and referred to in the authorisation;
 - (b) the activity involving those plants, plant products or other objects is to be carried out in a quarantine station or a confinement facility designated in accordance with Article 60 by the competent authority and referred to in the authorisation;
 - (c) the activity involving those plants, plant products or other objects is to be carried out by personnel whose scientific and technical competence is found to be appropriate by the competent authority and referred to in the authorisation;

- (d) those plants, plant products or other objects are to be accompanied by the authorisation when introduced into, or moved within, the ►M1 territory in question ◄.

3. The authorisation referred to in paragraph 1 shall be limited to the amount and duration that is adequate for the activity concerned and shall not exceed the capacity of the designated quarantine station or confinement facility.

It shall include the restrictions necessary to adequately eliminate the risk of the spread of the relevant ►M1 GB quarantine pest or provisional GB quarantine pest ◄.

4. The competent authority shall monitor compliance with the conditions referred to in paragraph 2 and the limitation and the restrictions referred to in paragraph 3 and take the necessary action in case those conditions, that limitation or those restrictions are not complied with.

Where appropriate, that action shall be the revocation of the authorisation referred to in paragraph 1.

5. The ►M1 appropriate authority may by regulations supplement ◄ this Regulation by laying down detailed rules concerning:

- (a) ►M1 ----- ◄;
- (b) the procedures and conditions for granting the authorisation referred to in paragraph 1 of this Article; and
- (c) the requirements for the monitoring of compliance and the actions to be taken in the event of non-compliance, as referred to in paragraph 4 of this Article.

Article 49

Temporary measures concerning plants, plant products and other objects likely to pose newly identified pest risks or other suspected phytosanitary risks

1. The ►M1 appropriate authority may by regulations impose ◄ temporary measures as regards the introduction into, and movement within, ►M1 Great Britain ◄ of plants, plant products and other objects from third countries where the following conditions are fulfilled:

- (a) the plants, plant products or other objects are likely to pose newly identified pest risks which are not sufficiently covered by any ►M1 existing measures and are not linked, or cannot yet be linked to, GB quarantine pests or provisional GB quarantine pests ◄;
- (b) there is insufficient phytosanitary experience, such as in relation to new plant species or pathways, as regards trade in the plants, plant products and other objects concerned originating in or dispatched from the third countries concerned;
- (c) no assessment has been carried out as regards the newly identified pest risks for ►M1 Great Britain ◄ in respect of those plants, plant products or other objects from the third countries concerned.

►M1 ----- ◄

2. The temporary measures referred to in paragraph 1 shall be adopted taking into account Section 2 of Annex II and Annex IV.

They shall provide for one or more of the following, as necessary in the case concerned:

- (a) systematic and intensive inspections and sampling, at the point of introduction, of each lot of plants, plant products or other objects introduced into ► M1 Great Britain ◀ and the testing of samples;
- (b) a quarantine period, within a quarantine station or a confinement facility as referred to in Article 60, to verify the absence of the newly identified pest risk concerned in those plants, plant products or other objects;
- (c) a prohibition of the introduction of those plants, plant products or other objects into ► M1 Great Britain ◀.

In the cases referred to in points (a) and (b) of the second subparagraph, ► M1 regulations made under ◀ in paragraph 1 may also set out specific measures to be taken before the introduction into ► M1 Great Britain ◀ of those plants, plant products or other objects.

3. The temporary measures referred to in paragraph 1 shall apply for an appropriate and reasonable period of time, pending the characterisation of pests likely to be associated with those plants, plant products or other objects from those third countries and the full assessment of the risks posed by those pests in accordance with Section 1 of Annex I.

4. ► M1 ----- ◀

5. By way of derogation from the measures adopted pursuant to paragraph 1 of this Article, Article 48 shall apply to the introduction into, and the movement within, ► M1 Great Britain ◀ of plants, plant products or other objects used for official testing, scientific or educational purposes, trials, varietal selection or breeding.

6. ► M1 ----- ◀

► M1 Article 50

~~Report from the Commission on the enforcement and effectiveness of measures relating to imports into union territory ◀~~

Article 51

Amendment of Annexes III and IV

The ► M1 appropriate authority may by regulations amend ◀ Annexes III and IV in order to adapt them to the development of scientific and technical knowledge and of relevant international standards.

► M1 Article 52

~~Temporary measures by Member States concerning imminent danger ◀~~

Section 2

Measures relating to ► M1 GB pest-free areas ◀

Article 53

Prohibition of introduction of plants, plant products and other objects into ► M1 GB pest-free areas ◀

1. ► M1 Any plants, plant products or other objects of a description specified in any entry in column (1) of the table in Annex 9 to the Phytosanitary Conditions Regulation, whether originating in a third country, Great Britain or a CD territory, may not be introduced into, or moved within, a GB pest-free area listed in the corresponding entry in column (2) of the table. ◀

2. ► **M1** The appropriate authority may by regulations amend Annex 9 to the Phytosanitary Conditions Regulation where the amendment is appropriate in the light of a risk assessment in relation to a plant, plant product or other object. ◀

3. ► **M1** For the purposes of paragraph 2, an amendment is appropriate if, on the basis of the risk assessment, it is concluded:

- (a) in the case of a plant, plant product or other object which is not included in Annex 9 to the Phytosanitary Conditions Regulation, that the plant, plant product or other object poses a pest risk of an unacceptable level which cannot be reduced to an acceptable level by applying one or more of the measures set out in points (2) and (3) of Section 1 of Annex 2; or
- (b) in the case of any plant, plant product or other object which is included in Annex 9 to the Phytosanitary Conditions Regulation, that the plant, plant product or other object no longer poses a pest risk of an unacceptable level, or that it continues to pose a pest risk of an unacceptable level, but that the risk can be reduced to an acceptable level by applying one or more of the measures set out in points (2) and (3) of Section 1 of Annex 2. ◀

► **M1** 3A. A plant, plant product or other object may only be regarded as posing a pest risk of an unacceptable level for the purposes of paragraph 3 if the risk assessment shows that the plant, plant product or other object may host a PFA quarantine pest and, in determining the acceptability of the level of pest risk to the GB pest-free area which relates to the PFA quarantine pest, the risk assessment was carried out in accordance with the principles set out in Section 2 of Annex 2. ◀

4. ► **M1** ----- ◀

Article 54

Plants, plant products and other objects subject to special requirements for ► **M1 GB pest-free areas ◀**

1. ► **M1** Plants, plant products or other objects of a description specified in any entry in column (1) of the table in Annex 10 to the Phytosanitary Conditions Regulation, whether originating in a third country, Great Britain or a CD territory, may only be introduced into, or moved within, a GB pest-free area listed in the corresponding entry in column (3) of the table if the special requirements specified in the corresponding entry in column (2) of the table are fulfilled. ◀

2. ► **M1** ----- ◀

3. ► **M1** The appropriate authority may by regulations amend Annex 10 to the Phytosanitary Conditions Regulation where the amendment is appropriate in the light of a risk assessment in relation to a plant, plant product or other object. ◀

► **M1** 3A. For the purposes of paragraph 3, an amendment is appropriate if, on the basis of the risk assessment, it is concluded:

- (a) in the case of a plant, plant product or other object which is not included in Annex 10 to the Phytosanitary Conditions Regulation, that the plant, plant product or other object poses a pest risk of an unacceptable level which can be reduced to an acceptable level by applying one or more of the measures set out in points (2) and (3) of Section 1 of Annex 2; or ◀
- (b) the case of a plant, plant product or other object which is included in Annex 10 to the Phytosanitary Conditions Regulation, that the plant, plant product or other object poses a pest risk of an unacceptable level which can no longer be reduced to an acceptable level by applying one or

more of the measures set out in points (2) and (3) of Section 1 of Annex 2, or that the pest risk can continue to be reduced to an acceptable level by applying alternative measures to those specified in Annex 10 to the Phytosanitary Conditions Regulation.

► **M1** 3B. A plant, plant product or other object may only be regarded as posing a pest risk of an unacceptable level for the purposes of paragraph 3A if the risk assessment shows that the plant, plant product or other object may host a PFA quarantine pest and, in determining the acceptability of the level of pest risk to the GB pest-free area which relates to the PFA quarantine pest and the measures to reduce that risk to an acceptable level, the risk assessment was carried out in accordance with the principles set out in Section 2 of Annex 2. ◀

4. ► **M1** ----- ◀

Article 55

Information to be provided to travellers and clients of postal services as regards ► **M1 GB pest-free areas ◀**

Article 45 shall apply mutatis mutandis to the introduction into, or the movement within, ► **M1** GB pest-free areas ◀ of plants, plant products and other objects.

► **M1 Article 56**

~~**Exception from prohibitions and requirements for frontier zones as regards protected zones ◀**~~

Article 57

Requirements for phytosanitary transit as regards ► **M1 GB pest-free areas ◀**

Article 47 shall apply mutatis mutandis to the phytosanitary transit of the plants, plant products and other objects ► **M1** of a description specified in any entry in column (1) of the tables in Annex 9 and 10 to the Phytosanitary Conditions Regulation through the GB pest-free areas related to those plants, plant products or other objects. ◀.

Article 58

Plants, plant products and other objects used for official testing, scientific or educational purposes, trials, varietal selection or breeding as regards ► **M1 GB pest-free areas ◀**

By way of derogation from ► **M1** ----- ◀ Article 53(1) and Article 54(1), Article 48 shall apply mutatis mutandis to the introduction into, and the movement within, ► **M1** a GB pest-free area of plants, plant products and other objects of a description specified in any entry in column (1) of the tables in Annex 9 and 10 to the Phytosanitary Conditions Regulation which relate to that GB pest-free area ◀ and (3) and used for official testing, scientific or educational purposes, trials, varietal selection or breeding.

Section 3

Other measures concerning plants, plant products and other objects

Article 59

General requirements for vehicles, machinery and packaging material

1. ► **M1** Vehicles, machinery and packaging material used for plants, plant products or other objects of a description specified in any entry in Annex 6, 7 or 8 to the Phytosanitary Conditions Regulation, or in any regulations made under Article 28(1), 30(1) or 49(1), and moving into or within Great Britain, or through Great Britain pursuant to Article 47, must be free from GB quarantine pests and GB provisional quarantine pests. ◀

2. Paragraph 1 shall apply to ►M1 GB pest-free areas◄ also as regards ►M1 a PFA quarantine pest relating to that GB pest-free area ◄.

Article 60

Designation of quarantine stations and confinement facilities

1. For the purposes referred to in Articles 8, 48, 49 and 58, ►M1 the competent authorities◄ shall take one or more of the following actions, taking into account the relevant pest risk:

- (a) designate in ►M1 the territories for which they are responsible ◄ quarantine stations or confinement facilities;
- (b) ►M1 ----- ◄
- (c) designate temporarily the premises of professional operators or other persons ►M1 in the territories for which they are responsible ◄ as confinement facilities for the pests, plants, plant products or other objects and their relevant uses as set out in Articles 8, 48 and 49.

2. ►M1 ----- ◄

Article 61

Requirements for quarantine stations and confinement facilities

1. Quarantine stations and confinement facilities referred to in Article 60 shall meet the following requirements to prevent the spread of ►M1 GB ◄ quarantine pests:

- (a) they provide physical isolation of the pests, plants, plant products and other objects to be kept in quarantine or confinement and ensure they cannot be accessed or removed from those stations or facilities without consent of the competent authority;
- (b) they have systems, or access to systems, for sterilisation, decontamination or destruction of infested plants, plant products and other objects, waste and equipment before removal from the stations or facilities;
- (c) they have an identification and description of the tasks of those stations and facilities, the persons responsible for carrying out those tasks and the conditions under which they shall carry out those tasks;
- (d) they have a sufficient number of suitably qualified, trained and experienced personnel; and
- (e) they have a contingency plan for the purpose of effectively eliminating any unintended presence of ►M1 GB quarantine pests and provisional GB quarantine pests ◄ and preventing their spread.

2. The ►M1 appropriate authority may by regulations ◄ lay down specific rules in order to provide uniform conditions of application for the requirements set out in paragraph 1 in relation to the type of plants, plant products and other objects and the actual or potential risk, including specific requirements for official testing, scientific or educational purposes, trials, varietal selection or breeding.

►M1 ----- ◄

Article 62

Operation of quarantine stations and confinement facilities

1. The person responsible for the quarantine station or confinement facility shall monitor that station or facility and its immediate vicinity for the unintended presence of ►M1 GB quarantine pests and provisional GB quarantine pests ◀.
2. Where an unintended presence of a pest referred to in paragraph 1 is found or suspected, the person responsible for the quarantine station or confinement facility concerned shall take the appropriate action, based on the contingency plan referred to in point (e) of Article 61(1). The obligations set out for professional operators in Article 14 shall apply mutatis mutandis to the person responsible for the quarantine station or confinement facility.
3. The person responsible for the quarantine station or confinement facility shall keep records on the following:
 - (a) the personnel employed;
 - (b) the visitors accessing the station or facility;
 - (c) the pests, plants, plant products and other objects entering and leaving the station or facility;
 - (d) the place of origin of such plants, plant products and other objects; and
 - (e) observations concerning the presence of pests on such plants, plant products and other objects inside the quarantine station or confinement facility and in its immediate vicinity.

Those records shall be kept for three years.

Article 63

Supervision of quarantine stations and confinement facilities and revocation of designation

1. The competent ►M1 authorities ◀ shall regularly inspect the quarantine stations and confinement facilities ►M1 which they have designated ◀ to verify whether they meet the requirements set out in Article 61 and the operation conditions set out in Article 62.

►M1 They ◀ shall determine the frequency of those inspections according to the pest risk related to the operation of the quarantine stations or confinement facilities.
2. ►M1 Where corrective actions to ensure compliance with Articles 61 and 62 are considered by a competent authority to be necessary ◀, the competent authority may require the person responsible for the quarantine station or confinement facility to implement ►M1 those ◀ corrective actions ►M1 -- ◀, either immediately or within a specified period of time.

Where the competent authority concludes that the quarantine station or confinement facility ►M1 does not comply with Articles 61 and 62 ◀ or the person responsible for it ►M1 has failed ◀ to comply with Articles 61 and 62, that authority shall without delay take the measures necessary to ensure that non-compliance with those provisions does not continue. Those measures may include the revocation or suspension of the designation referred to in Article 60(1).

3. Where the competent authority has taken measures in accordance with paragraph 2 of this Article other than the revocation of the designation referred to in Article 60(1), and non-compliance with Articles 61 and 62 continues, that authority shall without delay revoke that designation.

Article 64

Release of plants, plant products and other objects from quarantine stations and confinement facilities

1. Plants, plant products and other objects shall only leave ►M1 a quarantine station or a confinement facility ◄, upon authorisation by the competent ►M1 authority ◄, if it is confirmed that they are free from ►M1 GB quarantine pests and provisional GB quarantine pests, or, where applicable, PFA ◄ quarantine pests.
2. The competent authority may authorise the movement of plants, plant products or other objects infested by a ►M1 GB quarantine pest or provisional GB quarantine pest ◄ from a quarantine station or a confinement facility to another quarantine station or confinement facility if that movement is justified by official testing or scientific reasons and takes place under the conditions set by the competent authority.
3. The ►M1 appropriate authority may by regulations ◄ lay down specific rules concerning the release of plants, plant products and other objects from quarantine stations and confinement facilities, and, where appropriate, labelling requirements related to that release or to the movement referred to in paragraph 2.

►M1 ----- ◄

CHAPTER V

Registration of professional operators and traceability

Article 65

Official register of professional operators

1. The competent ►M1 authorities ◄ shall keep and update a register containing the following professional operators who operate in the ►M1 Great Britain ◄:
 - (a) professional operators introducing into, or moving within, the ►M1 Great Britain ◄ plants, plant products and other objects for which a phytosanitary certificate or a ►M1 UK ◄ plant passport is required ►M1 ----- ◄ pursuant to Article 72(1), Article 73, Article 74(1), Article 79(1) and Article 80(1);
 - (b) professional operators authorised to issue ►M3 UK ◄ plant passports in accordance with Article 89;
 - (c) professional operators who request the competent authority to issue the certificates referred to in Articles 100 ►M1 and 101 ◄;
 - (d) professional operators authorised to apply the marks referred to in Article 98, authorised to issue the attestations referred to in Article 99, providing information in accordance with Articles 45 or 55, ►M1 ----- ◄ or carrying out activities concerning the relevant plants in demarcated areas, unless those operators are listed in another official register which is accessible to the competent authorities; and
 - (e) professional operators other than the ones referred to in points (a) to (d) of this subparagraph, if so required by an ►M1 the special requirements in Annex 7, 8 or 10 or by regulations made under Article 28(1), 30(1) or 49(1) ◄.

►M1 ----- ◄

2. ► **M1** The competent authorities must ensure that a professional operator is registered only once.
◄

3. Paragraph 1 of this Article shall not apply to a professional operator who fulfils one or more of the following criteria:

- (a) ► **M1** ---- ◄
- (b) it supplies exclusively and directly to final users small quantities of seeds, other than the seeds subject to Article 72;
- (c) its professional activity concerning plants, plant products and other objects is limited to transporting them for another professional operator;
- (d) its professional activity exclusively concerns the transport of objects of all kinds using wood packaging material.

► **M1** ---- ◄

4. ► **M1** The appropriate authority may by regulations ◄:

- (a) ► **M1** amend ◄ this Regulation by adding further categories of professional operators to be exempted from the application of paragraph 1 of this Article, where registration would constitute an administrative burden for them disproportionate to the low pest risk related to their professional activities;
- (b) ► **M1** supplement ◄ this Regulation by setting out particular requirements for the registration of certain categories of professional operators, taking into account the nature of the activity or of the plant, plant product or other object concerned;
- (c) ► **M1** ---- ◄

Article 66 Procedure of registration

1. Professional operators falling within the scope of Article 65(1) shall submit to the competent authorities an application for registration.

2. The application for registration shall include the following elements:

- (a) name, address in ► **M1** Great Britain ◄ and contact details of the professional operator;
- (b) a statement concerning the intention of the professional operator to exercise one or more of the activities referred to in Article 65(1) concerning plants, plant products and other objects;
- (c) a statement concerning the intention of the professional operator to carry out, as applicable, one or more of the following activities:
 - (i) issuing of ► **M3** UK ◄ plant passports for plants, plant products and other objects pursuant to Article 84(1);
 - (ii) placing of the mark on wood packaging material referred to in Article 96(1);
 - (iii) issuing of any other attestation referred to in Article 99(1);
- (d) address of the premises and, where applicable, the location of land plots used by the professional operator ► **M1** ---- ◄ to carry out the activities referred to in Article 65(1) for the purpose of the registration; and

- (e) the commodity types, families, genera or species of the plants and plant products and, where appropriate, the nature of other objects concerned by the activities of the professional operator, as referred to in Article 65(1).

3. The competent authorities shall register a professional operator without delay where the application for registration contains the elements set out in paragraph 2.

4. ►M1 ----- ◄

5. Registered operators shall, where relevant, submit annually an update concerning any changes in the data referred to in points (d) and (e) of paragraph 2 and the statements referred to in points (b) and (c) of paragraph 2. That submission shall take place by 30 April of each year with regard to the updating of the data of the preceding year.

An application for updating the data referred to in point (a) of paragraph 2 shall be submitted no later than 30 days after the change in those data.

6. Where the competent authority becomes aware that ►M1 a ◄registered operator no longer carries out the activities referred to in Article 65(1), or that the elements included in the application submitted by the registered operator in accordance with paragraph 2 of this Article are no longer correct, it shall request that operator to correct those elements immediately or within a specified period of time.

Where the registered operator does not correct those elements within the period of time set by the competent authority, the competent authority shall, as appropriate, amend or revoke the registration of that operator.

Article 67

Content of the register

The register shall contain the elements set out in points (a), (b), (d) and (e) of Article 66(2) and the following elements:

- (a) the official registration number, ►M1 for the professional operator ◄;
- (b) where applicable, an indication for which of the activities referred to in point (c) of Article 66(2) the professional operator is authorised, and, where applicable, the specific plants, plant products or other objects concerned.

Article 68

Availability of information of official registers

1. ►M1 ----- ◄

2. The ►M1 competent authority ◄ shall make available, on justified request, the information referred to in points (a) and (b) of Article 66(2) and point (b) of Article 67 concerning a particular registered operator to any professional operator established in ►M1 Great Britain ◄, for its own use.

3. This Article shall apply without prejudice to ►M1 ----- ◄ rules on confidentiality, access to information and private data protection.

Article 69

Traceability

1. ►M3 A professional operator to whom a regulated trade unit is supplied must keep a record allowing that operator to identify the professional operator who supplied it. ◄

2. ► **M3** A professional operator supplying a regulated trade unit must keep a record allowing that operator to identify the professional operator to whom it was supplied. ◀
3. Where an authorised operator issues a ► **M1** UK ◀ plant passport pursuant to Article 84(1), and where the competent authority issues a ► **M1** UK ◀ plant passport pursuant to Article 84(2) for a registered operator, that operator shall ensure, for the purpose of ensuring traceability pursuant to paragraphs 1 and 2 of this Article, that it records the following information as regards that ► **M1** UK ◀ plant passport:
- (a) where applicable, the professional operator who supplied the trade unit concerned;
 - (b) the professional operator to whom the trade unit concerned was supplied; and
 - (c) relevant information relating to the plant passport.
4. Professional operators shall keep the records referred to in paragraphs 1, 2 and 3 for at least three years after the date on which the plant, plant product or other object concerned was supplied to or by them.
5. The ► **M1** appropriate authority may by regulations ◀ set out the following elements:
- (a) a shorter or longer minimum period than the one referred to in paragraph 4 with regards to specific plants, where so justified by the length of the cultivation period of those plants; and
 - (b) requirements as to the accessibility of the records to be kept by the professional operators referred to in paragraphs 1 and 2.

► **M1** ----- ◀

6. On request, the professional operators referred to in paragraph 4 shall communicate the information in the records referred to in paragraphs 1, 2 and 3 to the competent authority.
7. This Article shall not apply to the professional operators referred to in points (c) and (d) of the first subparagraph of Article 65(3).
- **M3** 8. In this Article 'regulated trade unit' means a trade unit of plants, plant products or other objects that are subject to requirements or conditions pursuant to Article 28(1), 30(1), 37(1), 41, 47(1), 48(1), 49(1), 54(1), 57, 58 or 79(1) or a relevant NI trade unit. ◀

Article 70

Movements of plants, plant products and other objects within and between the premises of the professional operator

1. The professional operators who are supplied with, or supply, the plants, plant products or other objects ► **M3** in the trade units ◀ referred to in Article 69(1) and (2) shall have in place traceability systems or procedures to allow identification of the movements of those plants, plant products and other objects within and between their own premises.

The first subparagraph shall not apply to the professional operators referred to in points (c) and (d) of the first subparagraph of Article 65(3).

2. The information identified by the systems or procedures referred to in paragraph 1 on the movement of the plants, plant products and other objects within and between the premises of the professional operators referred to in that paragraph shall be made available to the competent authority on request.

CHAPTER VI

Certification of plants, plant products and other objects

Section 1

Phytosanitary certificates required for the introduction of plants, plant products and other objects into ► M1 Great Britain ◀

Article 71

Phytosanitary certificate for introduction into ► M1 Great Britain ◀

1. A phytosanitary certificate for introduction of plants, plant products and other objects into ► M1 Great Britain ◀ shall be a document, issued by a ► M3 relevant authority ◀, which fulfils the conditions of Article 76, has the contents set out in Part A of Annex V, or, where applicable, Part B of Annex V, and certifies that the plant, plant product or other object concerned complies with all of the following requirements:
 - (a) it is free from ► M1 GB quarantine pests and provisional GB quarantine pests ◀;
 - (b) it complies with the provisions of Article 37(1) concerning the presence of ► M1 GB ◀ regulated non-quarantine pests on plants for planting;
 - (c) it complies with the requirements referred to in Article 41 ► M1 (1) ◀ or, where applicable, Article 54 ► M1 (1) ◀;
 - (d) where applicable, it complies with ► M1 any requirements specified in regulations made under Article 28(1) or 30(1) ◀.
2. ► M1 Where one or more options are specified for the purposes of meeting any such requirements, the ◀ phytosanitary certificate shall specify under the heading 'Additional Declaration' which specific requirement is fulfilled ► M1 ----- ◀. That specification shall include the full wording of the relevant requirement.
3. Where ► M1 required by regulations made under Article 44(1) ◀, the phytosanitary certificate shall state that the plants, plant products or other objects concerned comply with phytosanitary measures recognised ► M1 for the purposes of those regulations ◀ as equivalent ► M1 ----- ◀.
4. The ► M1 appropriate authority may by regulations amend ◀ Parts A and B of Annex V to adapt them to the development of the relevant international standards.
- M3 5. In paragraph 1, 'relevant authority' means:
 - (a) in the case of plants, plant products and other objects which are introduced into Great Britain from a third country, the national plant protection organisation of that third country;
 - (b) in the case of plants, plant products and other objects which are introduced into Great Britain from Northern Ireland and are not part of a relevant NI trade unit, the Department of Agriculture, Environment and Rural Affairs. ◀

Article 72

Plants, plant products and other objects for which phytosanitary certificates are required

1. ► M1 Plants, plant products and other objects of a description specified in any entry in columns (1) and (2) of the table in Part A of Annex 11 to the Phytosanitary Conditions Regulation which originate in any third country listed in the corresponding entry in column (3) of that table may not be introduced into Great Britain unless they are accompanied by a phytosanitary certificate. ◀

►M1 ----- ◀

2. ►M1 ----- ◀

3. ►M1 The appropriate authority may by regulations amend Part A of Annex 11 to the Phytosanitary Conditions Regulation ◀, in accordance with the principles set out in Section 2 of Annex II, where there is a risk that a plant, plant product or other object not listed in ►M1 that Part hosts a GB quarantine pest or provisional GB quarantine pest ◀, or where, for a plant, plant product or other object listed in that ►M1 Part ◀, that risk no longer exists.

4. ►M1 ----- ◀

5. By way of derogation from paragraphs 1►M1 ----- ◀ and 3, no phytosanitary certificate shall be required for the plants, plant products or other objects which are subject to ►M1 Articles 47, 48 and 75(1)◀.

Article 73

Other plants for which phytosanitary certificates are required

►M1 1. Plants of a description specified in columns (1) and (2) of Part B of Annex 11 to the Phytosanitary Conditions Regulation may not be introduced into Great Britain from third countries unless they are accompanied by a phytosanitary certificate. ◀

►M1 2. ◀ ►M1 The appropriate authority may by regulations amend Part C of Annex 11 to the Phytosanitary Conditions Regulation ◀ where an assessment, based on evidence about pest risks and experience with trade, demonstrates that such a certificate is not necessary. That assessment shall take into account the criteria set out in Annex VI. Where appropriate, that assessment may only concern plants of a particular third country of origin or dispatch, or a group of third countries of origin or dispatch.

►M1 ----- ◀

Article 74

Plants, plant products and other objects for which phytosanitary certificates are required for introduction into a ►M1 GB pest-free area ◀

1. ►M1 Any plants, plant products and other objects of a description specified in an entry (if any) in columns (1) and (2) of the table in Annex 12 to the Phytosanitary Conditions Regulation, which originate in a third country specified in the corresponding entry in column (3) of the table and are destined for a GB pest-free area established in respect of those plants, plant products or other objects, may not be introduced into Great Britain unless they are accompanied by a phytosanitary certificate. ◀

2. ►M1 ----- ◀

3. ►M1 The appropriate authority may by regulations amend Annex 12 to the Phytosanitary Conditions Regulation in accordance with the principles set out in Section 2 of Annex 2, where there is a risk that a plant, plant product or other object not listed in Annex 11 or in Annex 12 to the Phytosanitary Conditions Regulation hosts a PFA quarantine pest, or where, for a plant, plant product or other object listed in Annex 11 or 12 to the Phytosanitary Conditions Regulation, that risk no longer exists, ◀

►M1 3A. Regulations under paragraph 3 may apply in relation to a plant, plant product or other object originating in, or dispatched from, all third countries or particular third countries or regions. ◀

4. ►M1 ----- ◀

5. By way of derogation from paragraphs 1 ►M1 ----- ◀ and 3, no phytosanitary certificate shall be required for the plants, plant products or other objects which are subject to ►M1 Article 57, 58 or 75(1)◀.

►M1 Article 74a

Commodity classification ◀

►M1 The appropriate authority may by regulations amend Annex 11 to the Phytosanitary Conditions Regulation to:

- (a) remove the references to any CN codes used in that Annex to identify the plants plant products and other objects listed; or
- (b) identify the plants, plant products and other objects listed in that Annex by any alternative commodity code classification system that the appropriate authority considers appropriate. ◀

Article 75

Exceptions for travellers' luggage

1. Small quantities of particular plants, other than plants for planting, and of plant products and other objects from a third country may be exempted from the requirement for a phytosanitary certificate set out in ►M1 ----- ◀ Article 72(1), Article 73 or Article 74(1), if they comply with all of the following conditions:

- (a) they are introduced into ►M1 Great Britain ◀ as part of travellers' personal luggage;
- (b) they are not to be used for professional or commercial purposes;
- (c) they are listed in an ►M1 regulations made under ◀ paragraph 2 of this Article.

2. The ►M1 appropriate authority may by regulations ◀ list the plants, plant products and other objects referred to in paragraph 1 and the third countries concerned, and set out the maximum quantity, as appropriate, of the plants, plant products and other objects concerned that are to be subject to the exemption of that paragraph and, where appropriate, one or more of the risk management measures set out in Section 1 of Annex II.

That listing and the setting out of the maximum quantity concerned and, where appropriate, the risk management measures shall be decided on the basis of the pest risk posed by small quantities of those plants, plant products and other objects, in accordance with the criteria set out in Section 2 of Annex II.

►M1 ----- ◀

Article 76

Conditions to be fulfilled by a phytosanitary certificate

1. Without prejudice to obligations under the ►M1 ----- ◀ IPPC and taking into account relevant international standards, the competent authority shall only accept a phytosanitary certificate accompanying plants, plant products or other objects to be introduced from a third country, if the content of that certificate complies with Part A of Annex V. Where the plants, plant products or other objects are to be introduced from a third country from which they do not originate, the competent authority shall only accept a phytosanitary certificate complying either with Part A or Part B of Annex V.

It shall not accept that phytosanitary certificate where the additional declaration referred to in Article 71(2), where applicable, is not present or not correct, and where the statement referred to in Article 71(3), where applicable, is not present.

It shall not accept a phytosanitary certificate for re-export if that phytosanitary certificate is not accompanied by the original phytosanitary certificate for export, or a certified copy of the original phytosanitary certificate for export.

2. The competent authority shall only accept a phytosanitary certificate if it fulfils the following requirements:

- (a) it is issued in ►M1 English ◄;
- (b) it is addressed to the national plant protection organisation of ►M1 the United Kingdom ◄; and
- (c) it has been issued no more than 14 days before the date on which the plants, plant products or other objects covered by it have left the third country in which it was issued.

3. In the case of a third country which is a contracting party to the IPPC, the competent authority shall only accept the phytosanitary certificates issued by the official national plant protection organisation of that third country or, under its responsibility, by a public officer who is technically qualified and duly authorised by that official national plant protection organisation.

4. In the case of a third country which is not a contracting party to the IPPC, the competent authority shall only accept the phytosanitary certificates issued by the authorities which are competent in accordance with the national rules of that third country and notified to the ►M1 UK NPPO ◄. ►M1 --- -- ◄

The ►M1 appropriate authority may by regulations ◄ supplementing the conditions for acceptance referred to in the first subparagraph of this paragraph to ensure the reliability of those certificates.

5. Electronic phytosanitary certificates shall only be accepted when provided through, or in electronic exchange with, a computerised information management system for official controls at Union level.

Electronic phytosanitary certificates shall only be accepted when provided through, or in electronic exchange with, the ►M1 appropriate computerised information management system ◄.

Article 77

Invalidation of phytosanitary certificate

1. Where a phytosanitary certificate has been issued in accordance with Article 71(1), (2) and (3), and the competent authority concerned concludes that the conditions referred to in Article 76 are not fulfilled, it shall invalidate that phytosanitary certificate and ensure that it no longer accompanies those plants, plant products or other objects concerned. In that case, and in respect of the plants, plant products or other objects concerned, the competent authority shall take one of the measures set out in Article 66(3) of Regulation (EU) 2017/625.

Upon invalidation, the certificate concerned shall bear on its face and in a prominent position a triangular stamp in red, marked ►M1 CERTIFICATE CANCELLED ◄ from the ►M1 ----- ◄ competent authority, together with its denomination and the date of invalidation. It shall be in capital letters, and in at least one of the official languages of the Union.

2. ►M1 ----- ◄

3. The ►M1 appropriate authority may by regulations ◄ set out technical arrangements concerning the invalidation of the electronic phytosanitary certificates, as referred to in Article 76(5). ►M1 ----- ◄

Section 2

►M1 UK ◄ Plant passports required for the movement of plants, plant products and other objects within ►M1 or into Great Britain ◄

Article 78

►M1 UK ◄ Plant passports

►M1 1. A UK plant passport shall be an official label to be used for:

- (a) the movement of plants, plant products and other objects described in Articles 79 and 80 (including the introduction of plants, plant products and other objects into Great Britain from a CD territory); and
- (b) the movement of plants, plant products and other objects from Great Britain to a CD territory. ◄

►M1 2. Articles 83 to 92 and 93 to 95 apply in relation to any UK plant passport issued in Great Britain. ◄

►M1 3. Article 92a applies to any UK plant passport issued in a CD territory that accompanies plants, plant products or other objects that are introduced into Great Britain from that CD territory and are subsequently moved within Great Britain. ◄

Article 79

Plants, plant products and other objects for which a ►M1 UK ◄ plant passport is required for movement within ►M1 Great Britain ◄

1. ► Plants, plant products and other objects listed in Annex 13 to the Phytosanitary Conditions Regulation may not be moved within Great Britain or introduced into Great Britain from a CD territory, unless they are accompanied by a UK plant passport. ◄

►M1 1A. Paragraph 1 is subject to Articles 81 and 82 ◄►M3 and, in relation to qualifying Northern Ireland goods, to Article 95a(2) ◄.

2. ►M1 ----- ◄

3. ►M1The appropriate authority may by regulations amend Annex 13 to the Phytosanitary Conditions Regulation in accordance with the principles set out in Section 2 of Annex 2:

- (a) to include a plant, plant product or other object that is not included in Annex 13 to the Phytosanitary Conditions Regulation, where there is a risk that the plant, plant product or other object hosts a GB quarantine pest or a provisional GB quarantine pest;
- (b) to remove a plant, plant product or other object listed in that Annex, where the risk that it hosts a GB quarantine pest or a provisional GB quarantine pest no longer exists ◄

4. ►M1 ----- ◄

5. By way of derogation from paragraphs 1►M1 ----- ◄ and 3, no ►M3 UK ◄ plant passport shall be required for the plants, plant products or other objects which are subject to ►M1 Article 47, 48 or 75(1)◄.

6. ►M1 ----- ◄

Article 80

Plants, plant products and other objects for which a ► M1 UK ◀ plant passport is required for introduction into, and movement within, ► M1 GB pest-free areas ◀

1. ► Any plants, plant products and other objects described in any entry in column (1) of the table in Annex 14 to Phytosanitary Conditions Regulation may not be introduced into, or moved within, a GB pest-free area specified in the corresponding entry in column (2) of the table unless they are accompanied by a UK plant passport. ◀

► M1 1A. Paragraph 1 is subject to Articles 81 and 82. ◀

2. ► M1 ----- ◀

3. ► M1 The appropriate authority may by regulations amend Annex 14 to the Phytosanitary Conditions Regulation in accordance with the principles set out in Section 2 of Annex 2:

- (a) to include a plant, plant product or other object which is not listed in Annex 14 to the Phytosanitary Conditions Regulation, where there is a risk that the plant, plant product or other object hosts a PFA quarantine pest; or
- (b) to remove a plant, plant product or other object from the list in Annex 14 to the Phytosanitary Conditions Regulation where the risk that it hosts a PFA quarantine pest no longer exists. ◀

4. ► M1 ----- ◀

5. By way of derogation from paragraphs 1 ► M1 ----- ◀ and 3, no plant passport shall be required for the plants, plant products or other objects which are subject to ► M1 Article 57 or 58 ◀.

Article 81

Exception for direct supply to final users

► M1 A1. This Article applies to the movement of plants, plant products or other objects in Great Britain and the introduction of plants, plant products or other objects ► M1 which are ◀ into Great Britain from a CD territory. ◀

1. No ► M1 UK ◀ plant passport ► M3 or other permitted plant passport ◀ shall be required for the movement of plants, plant products or other objects supplied directly to a final user, including home gardeners.

► M1 1A. ◀ That exception shall not apply to:

- (a) final users receiving those plants, plant products or other objects by means of sales through distance contracts; or
- (b) final users of plants, plant products or other objects ► M1 described in an entry (if any) in column (1) of the table in Annex 14 to Phytosanitary Conditions Regulation, who are situated in a GB pest-free area specified in the corresponding entry in column (2) of the table ◀.

► M1 1B. ◀ The Commission may, by means of implementing acts, specify that the requirements of point (b) of the second subparagraph shall only apply for particular protected zone pests, plants, plant products or other objects. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 107(2).

2. The ► M1 appropriate authority may by regulations supplement ◀ this Regulation by setting out the cases where, for particular plants, plant products or other objects, the exception of paragraph 1 of this Article shall only apply to small quantities. Those ► M1 regulations must ◀ define those quantities

per period of time as appropriate to the plant, plant product or other object concerned and the respective pest risks.

Article 82

Exceptions for movements within and between the premises of a registered operator

No ►M1 UK ◀ plant passport ►M3 or other permitted plant passport◀ shall be required for the movements of plants, plant products and other objects within and between the premises of the same registered operator which are in close proximity to each other.

►M1 ----- ◀

Article 83

Content and format of the ►M1 UK ◀ plant passport

1. ►M1 A UK ◀ plant passport shall take the form of a distinct label, made on any substrate suitable for printing the elements ►M1 set out in Part A, B, C or D of Annex 7◀, provided that the ►M1 UK ◀ plant passport is clearly distinguishable from any other information or label which may also be indicated on that substrate.

The ►M1 UK ◀ plant passport shall be easily visible and clearly legible, and the information on it shall be unchangeable and durable.

2. ►M1 A UK ◀ plant passport ►M1 ----- ◀ shall contain the elements set out in Part A of Annex VII ►M1 , except where paragraph 4 or 5 applies ◀.

By way of derogation from point 1(e) of Part A of Annex VII, the traceability code shall not be required ►M1 on a UK plant passport for the movement of plants for planting in Great Britain, or the introduction of plants for planting into Great Britain from a CD territory,◀ where ►M1 the◀ plants for planting fulfil all of the following conditions:

- (a) they are prepared in such a way that they are ready for the sale to final users without any further preparation and no risk exists concerning the spread of ►M1 GB quarantine pests or provisional GB quarantine pests ◀;
- (b) they do not belong to types or species ►M1 specified in regulations made under ◀ in paragraph 3 of this Article.

3. The ►M1 the appropriate authority may by regulations ◀ identify types and species of plants for planting for which the exemption referred to in paragraph 2 shall not apply. ►M1 ----- ◀

4. ►M1 A UK ◀ plant passport for introduction into, and movement within, a ►M1 GB pest-free area or CD pest-free area ◀ shall contain the elements set out in Part B of Annex VII.

5. In the case of plants for planting produced, or made available on the market, as pre-basic, basic or certified material or pre-basic, basic or certified seed or seed potatoes, as respectively referred to in ►M1 the relevant legislation on the marketing of seeds and other propagating material, a UK plant passport ◀ shall be included, in a distinct form, in the official label produced in accordance with the respective provisions of ►M1 the relevant retained EU law ◀.

►M1 Where this paragraph applies, a UK plant passport must contain:

- (a) the elements set out in Part C of Annex 7; or
- (b) in the case of plants, plant products or objects which are to be introduced into a GB pest-free area or CD pest-free area, the elements set out in Part D of Annex 7.◀

►M3 5A. Where a replacement UK plant passport is issued in relation to plants, plant products or other objects in a relevant NI trade unit pursuant to paragraph 1 or 2 of Article 93, the UK plant passport must contain the element set out in point (1)(g) of Part A of Annex 7 or point (1)(h) of Part B of Annex 7 (as the case may be). ◀

6. The ►M1 appropriate authority may by regulations amend ◀ Parts A, B, C and D of Annex VII in order to adapt the elements set out therein, where applicable, to the development of scientific and technical knowledge.

7. ►M1 A UK plant passport must comply with the requirements set out in Commission Implementing Regulation (EU) 2017/2313 setting out the format specifications of the plant passport for movement within the Union territory and the plant passport for introduction into, and movement within, a protected zone(29). ◀

►M1 7A. The appropriate authority may by regulations make provision relating to the format or size of UK plant passports. ◀

8. A ►M1 UK ◀ plant passport may also be issued in an electronic form ('electronic ►M3 UK ◀ plant passport'), provided that it contains all the elements ►M1 set out in Part A, B, C or D of Annex 7 (as the case may be)◀, and that the technical arrangements have been set out ►M1 in regulations made under paragraph 9◀.

►M1 9. The appropriate authority may by regulations set out technical arrangements for the issuance of electronic UK plant passports to ensure an appropriate credible and effective mode for their issuance. ◀

Article 84

Issuance of ►M1 UK ◀ plant passports by authorised professional operators and competent authorities

1. ►M1 UK ◀ plant passports shall be issued by authorised operators, under the supervision of the competent authorities.

Authorised operators shall issue ►M1 UK ◀ plant passports only for the plants, plant products or other objects for which they are responsible.

2. By way of derogation from paragraph 1, competent authorities may also issue ►M1 UK ◀ plant passports.

3. Authorised operators shall only issue ►M1 UK ◀ plant passports in the premises, collective warehouses and dispatching centres which are under their responsibility and declared by them pursuant to point (d) of Article 66(2) or, where Article 94(1) applies, in another location if so authorised by the competent authority.

Article 85

Substantive requirements for a ►M1 UK ◀ plant passport ►M1 ----- ◀

A ►M1 UK plant passport may only be issued for the movement of ◀ a plant, plant product or other object ►M1 within Great Britain if the plant, plant product or other object ◀ fulfils the following requirements:

(a) it is free from ►M1 GB quarantine pests and provisional GB quarantine pests ◀;

- (b) it complies with the provisions of Article 37(1) concerning the presence of ►M1 GB ◄ regulated non-quarantine pests on plants for planting and the provisions of Article 37 ►M1 (4A) ◄ concerning the measures to be taken;
- (c) it complies with the requirements ►M1 ----- ◄ referred to in Article 41 ►M1 (1) ◄;
- (d) where applicable, it complies with ►M1 any requirements specified in regulations made under Article 28(1) or 30(1) ◄; and
- (e) where applicable, it complies with ►M1 any ◄ measures adopted by the competent ►M1 authority ◄ for the eradication of ►M1 GB ◄ quarantine pests pursuant to Article 17(1) or ►M1 the eradication of provisional GB quarantine pests pursuant to regulations made under Article 30(1) ◄.

Article 86

Substantive requirements for a ►M1 UK ◄ plant passport for movement into and within a ►M1 GB pest-free area ◄

►M1 A1. This Article applies to any plant, plant product or other object of a description specified in any entry in column (1) of the table in Annex 14 to the Phytosanitary Conditions Regulation which is to be introduced into, or moved within, a GB pest-free area specified in the corresponding entry in column (2) of the table. ◄

1. A ►M1 UK plant passport may only be issued for the introduction into, or movement within, a GB pest-free area of a plant, plant product or other object if it ◄ fulfils all of the requirements of Article 85, and in addition the following requirements:

- (a) it is free from the ►M1 PFA quarantine pest which relates to that GB pest-free area ◄; and
- (b) it complies with the requirements referred to in Article 54 ►M1 (1) ◄.

2. ►M1 But where Article 33(2) applies, a UK plant passport may ◄ not be issued for plants, plant products or other objects originating in the demarcated area concerned and which may host the ►M1 PFA quarantine ◄ pest concerned.

►M1 Article 86a

UK plant passports for the introduction of plants, plant products and other objects into CD territories from Great Britain ◄

►M1 1. This Article applies to any plant, plant product or other object which is to be introduced into a CD territory from Great Britain and is required by the relevant CD legislation to be accompanied by a UK plant passport on its introduction into that territory. ◄

►M1 2. A UK plant passport may only be issued for the introduction of a plant, plant product or other object into a CD territory if the plant, plant product or other object:

- (a) fulfils all of the requirements of Articles 85 and 86, where applicable; and
- (b) fulfils any additional requirements that apply under the relevant CD legislation to the introduction of that plant, plant product or other object into the CD territory. ◄

Article 87
Examinations for ►M1 UK ◀ plant passports

1. A ►M1 UK ◀ plant passport may only be issued for plants, plant products and other objects for which a meticulous examination in accordance with paragraphs 2, 3 and 4 has shown that they fulfil the requirements of Article 85, and, where applicable, Article 86 ►M1 and 86a ◀.

Plants, plant products and other objects may either be examined individually or by representative samples. The examination shall also cover the packaging material of the plants, plant products or other objects concerned.

2. The examination shall be carried out by the authorised operator. However, in the following cases, the examination shall be carried out by the competent authority:

- (a) where point (c) of the first subparagraph of paragraph 3 of this Article applies concerning inspections, sampling and testing;
- (b) where Article 84(2) applies; or
- (c) where an examination is carried out in the immediate vicinity as referred to in point (b) of the first subparagraph of paragraph 3 of this Article and the authorised operator does not have access to that immediate vicinity.

3. The examination shall fulfil all of the following conditions:

- (a) it shall be carried out at appropriate times and taking into account the risks involved;
- (b) it shall be carried out at the premises referred to in point (d) of Article 66(2). Where so required ►M1 by or under regulations made under Article 28(1) or 30(1) or pursuant to Article 37(4A), 41(1B) or 54(1) ◀, an examination shall also be carried out in the immediate vicinity of the place of production of the plants, plant products or other object concerned;
- (c) it shall be made at least by visual examination, complemented by:
 - (i) inspections, sampling and testing by the competent authority in the event of suspicion of the presence of a ►M1 GB ◀ quarantine pest or ►M1 provisional GB quarantine pest ◀, or in the event of the suspicion of the presence of a ►M1 PFA quarantine pest in the relevant GB pest-free area ◀; or
 - (ii) sampling and testing in the event of suspicion of the presence of a ►M1 GB ◀ regulated non-quarantine pest, where applicable above the respective thresholds;
- (d) its results shall be recorded and stored for at least three years.

That examination shall take place without prejudice to any specific examination requirements or measures ►M1 applicable by virtue of regulations made under Article 28(1) or 30(1), or requirements or measures under Article 37(4A), 41(1B) or 54(1), and in the case of plants, plant products and other objects that are to be introduced into a CD territory, under the relevant CD legislation ◀. Where those examination requirements or measures require the examination to be carried out by the competent authority, that examination shall not be carried out by the authorised operator referred to in paragraph 2 of this Article.

4. The ►M1 appropriate authority may by regulations supplement ◀ this Regulation by setting out detailed measures concerning visual examination, sampling and testing, and the frequency and timing of the examinations, referred to in paragraphs 1, 2 and 3 of this Article, with regard to specific plants,

plant products and other objects, on the basis of the particular pest risks they may present. Those examinations shall, where appropriate, concern certain plants for planting belonging to pre-basic, basic, or certified material, seed or seed potatoes, or standard or CAC material or seed, ►M1 in accordance with relevant legislation on the marketing of seeds and other propagating material ◄.

►M1 5. Where regulations made under paragraph 4 make provision in relation to specific plants for planting, and those plants for planting are subject to certification schemes under relevant legislation on the marketing of seeds and other propagating material, the regulations must set out the requirements as regards the examinations for the presence of GB quarantine pests, provisional GB quarantine pests and GB regulated non-quarantine pests, and other characteristics of the plants for planting under the relevant legislation, in a single certification scheme. ◄

►M1 6. Regulations made under paragraph 4 must take account of developments in scientific and technical knowledge and international standards. ◄

Article 88

Attaching of the ►M1 UK ◄ plant passports

►M1 UK ◄ plant passports ►M1 issued in Great Britain ◄ shall be attached by the professional operators concerned to the trade unit of the plants, plant products and other objects concerned before they are moved within ►M1 Great Britain ◄ pursuant to Article 79 or into or within a ►M1 GB pest-free area ◄ pursuant to Article 80. Where such plants, plant products or other objects are moved in a package, bundle or container, the ►M1 UK ◄ plant passport shall be attached to that package, bundle or container ►M1 , or before they are introduced into a CD territory ◄.

Article 89

Authorisation of professional operators to issue ►M1 UK ◄ plant passports

1. The competent authority shall grant an authorisation to a professional operator to issue ►M1 UK ◄ plant passports ('the authorisation to issue ►M1 UK ◄ plant passports') for particular families, genera or species, and commodity types of plants, plant products and other objects where that professional operator complies with both of the following conditions:

- (a) it possesses the necessary knowledge to carry out the examinations referred to in Article 87 concerning the ►M1 GB quarantine pests, provisional GB quarantine pests, PFA quarantine pests, CD quarantine pests and GB ◄ regulated non-quarantine pests that could affect the plants, plant products and other objects concerned, and concerning the signs of the presence of those pests, the symptoms caused by them, and the means to prevent the presence and spread of those pests;
- (b) it has in place systems and procedures enabling it to fulfil its obligations concerning traceability pursuant to Articles 69 and 70.

2. The ►M1 appropriate authority may by regulations supplement ◄ this Regulation by setting out criteria to be fulfilled by the professional operators in order for them to comply with the conditions set out in point (a) of paragraph 1 of this Article and procedures to ensure that those criteria are met.

Article 90

Obligations of authorised operators

1. Where an authorised operator intends to issue a ►M1 UK ◄ plant passport, it shall identify and monitor the points of its production process, and the points concerning the movement of plants, plant products and other objects, which are critical as regards compliance with ►M1 Articles 33(2), 37(4A),

41(1B), 54(1), 85, 86, 86a and 87 (as the case may be) and any requirements specified in regulations made under Article 28(1) or 30(1) ◀.

It shall keep for at least three years records concerning the identification and monitoring of those points.

2. The authorised operator referred to in paragraph 1 shall ensure that appropriate training is provided, when necessary, to its personnel involved in the examinations referred to in Article 87, in order to ensure that that personnel possesses the necessary knowledge to carry out those examinations.

Article 91

Pest risk management plans

1. Authorised operators may have in place pest risk management plans. The competent authority shall approve those plans, if they fulfil all of the following conditions:

- (a) they set out measures which are appropriate for those operators to fulfil the obligations set out in Article 90(1);
- (b) they fulfil the requirements set out in paragraph 2 of this Article.

Authorised operators implementing an approved pest risk management plan may be subject to inspections with a reduced frequency, as referred to in point (b) of Article 22(3) of Regulation (EU) 2017/625 .

2. The pest risk management plans shall cover, where appropriate in the form of standard operating procedure manuals, at least the following:

- (a) the information required under Article 66(2) concerning the registration of the authorised operator;
- (b) the information required under Articles 69(4) and 70(1) concerning the traceability of plants, plant products and other objects;
- (c) a description of the production processes of the authorised operator and its activities as regards movement and sales of plants, plant products and other objects;
- (d) an analysis of the critical points referred to in Article 90(1) and the measures taken by the authorised operator to mitigate the pest risks associated with those critical points;
- (e) the procedures in place and actions foreseen where quarantine pests are suspected or found to be present, the recording of those suspicions or findings and the recording of the actions taken;
- (f) the roles and responsibilities of the personnel involved in the notifications referred to in Article 14, the examinations referred to in Article 87(1), the issuance of ►M1 UK ◀ plant passports pursuant to Article 84(1), Article 93(1) and (2) and Article 94, and the attaching of ►M1 UK ◀ plant passports pursuant to Article 88; and
- (g) the training provided to the personnel referred to in point (f) of this paragraph.

3. Where the competent authority becomes aware that the professional operator concerned does not apply the measures referred to in point (a) of the first subparagraph of paragraph 1, or that a pest risk management plan is no longer up to date with any of the requirements referred to in point (b) of the first subparagraph of paragraph 1, that authority shall without delay take the measures necessary to

ensure that non-compliance with those conditions does not continue. Those measures may include the withdrawal of the approval of that plan.

Where the competent authority has taken measures in accordance with the first subparagraph other than the withdrawal of the approval of the plan, and the non-compliance continues, that authority shall without delay withdraw that approval.

Article 92

Inspections and withdrawal of authorisation

1. The competent authority shall carry out inspections at least once per year, and sampling and testing if appropriate, to verify whether the authorised operators comply with ►M1, where applicable, ◀ Article 83(1), (2), (4) ►M1 and ◀ (5), Article 87, Article 88, Article 89(1), Article 90 ►M1 and ◀ Article 93(1), (2), (3) or (5) ►M3 and, in relation to qualifying Northern Ireland goods, Article 95a ◀.

2. Where the competent authority becomes aware that an authorised operator does not comply with the provisions referred to in paragraph 1 or that a plant, plant product or other object, for which that professional operator has issued a ►M1 UK ◀ plant passport, does not comply with ►M3 the relevant requirements ◀, that authority shall without delay take the measures necessary to ensure that non-compliance with those provisions does not continue.

Those measures may include the withdrawal of the authorisation to issue plant passports for the plants, plant products and other objects concerned.

3. Where the competent authority has taken measures in accordance with paragraph 2 other than the withdrawal of the authorisation to issue plant passports for the plants, plant products and other objects concerned, and non-compliance with ►M3 the relevant requirements ◀ continues, that authority shall without delay withdraw that authorisation.

►M3 4. In this Article, 'the relevant requirements' means:

- (a) in the case of a relevant NI trade unit', the requirements in Article 85 and, where applicable, Article 86 of the EU Plant Health Regulation;
- (b) in the case of any other trade unit, the requirements in Article 85 and, where applicable, Article 86 or 86a of this Regulation. ◀

►M1 Article 92a

UK plant passports issued in CD territories ◀

►M1 1. A UK plant passport issued in a CD territory may only accompany plants, plant products or other objects that are introduced into Great Britain from that CD territory, and are subsequently moved within Great Britain, if the UK plant passport:

- (a) has been issued, in accordance with the relevant legislation in the CD territory, by the CD authority for that territory or by a professional operator under the supervision of the CD authority;
- (b) is in the relevant form and has the relevant content specified in Article 83; and
- (c) is attached to the trade unit of the plants, plant products or other objects, or where the plants, plant products or other objects are moved in a package, bundle or container, to that package, bundle or container. ◀

►M1 2. In paragraph 1, 'relevant legislation' means legislation containing provision which only permits UK plant passports to be issued for plants, plant products or other objects that are to be introduced into Great Britain if:

- (a) the plants, plant products or other objects:
 - (i) are free from GB quarantine pests, provisional GB quarantine pests, and where applicable, PFA quarantine pests;
 - (ii) comply with the provisions of Article 37(1) concerning the presence of GB regulated non-quarantine pests on plants for planting and the provisions of Article 37(4A) concerning the measures to be taken;
 - (iii) comply with the requirements concerning their introduction into, and movement within, Great Britain referred to in Article 41(1A) and (1B) and, where applicable, the requirements referred to in Article 54(1);
 - (iv) comply with any requirements specified in regulations made under Article 28(1) or 30(1); and
 - (v) comply with any measures adopted by the competent authority for the eradication of GB quarantine pests pursuant to Article 17(1) or the eradication of provisional GB quarantine pests pursuant to regulations made under Article 30(1); and
- (b) examinations have been carried out for the purpose of attesting that the plants, plant products or other objects fulfil those requirements in a manner equivalent to the examinations required under Article 87 in respect of UK plant passports issued for the movement of plants, plant products or other objects within Great Britain. ◀

Article 93

Replacing a ►M1 UK ◀ plant passport

1. An authorised operator which has received a trade unit of plants, plant products or other objects for which a ►M1 UK ◀ plant passport ►M3 or other permitted plant passport◀ has been issued, or the competent authority acting on request of a professional operator, may issue a new ►M1 UK ◀ plant passport for that trade unit, replacing the ►M1 UK ◀ plant passport initially issued for that trade unit, provided that the conditions of paragraph 3 are fulfilled.
2. Where a trade unit of plants, plant products or other objects for which a ►M1 UK ◀ plant passport ►M3 or other permitted plant passport◀ has been issued is divided into two or more new trade units, the authorised operator responsible for those new trade units, or the competent authority acting on request of a professional operator, shall issue a ►M1 UK ◀ plant passport for each new trade unit resulting from the division, provided that the conditions set out in paragraph 3 are fulfilled. Those ►M1 UK ◀ plant passports shall replace the ►M1 UK ◀ plant passport issued for the initial trade unit.
3. A ►M1 UK ◀ plant passport, as provided for in paragraphs 1 and 2, may only be issued if the following conditions are fulfilled:
 - (a) the traceability requirements referred to in Article 69(3) concerning the plants, plant products or other objects concerned are fulfilled;
 - (b) as applicable, the plants, plant products or other objects concerned continue to comply with the requirements referred to in Articles 85 and 86; and

(c) the characteristics of the plants, plant products or other objects concerned have not changed.

4. Where a ►M1 UK ◀ plant passport is issued pursuant to paragraph 1 or 2, the examination referred to in Article 87(1) shall not be required.

5. Following the replacement of a ►M1 UK ◀ plant passport ►M3 or other permitted plant passport ◀ pursuant to paragraph 1 or 2, the authorised operator concerned shall retain the replaced ►M1 UK ◀ plant passport ►M3 or other permitted plant passport ◀ or its content for at least three years.

Where the replacement of a ►M1 UK ◀ plant passport pursuant to paragraph 1 or 2 is carried out by the competent authority, the professional operator on whose request it is issued shall retain the replaced ►M1 UK ◀ plant passport ►M3 or other permitted plant passport, ◀ or its content for at least three years.

That retainment may take the form of storage of the information contained in the ►M1 UK ◀ plant passport ►M3 or other permitted plant passport ◀ in a computerised database, provided that this includes the information contained in any traceability barcode, hologram, chip or other data carrier which may supplement the traceability code as referred to in Annex VII.

►M3 6. In paragraph 3(b), 'the requirements referred to in Articles 85 and 86' means:

- (a) in the case of a relevant NI trade unit, the requirements referred to in Articles 85 and 86 of the EU Plant Health Regulation;
- (b) in the case of any other trade unit, the requirements referred to in Articles 85 and 86 of this Regulation. ◀

Article 94

►M1 UK ◀ plant passports replacing phytosanitary certificates

1. By way of derogation from Article 87 of this Regulation, where a plant, plant product or other object, ►M1 is introduced into Great Britain from a third country and a UK plant passport is required for the movement of the plant, plant product or other object within Great Britain ◀ pursuant to Article 79(1) and 80(1) of this Regulation, ►M1 a UK plant passport ◀ shall be issued if the checks under Article 49(1) of Regulation (EU) 2017/625 concerning its introduction have been completed satisfactorily and have led to the conclusion that the plant, plant product or other object concerned fulfils the substantive requirements for issuance of a ►M1 UK ◀ plant passport in accordance with to Article 85 of this Regulation and, where appropriate, Article 86 of this Regulation.

The replacement of a phytosanitary certificate by a ►M1 UK ◀ plant passport may be carried out at the place of destination of the plant, plant product or other object concerned, instead of the point of entry, where checking at the place of destination is allowed ►M1 ----- ◀.

2. ►M1 By way of derogation from the first subparagraph of paragraph 1, a UK plant passport need not be issued for the movement of a plant, plant product or other object from its place of entry into Great Britain to its initial destination in Great Britain if the plant, plant product or other object is accompanied by a copy, issued by the competent authority, of the original phytosanitary certificate that accompanied it on its arrival in Great Britain. ◀

3. The competent authority shall retain the phytosanitary certificate for at least three years. That retainment may take the form of storage of the information contained in the phytosanitary certificate in a computerised database.

Where point (a) of Article 101(2) applies, that phytosanitary certificate shall be replaced by a certified copy thereof.

Article 95

Invalidation and removal of ►M1 a UK ◀ plant passport

►M1 A1. This Article applies where a professional operator becomes aware that a trade unit of plants, plant products or other objects, which is under the operator's control does not comply with any of the ◀►M3 relevant requirements ◀.

1. ►M1 The professional operator must invalidate the UK plant passport ►M3 or other permitted plant passport◀ and, where possible, remove it from that trade unit. ◀

Without prejudice to the notification obligation referred to in Article 14, that professional operator shall inform the competent authority under the competence of which it operates.

2. Where the professional operator fails to comply with paragraph 1, the competent authority shall invalidate the ►M1 UK ◀ plant passport ►M3 or other permitted plant passport◀ and, where possible, remove it from the trade unit concerned.

3. Where paragraphs 1 and 2 apply, the professional operator concerned shall retain the invalidated ►M1 UK ◀ plant passport ►M3 or other permitted plant passport◀ or its content for at least three years.

That retention may take the form of storage of the information contained in the invalidated ►M1 UK ◀ plant passport in a computerised database, provided that this includes the information contained in any traceability barcode, hologram, chip or other data carrier which may supplement the traceability code as referred to in Annex VII, and a statement concerning that invalidation.

4. Where paragraphs 1 and 2 apply ►M3 in relation to a UK plant passport◀, the professional operator concerned shall inform accordingly the authorised operator, or competent authority, who issued the invalidated ►M1 UK ◀ plant passport.

5. ►M1 ----- ◀

►M3 6. In paragraph A1, 'relevant requirements' means:

- (a) in the case of a relevant NI trade unit, the requirements in Articles 83 to 87, 89, 90, 93 or 94 of the EU Plant Health Regulation;
- (b) in the case of any other trade unit, the requirements in Articles 83 to 86, 87, 89, 90, 92a, 93 or 94 of this Regulation. ◀

►M3 Article 95a

Introduction of qualifying Northern Ireland goods into Great Britain and their movement within Great Britain ◀

►M3 1. A relevant NI trade unit which is introduced directly into Great Britain from Northern Ireland must be accompanied, on its introduction into Great Britain, by the plant passport which was required for its movement within Northern Ireland in accordance with the EU Plant Health Regulation. ◀

►M3 2. A relevant NI trade unit which has been introduced into Great Britain from Northern Ireland in accordance with paragraph 1 may be moved within Great Britain if it is accompanied by the plant passport referred to in paragraph 1. ◀

Section 3 Other attestations

Article 96

Marking of wood packaging material, wood, or other objects

1. The mark applied on wood packaging material, wood or other objects to attest that a treatment has been applied in accordance with Annex 1 to ISPM15 shall comply with the requirements set out in Annex 2 to ISPM15 in all of the following cases:

- (a) wood packaging material introduced into ►M1 Great Britain ◀ from a third country, as referred to in Article 43;
- (b) wood packaging material marked within ►M1 Great Britain ◀, moving out of ►M1 Great Britain ◀ ►M1 to a third country or Northern Ireland ◀;
- (c) wood packaging material, wood or other objects moving within ►M1 Great Britain ◀, ►M1 or into Great Britain from a CD territory, if so required by regulations made under Article 28(1) or 30(1), or by Article 41 or 54(1), or in the case of wood packaging material moving from Great Britain to a CD territory, if required by legislation in force in that CD territory ◀;
- (d) any other wood packaging material, wood or other object marked within ►M1 Great Britain ◀.

The mark shall only be applied where the wood packaging material, wood or other objects have been subject to one or more of the approved treatments referred to in Annex 1 to ISPM15, without prejudice to Regulations (EC) No 1005/2009 [\(28\)](#), (EC) No 1107/2009 [\(29\)](#) and (EU) No 528/2012 [\(30\)](#) of the European Parliament and of the Council.

For wood packaging material, wood or other objects marked in ►M1 Great Britain ◀, the mark shall only be applied by a registered operator authorised in accordance with Article 98.

Points (a) and (b) of the first subparagraph shall not apply to wood packaging material which is subject to the exemptions provided for in ISPM15.

2. The ►M1 appropriate authority may by regulations amend ◀ the requirements set out in paragraph 1 of this Article in order to adapt them to the development of international standards and in particular to ISPM15.

Article 97

Repairing of wood packaging material in ►M1 Great Britain ◀

1. Wood packaging material that is marked with the mark referred to in Article 96 shall only be repaired if all of the following conditions are fulfilled:

- (a) the person carrying out that repair is a registered operator authorised in accordance with Article 98;
- (b) the material and treatment used are eligible for repair;
- (c) the mark is applied anew, as appropriate.

2. The ►M1 appropriate authority may by regulations ◀ set out specific arrangements concerning the material, treatment and marking referred to in paragraph 1 ►M1, taking into account relevant international standards and in particular ISPM15 ◀. ►M1 ----- ◀

3. Paragraphs 1 and 2 shall not apply where a professional operator permanently obliterates by any means all earlier applications of that mark from the wood packaging material.

Article 98

Authorisation and supervision of registered operators applying the mark of wood packaging material in ►M1 Great Britain ◀

1. An authorisation to apply the mark referred to in Article 96 and to repair wood packaging material in accordance with Article 97 shall be granted by the competent authority on application to a registered operator provided that the registered operator fulfils both of the following conditions:

- (a) it possesses the necessary knowledge to carry out the treatment of the wood packaging material, wood and other objects required pursuant to ►M1 regulations ◀ referred to in Articles 96 and 97;
- (b) it operates appropriate facilities and equipment to carry out that treatment ('treatment facilities');

The ►M1 appropriate authority may by regulations supplement ◀ this Regulation by specifying the requirements for authorisation, where appropriate in view of the development of scientific and technical knowledge and international standards.

2. An authorisation to apply the mark referred to in Article 96 and to repair wood packaging material according to Article 97 shall be granted by the competent authority on application to a registered operator using wood treated in a facility of another operator, provided that it fulfils all of the following conditions in respect of wood packaging material marked with that mark:

- (a) it exclusively uses wood:
 - (i) which has been subject to one or more of the approved treatments referred to in Annex 1 of ISPM15 and has been treated in facilities operated by a registered operator authorised pursuant to paragraph 1 of this Article; or
 - (ii) which has been subject to one or more of the approved treatments referred to in Annex 1 of ISPM15 in a treatment facility in a third country that has been approved by the national plant protection organisation of that third country;
- (b) it ensures that the wood used for that purpose can be traced back to ►M1 the treatment facilities referred to in point (a)(i) and (ii) of this paragraph ◀;
- (c) where applicable pursuant to ►M1 regulations made under Article 28(1) or 30(1), or pursuant to Article 41 or 54(1)◀, it exclusively uses wood referred to in point (a) of this subparagraph which is accompanied by a ►M1 UK ◀ plant passport or any other document providing guarantees that the treatment requirements referred to in Annex 1 to ISPM15 are fulfilled.

3. The competent authority shall supervise at least once per year the registered operators ►M1 it has ◀ authorised pursuant to paragraphs 1 and 2 to verify and ensure that they treat and mark as appropriate wood packaging material, wood and other objects in accordance with Article 96(1) and Article 97 and fulfil the conditions set out respectively in paragraphs 1 and 2 of this Article.

4. Where ►M1 any of the competent authorities ◀ becomes aware that a professional operator does not comply with the requirements referred to in paragraphs 1 or 2, that authority shall without delay take the measures necessary to ensure that the non-compliance with those provisions does not continue.

Where the competent authority has taken those measures other than the withdrawal of the authorisation referred to in paragraphs 1 or 2, and the non-compliance continues, that authority shall without delay withdraw the authorisation referred to in paragraphs 1 or 2.

Article 99

Attestations other than the mark of wood packaging material

1. The ►M1 appropriate authority may by regulations supplement ◀ this Regulation by setting out the elements to be contained in official attestations specific for plants, plant products or other objects, other than wood packaging material, which are required by the applicable international standards as form of proof of the implementation of measures ►M1 specified in regulations made under Article 28(1), 30(1) or 44(1), or the implementation of measures specified in the requirements to be fulfilled under Article 41 or 54(1)◀.
2. ►M1 Those regulations ◀ may also set out requirements concerning one or more of the following:
 - (a) the authorisation of professional operators as regards the issuance of the official attestations referred to in paragraph 1;
 - (b) the supervision by the competent authority of the professional operators authorised pursuant to point (a) of this paragraph;
 - (c) the withdrawal of that authorisation referred to in point (a) of this paragraph.
3. The ►M1 appropriate authority may by regulations make provision about ◀ the format specifications of the attestations referred to in paragraph 1 of this Article. ►M1 ----- ◀

Section 4

Export of plants, plant products and other objects from ►M1 Great Britain ◀

►M1 Article 99a Interpretation ◀

►M1 In Articles 100 and 101, “relevant phytosanitary import requirements” means:

- (a) in relation to the export of plants, plant products or other objects to a third country other than an EU Member State, the phytosanitary import requirements of that third country;
- (b) in relation to the export of plants, plant products or other objects to an EU Member State, the phytosanitary import requirements of the European Union;
- (c) in relation to the movement of plants, plant products or other objects to Northern Ireland, the phytosanitary import requirements of the European Union that apply by virtue of the Protocol on Ireland/Northern Ireland to the withdrawal agreement. ◀

Article 100

Phytosanitary certificate for export from ►M1 Great Britain ◀

1. Where, for the export of a plant, plant product or other object from ►M1 Great Britain ◀ to a third country ►M1 or Northern Ireland ◀, a phytosanitary certificate is required by the ►M1 relevant phytosanitary import requirements ◀ ('phytosanitary certificate for export'), that certificate shall be issued by the competent authority, at the request of the professional operator, when all of the following conditions are fulfilled:
 - (a) the professional operator is registered by that competent authority in accordance with Article 65;

- (b) the professional operator has under its control the plant, plant product or other object to be exported;
- (c) it is ensured that that plant, plant product or other object complies with the ►M1 relevant phytosanitary import requirements ◀.

The competent authority shall also issue a phytosanitary certificate for export at the request of persons other than professional operators, provided that the conditions set out in points (b) and (c) of the first subparagraph are fulfilled.

For the purpose of this paragraph, the competent authority shall not delegate the issuance of the phytosanitary certificate for export to any other person.

2. Without prejudice to obligations under the IPPC, and taking into account the relevant international standards, the phytosanitary certificate for export shall be issued where the information available allows the competent authority to certify compliance of the plant, plant product or other object concerned with the ►M1 relevant phytosanitary import requirements ◀. That information may originate from one or more of the following elements, as applicable:

- (a) inspections, sampling and testing of the plants, plant products or other objects concerned, or their place of production and its vicinities;
- (b) official information on the pest status in the production site, place of production, area or country of origin of the plants, plant products or other objects concerned;
- (c) a ►M1 UK ◀ plant passport, as referred to in Article 78, accompanying the plants, plant products or other objects concerned, where that ►M1 UK ◀ plant passport attests the results of inspections by ►M1 a ◀ competent authority;
- (d) the mark of wood packaging material as referred to in Article 96(1), or the attestations referred to in Article 99(1);
- (e) ►M1 ----- ◀
- (f) official information included in the phytosanitary certificate as referred to in Article 71, where the plants, plant products or other objects concerned have been introduced into ►M1 Great Britain ◀ from a third country.

3. The phytosanitary certificate for export shall comply with the description and format of the model set out in Part A of Annex VIII.

4. The ►M1 appropriate authority may by regulations amend ◀ the elements referred to in paragraph 2 of this Article ►M1 ----- ◀ to adapt them to the development of the relevant international standards.

5. Electronic phytosanitary certificates for export shall be provided through, or in electronic exchange with, the ►M1 appropriate computerised information management system, where the use of electronic phytosanitary certificates is permitted by the competent authority ◀.

Article 101

Phytosanitary certificate for re-export from ►M1 Great Britain ◀

1. For the re-export of a plant, plant product or other object which originates in a third country and has been introduced into ►M1 Great Britain ◀ from that, or another, third country, a phytosanitary

certificate for re-export from ►M1 Great Britain ◀ ('the phytosanitary certificate for re-export') shall, where possible, be issued instead of the phytosanitary certificate for export.

The phytosanitary certificate for re-export shall be issued by the competent authority at the request of the professional operator when all of the following conditions are fulfilled:

- (a) that professional operator is registered by that competent authority in accordance with Article 65;
- (b) the professional operator has under its control the plant, plant product or other object to be re-exported;
- (c) it is ensured that that plant, plant product or other object complies with the phytosanitary import requirements of the third country concerned.

The competent authority shall also issue a phytosanitary certificate for re-export at the request of persons other than professional operators, provided that the conditions set out in points (b) and (c) of the second subparagraph are fulfilled.

For the purpose of this paragraph, the competent authority shall not delegate the issuance of the phytosanitary certificate for re-export to any other person.

2. Without prejudice to obligations under the IPPC, and taking into account the relevant international standards, the phytosanitary certificate for re-export shall be issued where the information available allows certifying of compliance with the ►M1 relevant phytosanitary import requirements ◀ and that all of the following conditions are complied with:

- (a) the original phytosanitary certificate accompanying the plant, plant product or other object concerned from the third country of origin, or a certified copy of it, is attached to the phytosanitary certificate for re-export;
- (b) the plant, plant product or other object concerned has not been grown, produced or processed to change its nature since its introduction in ►M1 Great Britain ◀;
- (c) the plant, plant product or other object concerned has not been exposed to any risk of infestation or contamination with quarantine pests or regulated non-quarantine pests, listed as such ►M1 for the purposes of imports into ◀ the third country of destination, during ►M1 its storage in Great Britain ◀;
- (d) the identity of the plant, plant product or other object concerned has been maintained.

3. Article 100(2) shall apply mutatis mutandis.

4. The phytosanitary certificate for re-export shall comply with the description and format of the model set out in Part B of Annex VIII.

5. The Commission is empowered to adopt delegated acts in accordance with Article 105 amending Part B of Annex VIII to adapt it to the development of the relevant international standards.

6. Electronic phytosanitary certificates for re-export shall be provided through, or in electronic exchange with, the ►M1 appropriate computerised information management system, where the use of electronic phytosanitary certificates is permitted by the competent authority ◀.

► M1 Article 102
Pre-export certificates ◀

CHAPTER VII
Supporting measures by the Commission

► M1 Article 103

~~Establishment of electronic notification system ◀~~

► M1 Article 104

~~Information items, format and deadlines of notifications, and notifications in the case of suspected presence of pests ◀~~

CHAPTER VIII

Final provisions

Article 105

► M1 Regulations ◀

- M1 1. Regulations made by the Secretary of State or the Welsh Ministers under this Regulation are to be made by statutory instrument. ◀
- M1 2. For regulations made under this Regulation by the Scottish Ministers, see section 27 of the Interpretation and Legislative Reform (Scotland) Act 2010(30). ◀
- M1 3. A statutory instrument containing regulations made by the Secretary of State under this Regulation is subject to annulment in pursuance of a resolution of either House of Parliament. ◀
- M1 4. A statutory instrument containing regulations made by the Welsh Ministers under this Regulation is subject to annulment in pursuance of a resolution of the Senedd Cymru. ◀
- M1 5. Regulations made by the Scottish Ministers under this Regulation are subject to the negative procedure (see section 28 of the Interpretation and Legislative Reform (Scotland) Act 2010). ◀
- M1 6. Such regulations may—
- (a) contain supplementary, incidental, consequential, transitional, transitory or saving provision, including provision amending, repealing or revoking enactments (which has the meaning given by section 20(1) of the European Union (Withdrawal) Act 2018);
 - (b) make different provision for different cases or descriptions of case, different circumstances, different purposes or different areas. ◀

▼ M5

Article 105A

Penalties

1. The appropriate authority may, by regulations, lay down the rules on penalties applicable to infringements of this Regulation or of any EU tertiary legislation (3), or regulations, made under it. The penalties provided for must be effective, proportionate and dissuasive.
2. Regulations under this Article may create offences.
3. Regulations may provide for an offence under the regulations to be triable—
 - (a) summarily, or
 - (b) either summarily or on indictment.

4. Regulations may provide for an offence under the regulations that is triable either way to be punishable—
- (a) on summary conviction in England and Wales, with imprisonment for a term not exceeding three months or a fine (or both);
 - (b) on summary conviction in Scotland, with imprisonment for a term not exceeding three months or a fine not exceeding the statutory maximum (or both);
 - (c) on conviction on indictment, with imprisonment for a term not exceeding two years or a fine (or both).
5. Regulations may provide for a summary offence under the regulations to be punishable—
- (a) in England and Wales, with imprisonment for a term not exceeding three months or a fine (or both);
 - (b) in Scotland, with imprisonment for a term not exceeding three months or a fine not exceeding level 5 on the standard scale (or both).

► M1 Article 106

~~Urgency procedure~~ ◄

► M1 Article 107

~~Committee procedure~~ ◄

► M1 Article 108

~~Penalties~~ ◄

Article 109

Repeals

1. Directive 2000/29/EC is repealed, without prejudice to Article 165(2), (3) and (4) of Regulation (EU) 2017/625
2. The following Directives are repealed:
 - (a) Directive 69/464/EEC;
 - (b) Directive 74/647/EEC;
 - (c) Directive 93/85/EEC;
 - (d) Directive 98/57/EC;
 - (e) Directive 2006/91/EC;
 - (f) Directive 2007/33/EC.

3. References to the acts repealed in accordance with paragraphs 1 and 2 shall be construed as references to this Regulation and shall be read in accordance with the correlation table set out in Annex IX.

Article 110

Amendment of Regulation (EU) No 228/2013

In Article 24(2) of Regulation (EU) No 228/2013, the following subparagraph is added:

'Union financing of the programmes for the control of pests in the outermost regions of the Union shall be implemented in accordance with Regulation (EU) No 652/2014 of the European Parliament and of the Council [\(*\)](#).

Article 111

Amendment of Regulation (EU) 652/2014

Regulation (EU) No 652/2014 is amended as follows:

- (1) in Article 1, point (e) is replaced by the following:
'(e) on protective measures against pests of plants;';
- (2) in Article 5(2), the following point is added:
'(c) the programmes for the control of pests in the outermost regions of the Union as referred to in Article 25;';
- (3) in Article 16(1), points (a), (b) and (c) are replaced by the following:
 - '(a) measures to eradicate a pest from an infested area, taken by the competent authorities pursuant to Article 17(1), Article 28(1), Article 29(1) or Article 30(1) of Regulation (EU) 2016/2031 of the European Parliament and of the Council [\(**\)](#);
 - (b) measures to contain a priority pest, listed pursuant to Article 6(2) of Regulation (EU) 2016/2031, against which Union containment measures have been adopted pursuant to Article 28(2) of that Regulation, in an infested area from which that priority pest cannot be eradicated, where those measures are essential to protect the Union territory against further spread of that priority pest. Those measures shall concern the eradication of that pest from the buffer zone surrounding that infested area if it is found to be present in that buffer zone; and
 - (c) prevention measures taken against the spread of a priority pest, listed pursuant to Article 6(2) of Regulation (EU) 2016/2031, against which Union measures have been adopted pursuant to Article 28(3) of that Regulation, where those measures are essential to protect the Union territory against further spread of that priority pest.

[\(**\)](#) Regulation (EU) 2016/2031 of the European Parliament and of the Council of 26 October 2016 on protective measures against pests of plants, amending Regulations (EU) No 228/2013, (EU) No 652/2014 and (EU) No 1143/2014 of the European Parliament and of the Council and repealing Council Directives 69/464/EEC, 74/647/EEC, 93/85/EEC, 98/57/EC, 2000/29/EC, 2006/91/EC and 2007/33/EC ([OJ L 317, 23.11.2016, p. 4](#)).';"

- (4) Article 17 is replaced by the following:

'Article 17

Conditions

The measures referred to in Article 16 may qualify for grants provided that they have been applied immediately and the applicable provisions laid down in the relevant Union law have been complied with, and provided that one or more of the following conditions are fulfilled:

- (a) they concern Union quarantine pests, listed pursuant to Article 5(2) of Regulation (EU) 2016/2031 as not known to occur in the Union territory;

- (b) they concern pests, not listed as Union quarantine pests, which are subject to a measure adopted by the competent authority of a Member State pursuant to Article 29(1) of Regulation (EU) 2016/2031;
- (c) they concern pests, not listed as Union quarantine pests, which are covered by a measure adopted by the Commission pursuant to Article 30(1) of Regulation (EU) 2016/2031;
- (d) they concern priority pests listed pursuant to Article 6(2) of Regulation (EU) 2016/2031.

For measures fulfilling the condition laid down in point (b) of the first paragraph, the grant shall not cover costs incurred later than two years after the entry into force of the measure adopted by the competent authority of the Member State concerned pursuant to Article 29 of Regulation (EU) 2016/2031, or incurred after the expiry of that measure. For measures fulfilling the condition laid down in point (c) of the first paragraph, the grant shall not cover costs incurred after the expiry of the measure adopted by the Commission pursuant to Article 30(1) of Regulation (EU) 2016/2031.’;

- (5) in paragraph 1 of Article 18, point (d) is replaced by the following:

‘(d) costs of compensation to the owners concerned for the value of the destroyed plants, plant products or other objects subject to the measures referred to in Article 16, limited to the market value of such plants, plant products and other objects as if they were not affected by those measures; the salvage value, if any, shall be deducted from the compensation; and’;

- (6) Article 19 is amended as follows:

- (a) the first paragraph is replaced by the following:

‘Grants may be awarded to Member States for annual and multiannual survey programmes that they carry out concerning the presence of pests (“survey programmes”), provided that those survey programmes comply with at least one of the following three conditions:

- (a) they concern Union quarantine pests listed pursuant to Article 5(2) of Regulation (EU) 2016/2031 as not known to occur in the Union territory;
- (b) they concern priority pests listed pursuant to Article 6(2) of Regulation (EU) 2016/2031; and
- (c) they concern pests not listed as Union quarantine pests which are covered by a measure adopted by the Commission pursuant to Article 30(1) of Regulation (EU) 2016/2031.’;

- (b) the third paragraph is replaced by the following:

‘For measures fulfilling the condition laid down in point (c) of the first paragraph, the grant shall not cover costs incurred after the expiry of the measure adopted by the Commission pursuant to Article 30(1) of Regulation (EU) 2016/2031.’;

- (7) in Article 20, a new point is inserted before point (a):

‘(-a) costs for visual examinations;’;

- (8) in Article 47, point 2 is replaced by the following:

‘(2) The following Article is inserted:

“Article 15a

Member States shall provide that anyone who becomes aware of the presence of a pest listed in Annex I or Annex II or a pest covered by a measure pursuant to Article 16(2) or 16(3), or has reason to suspect such a presence, shall immediately notify the competent authority, and, if so requested by that competent authority, shall provide the information concerning that presence which is in its possession. Where the notification is not submitted in writing, the competent authority shall officially record it.”.’.

Article 112

Amendment of Regulation (EU) No 1143/2014

In Article 2(2) of Regulation (EU) No 1143/2014, point (d) is replaced by the following:

‘(d) pests of plants listed pursuant to Article 5(2) or Article 32(3), or subject to measures pursuant to Article 30(1), of Regulation (EU) 2016/2031 of the European Parliament and of the Council [\(***\);](#)

Article 113

Entry into force and application

1. This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.

It shall apply from 14 December 2019. However:

- (a) point 8 of Article 111 shall apply from 1 January 2017;
- (b) Article 100(3) and Article 101(4) shall apply from 1 January 2021.

2. The acts referred to in points (a), (c), (d) and (f) of Article 109(2) are repealed with effect from 1 January 2022. In the event of conflict between the provisions of those acts and the provisions of this Regulation, the provisions of this Regulation shall prevail.

►M1 ----- ◀

Done at Strasbourg, 26 October 2016.

For the European Parliament

The President

M. SCHULZ

For the Council

The President

I. LESAY

(1) [OJ C 170, 5.6.2014, p. 104.](#)

(2) Position of the European Parliament of 15 April 2014 (not yet published in the Official Journal) and position of the Council at first reading of 18 July 2016 (not yet published in the Official Journal). Position of the European Parliament of 26 October 2016 (not yet published in the Official Journal).

- (3) Council Directive 2000/29/EC of 8 May 2000 on protective measures against the introduction into the Community of organisms harmful to plants or plant products and against their spread within the Community ([OJ L 169, 10.7.2000, p. 1](#)).
- (4) Council Directive 66/401/EEC of 14 June 1966 on the marketing of fodder plant seed ([OJ 125, 11.7.1966, p. 2298/66](#)).
- (5) Council Directive 66/402/EEC of 14 June 1966 on the marketing of cereal seed ([OJ 125, 11.7.1966, p. 2309/66](#)).
- (6) Council Directive 68/193/EEC of 9 April 1968 on the marketing of material for the vegetative propagation of the vine ([OJ L 93, 17.4.1968, p. 15](#)).
- (7) Council Directive 2002/54/EC of 13 June 2002 on the marketing of beet seed ([OJ L 193, 20.7.2002, p. 12](#)).
- (8) Council Directive 2002/55/EC of 13 June 2002 on the marketing of vegetable seed ([OJ L 193, 20.7.2002, p. 33](#)).
- (9) Council Directive 2002/56/EC of 13 June 2002 on the marketing of seed potatoes ([OJ L 193, 20.7.2002, p. 60](#)).
- (10) Council Directive 2002/57/EC of 13 June 2002 on the marketing of seed of oil and fibre plants ([OJ L 193, 20.7.2002, p. 74](#)).
- (11) Council Directive 2008/72/EC of 15 July 2008 on the marketing of vegetable propagating and planting material, other than seed ([OJ L 205, 1.8.2008, p. 28](#)).
- (12) Council Directive 2008/90/EC of 29 September 2008 on the marketing of fruit plant propagating material and fruit plants intended for fruit production ([OJ L 267, 8.10.2008, p. 8](#)).
- (13) [OJ L 123, 12.5.2016, p. 1](#).
- (14) Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers([OJ L 55, 28.2.2011, p. 13](#)).
- (15) Council Directive 74/647/EEC of 9 December 1974 on control of carnation leaf-rollers ([OJ L 352, 28.12.1974, p. 41](#)).
- (16) Council Directive 2006/91/EC of 7 November 2006 on control of San José Scale ([OJ L 312, 11.11.2006, p. 42](#)).
- (17) Council Directive 69/464/EEC of 8 December 1969 on control of Potato Wart Disease ([OJ L 323, 24.12.1969, p. 1](#)).
- (18) Council Directive 93/85/EEC of 4 October 1993 on control of Potato Ring Rot ([OJ L 259, 18.10.1993, p. 1](#)).
- (19) Council Directive 98/57/EC of 20 July 1998 on control of *Ralstonia solanacearum* (Smith) Yabuuchi et al. ([OJ L 235, 21.8.1998, p. 1](#)).
- (20) Council Directive 2007/33/EC of 11 June 2007 on the control of potato cyst nematodes and repealing Directive 69/465/EEC ([OJ L 156, 16.6.2007, p. 12](#)).
- (21) Regulation (EU) No 652/2014 of the European Parliament and of the Council of 15 May 2014 laying down provisions for the management of expenditure relating to the food chain, animal health

and animal welfare, and relating to plant health and plant reproductive material, amending Council Directives 98/56/EC, 2000/29/EC and 2008/90/EC, Regulations (EC) No 178/2002, (EC) No 882/2004 and (EC) No 396/2005 of the European Parliament and of the Council, Directive 2009/128/EC of the European Parliament and of the Council and Regulation (EC) No 1107/2009 of the European Parliament and of the Council and repealing Council Decisions 66/399/EEC, 76/894/EEC and 2009/470/EC ([OJ L 189, 27.6.2014, p. 1](#)).

(22) Regulation (EU) No 228/2013 of the European Parliament and the Council of the 13 March 2013 laying down specific measures for agriculture in the outermost regions of the Union and repealing Council Regulation (EC) No 247/2006 ([OJ L 78, 20.3.2013, p. 23](#)).

(23) Regulation (EU) No 1143/2014 of the European Parliament and of the Council of 22 October 2014 on the prevention and management of the introduction and spread of invasive alien species ([OJ L 317, 4.11.2014, p. 35](#)).

(24) Council Directive 98/56/EC of 20 July 1998 on the marketing of propagating material of ornamental plants ([OJ L 226, 13.8.1998, p. 16](#)).

(25) Council Directive 1999/105/EC of 22 December 1999 on the marketing of forest reproductive material ([OJ L 11, 15.1.2000, p. 17](#)).

(26) Council Regulation (EEC) No 2658/87 of 23 July 1987 on the tariff and statistical nomenclature and on the Common Customs Tariff ([OJ L 256, 7.9.1987, p. 1](#)).

(27) ISO 3166-1:2006, Codes for the representation of names of countries and their subdivisions — Part 1: Country codes. International Organisation for Standardization, Geneva.

(28) Regulation (EC) No 1005/2009 of the European Parliament and of the Council of 16 September 2009 on substances that deplete the ozone layer ([OJ L 286, 31.10.2009, p. 1](#)).

(29) Regulation (EC) No 1107/2009 of the European Parliament and of the Council of 21 October 2009 concerning the placing of plant protection products on the market and repealing Council Directives 79/117/EEC and 91/414/EEC ([OJ L 309, 24.11.2009, p. 1](#)).

(30) Regulation (EU) No 528/2012 of the European Parliament and of the Council of 22 May 2012 concerning the making available on the market and use of biocidal products ([OJ L 167, 27.6.2012, p. 1](#)).

(31) Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety ([OJ L 31, 1.2.2002, p. 1](#)).

ANNEX I
**CRITERIA FOR THE QUALIFICATION OF PESTS ACCORDING TO THEIR RISK TO ► M1 GREAT
BRITAIN ◀**

SECTION 1

Criteria to identify pests which qualify as a quarantine pest, as referred to in Article 3, Article 6(1), Article 7, Article 29(2) ► M1 ----- ◀ and Article 49(3)

(1) Identity of the pest

The taxonomic identity of the pest shall be clearly defined or, alternatively, the pest shall have been shown to produce consistent symptoms and to be transmissible.

The taxonomic identity of the pest shall be defined at species level or, alternatively, a higher or lower taxonomic level, where that taxonomic level is scientifically appropriate based on its virulence, host range or vector relationships.

(2) Presence of the pest in the territory in question

One or more of the following conditions shall apply:

- (a) the pest is not known to be present in the territory in question;
- (b) the pest is not known to be present in the territory in question, except in a limited part of it;
- (c) the pest is not known to be present in the territory in question, except for scarce, irregular, isolated and infrequent presences in it.

Where point (b) or (c) applies, the pest shall be considered to be not widely distributed.

(3) Capability of entry, establishment and spread of the pest in the territory in question

(a) Capability of entry

The pest shall be considered capable of entry into the territory in question, or, if present but not widely distributed, into the part of that territory where it is absent ('relevant part of the endangered area'), either by natural spread, or if all of the following conditions are fulfilled:

- (i) it is associated, as regards plants, plant products or other objects which are moved into the territory in question, with those plants, plant products and other objects in the territory where they originate or from where they are moved into the territory in question;
- (ii) it survives during transport or storage;
- (iii) it may be transferred to a suitable host plant, plant product or other object in the territory in question.

(b) Capability of establishment

The pest shall be considered capable of 'establishment' in the territory in question, or, if present but not widely distributed, in the part of that territory where it is absent, if all of the following conditions are fulfilled:

- (i) hosts of the pest and, where relevant, vectors for transmission of the pest are available;
- (ii) the decisive environmental factors are favourable for the pest concerned and, where applicable, its vector, enabling it to survive periods of climatic stress and complete its life cycle;
- (iii) cultivation practices and control measures applied in that territory are favourable;
- (iv) the survival methods, reproductive strategy, genetic adaptability of the pest and its minimum viable population size support its establishment.

(c) Capability of spread

The pest shall be considered capable of territorial spread in the territory in question, or, if present but not widely distributed, in the part of that territory where it is absent, if one or more of the following conditions is fulfilled:

- (i) the environment is suitable for natural spread of the pest;
- (ii) barriers to natural spread of the pest are insufficient;
- (iii) commodities or conveyances allow for movement of the pest;
- (iv) hosts and, where relevant, vectors of the pest are present;
- (v) cultivation practices and control measures applied in that territory are favourable;
- (vi) natural enemies and antagonists of the pest are not present or not sufficiently capable of suppressing the pest.

(4) Potential economic, social and environmental impact

The entry, establishment and spread of the pest in the territory in question, or, if present but not widely distributed, in the part of that territory where it is absent, shall have an unacceptable economic, social and/or environmental impact on that territory, or the part of that territory where it is not widely distributed, as regards one or more of the following points:

- (a) crop losses in terms of yield and quality;
- (b) costs of control measures;
- (c) costs of replanting and/or losses due to the necessity of growing substitute plants;
- (d) effects on existing production practices;
- (e) effects on street trees, parks and natural and planted areas;
- (f) effects on native plants, biodiversity and ecosystem services;
- (g) effects on the establishment, spread and impact of other pests, for example due to the capacity of the pest concerned to act as a vector for other pests;
- (h) changes to producer costs or input demands, including control costs and costs of eradication and containment;
- (i) effects on producer profits that result from changes in quality, production costs, yields or price levels;

- (j) changes to domestic or foreign consumer demand for a product resulting from quality changes;
- (k) effects on domestic and export markets and prices paid, including effects on export market access and likelihood of phytosanitary restrictions imposed by trading partners;
- (l) resources needed for additional research and advice;
- (m) environmental and other undesired effects of control measures;
- (n) effects on Natura 2000 ►M1 within the meaning of the Conservation of Habitats and Species Regulations 2017⁽³¹⁾◄ or other protected areas;
- (o) changes in ecological processes and the structure, stability or processes of an ecosystem, including further effects on plant species, erosion, water table changes, fire hazards, nutrient cycling;
- (p) costs of environmental restoration and prevention measures;
- (q) effects on food security and food safety;
- (r) effects on employment;
- (s) effects on water quality, recreation, tourism, landscape heritage, animal grazing, hunting, fishing.

SECTION 2

Criteria to identify ►M1 GB ◄ quarantine pests which qualify as a priority pest as referred to in Article 6(1) and (2)

►M1 GB ◄ quarantine pests shall be considered to have the most severe economic, social or environmental impact in respect of ►M1 Great Britain◄ if their entry, establishment and spread fulfils one or more of the following points:

- (a) Economic impact: the pest has the potential to cause major losses in terms of the direct and indirect effects referred to in point (4) of Section 1 for plants with a significant economic value in ►M1 Great Britain◄.

The plants referred to in the first subparagraph may be trees that are not in production.
- (b) Social impact: the pest has the potential to cause one or more of the following effects:
 - (i) a significant employment decrease in the agriculture, horticulture or forestry sector concerned or industries related to those sectors, including tourism and recreation;
 - (ii) significant risks to food security or food safety;
 - (iii) the disappearance of, or long-term large-scale damage to, important tree species growing or cultivated in ►M1 Great Britain◄ or tree species of high importance in terms of landscape as well as cultural or historical heritage for ►M1 Great Britain◄.
- (c) Environmental impact: the pest has the potential to cause one or more of the following effects:

⁽³¹⁾ S.I 2017/1012, prospectively amended by [S.I. 2019/579](#); there are other amending instruments but none is relevant.

- (i) significant effects on biodiversity and ecosystems services, including effects on species and habitats ►M1 protected by retained EU law relating to the conservation of natural habitats and wild fauna and flora ◄;
- (ii) significant and long-term increases of the use of plant protection products on the plants concerned;
- (iii) the disappearance of, or long-term large-scale damage to, important tree species growing or cultivated in ►M1 Great Britain ◄ or tree species of high importance in terms of landscape as well as cultural or historical heritage for ►M1 Great Britain ◄.

SECTION 3

**Criteria for a preliminary assessment to identify pests which provisionally qualify as a ►M1 GB
◄ quarantine pest requiring temporary measures as referred to in Article 29(1) and Article
30 ►M1 (A1) ◄**

Subsection 1

**Criteria for a preliminary assessment to identify pests which provisionally qualify as a ►M1 GB
◄ quarantine pest requiring temporary measures as referred to in Article 29(1)**

- (1) Identity of the pest

The pest shall meet the criterion defined in point (1) of Section 1.

- (2) Presence of the pest in the ►M1 Great Britain ◄

The pest is not previously known to be present in ►M1 Great Britain ◄. Based on the information available to ►M1 the competent authorities ◄, the pest is also not previously known to be present in ►M1 Great Britain ◄, or is considered to fulfil the conditions set out in point (2)(b) or (c) of Section 1 as regards ►M1 Great Britain ◄.

- (3) Probability of establishment and spread of the pest in ►M1 Great Britain ◄, or the specific part(s) of ►M1 Great Britain ◄

Based on the information available to the ►M1 competent authorities ◄, the pest meets the criteria defined in point (3)(b) and (c) of Section 1 as regards ►M1 Great Britain ◄.

- (4) Potential economic, social and environmental impact of the pest

Based on the information available to the ►M1 competent authorities ◄, the pest would have an unacceptable economic, social and/or environmental impact on ►M1 Great Britain ◄, if it established and spread in ►M1 Great Britain ◄.

That impact shall include at least one or more of the direct effects listed under point (4)(a) to (g) of Section 1.

Subsection 2

**Criteria for a preliminary assessment to identify pests which provisionally qualify as a ►M1 GB
◄ quarantine pest requiring temporary measures as referred to in Article 30 ►M1 (A1) ◄**

- (1) Identity of the pest

The pest shall meet the criterion defined in point (1) of Section 1.

- (2) Presence of the pest in ►M1 Great Britain ◄

The pest is not previously known to be present in ►M1 Great Britain◄, or is considered to fulfil the conditions set out in point (2)(b) or (c) of Section 1 as regards ►M1 Great Britain◄.

- (3) Probability of establishment and spread of the pest in ►M1 Great Britain◄, or the specific part(s) of ►M1 Great Britain◄ where it is not present

Based on the information available ►M1 ---- ◄, the pest meets the criteria defined in point (3)(b) and (c) of Section 1 as regards ►M1 Great Britain◄.

- (4) Potential economic, social and environmental impact of the pest

Based on the information available ►M1 ---- ◄, the pest would have an unacceptable economic, social and/or environmental impact on ►M1 Great Britain◄, if it established and spread in ►M1 Great Britain◄.

That impact shall include at least one or more of the direct effects listed under point (4)(a) to (g) of Section 1.

SECTION 4

Criteria to identify pests which qualify as a ►M1 GB ◄ regulated non-quarantine pest as referred to in Articles 36 and 38

- (1) Identity of the pest

The pest shall meet the criterion defined in point (1) of Section 1.

- (2) Probability of spread in ►M1 Great Britain◄ of the pest

The transmission of the pest shall be assessed to take place mainly via specific plants for planting, rather than via natural spread or via movement of plant products or other objects.

That assessment shall include, as appropriate, the following aspects:

- (a) the number of life cycles of the pest on the hosts concerned;
- (b) the biology, epidemiology and survival of the pest;
- (c) possible natural, human-assisted or other pathways for transmission of the pest to the host concerned and pathway efficiency, including mechanisms of dispersal and dispersal rate;
- (d) subsequent infestation and transmission of the pest from the host concerned to other plants and vice versa;
- (e) climatological factors;
- (f) cultural practices before and after harvest;
- (g) soil types;
- (h) susceptibility of the host concerned and relevant stages of host plants;
- (i) presence of vectors for the pest;
- (j) presence of natural enemies and antagonists of the pest;
- (k) presence of other hosts susceptible to the pest;
- (l) prevalence of the pest in ►M1 Great Britain◄;

- (m) intended use of the plants.
- (3) Potential economic, social and environmental impact of the pest
- Infestations of the plants for planting referred to in point (2) with the pest shall have an unacceptable economic impact on the intended use of those plants as regards one or more of the following points:
- (a) crop losses in terms of yield and quality;
 - (b) extra costs of control measures;
 - (c) extra costs of harvesting and grading;
 - (d) costs of replanting;
 - (e) losses due to the necessity of growing substitute plants;
 - (f) effects on existing production practices;
 - (g) effects on other host plants at the place of production;
 - (h) effects on the establishment, spread and impact of other pests due to the capacity of the pest concerned to act as a vector for those other pests;
 - (i) effects on producer costs or input demands, including control costs and costs of eradication and containment;
 - (j) effects on producer profits that result from changes in production costs, yields or price levels;
 - (k) changes to domestic or foreign consumer demand for a product resulting from quality changes;
 - (l) effects on domestic and export markets and prices paid;
 - (m) effects on employment.
-

[\(1\)](#) Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora ([OJ L 206, 22.7.1992, p. 7](#)).

[\(2\)](#) Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds ([OJ L 20, 26.1.2010, p. 7](#)).

ANNEX II
MEASURES AND PRINCIPLES FOR THE MANAGEMENT OF THE RISKS OF PESTS

SECTION 1

Measures to manage the risks of quarantine pests as referred to in Article 17(1), Article 21, Article 25(2), Article 28(4) ► M1 ----- ◄, Article 29(1), Article 30(5) ► M1 ----- ◄, ► M1 Article 40(3A), Article 41(3A), Article 53(3), Article 54(3A) ◄ Article 54(3) and Article 75(2)

The management of the risks of quarantine pests shall consist of one or more, as appropriate, of the following measures:

- (1) Measures targeting prevention and elimination of infestation of cultivated and wild plants
 - (a) Restrictions as regards the identity, nature, origin, ancestry, provenance and production history of cultivated plants.
 - (b) Restrictions on the cultivation, harvesting and use of plants.
 - (c) Restrictions on the use of plant products, premises, land, water, soil, growing media, facilities, machinery, equipment and other objects.
 - (d) Surveillance, visual examination, sampling and laboratory testing of plants, plant products, premises, land, water, soil, growing media, facilities, machinery, equipment and other objects for the presence of quarantine pests.
 - (e) Surveillance for breakdown or change in the effectiveness of a resistant plant species or plant variety which relates to a change in the composition of the quarantine pest or its biotype, pathotype, race or virulence group.
 - (f) Physical, chemical and biological treatment of plants, plant products, premises, land, water, soil, growing media, facilities, machinery, equipment and other objects, infested or potentially infested with quarantine pests.
 - (g) Destruction of plants, plant products and other objects infested or potentially infested with quarantine pests or for preventive purposes.
 - (h) Information, data recording, communication and reporting obligations.
 - (i) Registration of professional operators concerned.

For the purposes of point (b), those measures may include requirements with regard to the testing of plant species and plant varieties for resistance to the quarantine pest concerned and the listing of plant species and plant varieties found to be resistant to the quarantine pest concerned.

For the purposes of point (f), those measures may include requirements with regard to:

- (i) the registration, authorisation and official supervision of professional operators applying the treatment concerned;
- (ii) the issuance of a phytosanitary certificate, ► M1 UK ◄ plant passport, label or other official attestation for the treated plants, plants products or other objects and the placing of the mark referred to in Article 96(1) following the application of the treatment concerned.

- (2) Measures targeting consignments of plants, plants products and other objects
- (a) Restrictions on the identity, nature, origin, provenance, ancestry, production method, production history and traceability of plants, plant products and other objects.
 - (b) Restrictions on the introduction, movement, use, handling, processing, packaging, storage, distribution and destination of plants, plant products and other objects.
 - (c) Surveillance, visual examination, sampling, laboratory testing of plants, plant products and other objects for the presence of quarantine pests, including through subjection to quarantine procedures and pre-export inspections in third countries.
 - (d) Physical, chemical and biological treatment and, where appropriate, destruction of plants, plant products and other objects infested or potentially infested with quarantine pests.
 - (e) Information, data recording, communication and reporting obligations.
 - (f) Registration of professional operators concerned.

For the purposes of points (a) to (d), those measures may include requirements with regard to:

- (i) the issuance of a phytosanitary certificate, ► **M1 UK** ◀ plant passport, label or other official attestation, including the placing of the mark referred to in Article 96(1) to attest compliance with points (a) to (d);
 - (ii) the registration, authorisation and official supervision of professional operators applying the treatment referred to in point (d).
- (3) Measures targeting pathways for quarantine pests, other than consignments of plants, plant products or other objects
- (a) Restrictions on the introduction and movement of quarantine pests as a commodity.
 - (b) Surveillance, visual examination, sampling and laboratory testing and where appropriate destruction of quarantine pests as a commodity.
 - (c) Restrictions on plants, plant products and other objects carried by travellers.
 - (d) Surveillance, visual examination, sampling and laboratory testing and where appropriate treatment or destruction of plants, plant products and other objects carried by travellers.
 - (e) Restrictions on vehicles, packaging and other objects used in transport of commodities.
 - (f) Surveillance, visual examination, sampling and laboratory testing and where appropriate treatment or destruction of vehicles, packaging and other objects used in transport of commodities.
 - (g) Information, data recording, communication and reporting obligations.
 - (h) Registration of professional operators concerned.

SECTION 2

Principles for the management of the risks of pests as referred to in Article 17(1), Article 18(3), Article 21, Article 28(4) ► M1 ----- ◀, Article 29(1), Article 30(5) ► M1 ----- ◀, Article 31(1), Article 37 ► M1 (5C) ◀ and (8), ► M1 Article 40(3B), Article 41(3B), Article 49(2), Article 53(3A), Article 54(3B) ◀, Article 72(3), Article 74(3), Article 75(2), Article 79(3) and Article 80(3)

The management of the risks of ► M1 GB ◀ quarantine pests, ► M1 PFA ◀ quarantine pests and ► M1 GB ◀ regulated non-quarantine pests shall respect the following principles:

(1) Necessity

Measures to manage the risk of a pest shall be applied only where such measures are necessary to prevent the entry, establishment and spread of that pest.

(2) Proportionality

Measures taken to manage the risk of a pest shall be proportionate to the risk posed by the pest concerned and the level of protection that is required.

(3) Minimal impact

Measures taken to manage the risk of a pest shall represent the least restrictive measures available, and result in the minimum impediment to the international movement of people, commodities and conveyances.

(4) Non-discrimination

Measures taken to manage the risk of a pest shall not be applied in such a way as to constitute either a means of arbitrary or unjustified discrimination or a disguised restriction, particularly on international trade. They shall be no more stringent for third countries than measures applied to that same pest if present within ► M1 Great Britain ◀, if third countries can demonstrate that they have the same phytosanitary status and apply identical or equivalent phytosanitary measures.

(5) Technical justification

Measures taken to manage the risk of a pest shall be technically justified on the basis of conclusions reached by using an appropriate risk analysis or, where applicable, another comparable examination and evaluation of available scientific information. Those measures should reflect, and, where appropriate, be modified or removed to reflect, new or updated risk analysis or relevant scientific information.

(6) Feasibility

Measures taken to manage the risk of a pest should be such as to allow that the objective of those measures is likely achieved.

ANNEX III
CRITERIA TO ASSESS HIGH-RISK PLANTS, PLANT PRODUCTS OR OTHER OBJECTS AS
REFERRED TO IN ARTICLE 42

The criteria to be taken into account for the assessment referred to in Article 42 are the following:

- (1) as regards plants for planting other than seeds:
 - (a) they are introduced into the Union usually in the form of a shrub or tree or they are present in ►M1 Great Britain◄ in such form or are taxonomically related to such plants;
 - (b) they are collected in the wild or grown from plants collected in the wild;
 - (c) they are grown outdoors or grown from plants grown outdoors in the third countries, group of third countries or specific areas of third countries concerned;
 - (d) they are known to host commonly hosted pests known to have a major impact on plant species which are of major economic, social or environmental importance to ►M1 Great Britain◄;
 - (e) they are known to commonly harbour pests without signs and symptoms of those pests, or with a latent period for the expression of those signs or symptoms, implying that the presence of pests is likely to be missed during inspections at introduction into ►M1 Great Britain◄;
 - (f) they are perennial plants commonly traded as old plants;
- (2) as regards other plants, plant products or other objects:
 - (a) they are known to host and provide a significant pathway for commonly hosted pests known to have major impact on plant species which are of a major economic, social or environmental importance to ►M1 Great Britain◄;
 - (b) they are known to commonly harbour and provide a significant pathway for pests without signs and symptoms of those pests, or with a latent period for the expression of those signs or symptoms, implying that the presence of pests is likely to be missed during inspections at introduction into ►M1 Great Britain◄.

ANNEX IV

ELEMENTS TO IDENTIFY PLANTS OR PLANT PRODUCTS WHICH ARE LIKELY TO POSE NEWLY IDENTIFIED PEST RISKS OR OTHER SUSPECTED PHYTOSANITARY RISKS FOR ►M1 GREAT BRITAIN ◄, AS REFERRED TO IN ARTICLE 49

Plants or plant products from third countries shall be considered likely to pose pest risks for ►M1 Great Britain ◄, as referred to in Article 49(1), where those plants or plant products fulfil at least three of the following conditions, including at least one of the conditions provided in point (1)(a), (b) and (c):

- (1) Characteristics of the plants or plant products:
 - (a) they belong to, or are produced from, a plant genus or family known to commonly host pests regulated as quarantine pests in ►M1 Great Britain ◄ or in third countries;
 - (b) they belong to, or are produced from, a plant genus or family known to host commonly hosted pests known to have major impact on plant species grown in ►M1 Great Britain ◄ which have major economic, social or environmental importance to ►M1 Great Britain ◄;
 - (c) they belong to, or are produced from, a plant genus or family known to commonly harbour pests without signs and symptoms of those pests, or with a latent period for the expression of those signs or symptoms of at least three months, implying that the presence of pests on those plants or plant products is likely to be missed during official controls at introduction into ►M1 Great Britain ◄, without recourse to sampling and testing or submission to quarantine procedures;
 - (d) they are grown outdoors or grown from plants grown outdoors in the third countries of origin;
 - (e) they are not shipped in closed containers or packaging, or when shipped in such a way, the shipments because of their size cannot be opened in closed premises for purposes of official controls at introduction into ►M1 Great Britain ◄.
- (2) Origin of the plants or plant products:
 - (a) they originate from, or are moved from, a third country which is the source of repetitive notifications of interception of quarantine pests not listed ►M1 in Annex 2 to the Phytosanitary Conditions Regulation ◄;
 - (b) they originate from, or are moved from, a third country which is not a contracting party to the IPPC.

ANNEX V
CONTENTS OF PHYTOSANITARY CERTIFICATES FOR INTRODUCTION INTO ► M1 GREAT
BRITAIN ◀

PART A
Phytosanitary certificates for export as referred to in Article 76(1)

Model Phytosanitary Certificate

No _____

Plant Protection Organisation of _____

TO: Plant Protection Organisation(s) of _____

I. Description of Consignment

Name and address of exporter: _____

Declared name and address of consignee: _____

Number and description of packages: _____

Distinguishing marks: _____

Place of origin: _____

Declared means of conveyance: _____

Declared point of entry: _____

Name of produce and quantity declared: _____

Botanical name of plants: _____

This is to certify that the plants, plant products or other regulated articles described herein have been inspected and/or tested according to appropriate official procedures and are considered to be free from the quarantine pests specified by the importing contracting party and to conform with the current phytosanitary requirements of the importing contracting party, including those for regulated non-quarantine pests.

They are deemed to be practically free from other pests (*).

II. Additional Declaration

[Enter text here]

III. Disinfestation and/or Disinfection Treatment

Date _____ Treatment _____ Chemical (active ingredient) _____

Duration and temperature _____

Concentration _____

Additional information _____

Place of issue _____

(Stamp of Organisation) _____ Name of authorised officer _____

Date _____

(Signature)

No financial liability with respect to this certificate shall attach to _____ (name of Plant Protection Organisation) or to any of its officers or representatives (*).

(*). Optional clause

PART B
Phytosanitary certificates for re-export as referred to in Article 76(1)

Model Phytosanitary Certificate for Re-Export

No _____

Plant Protection Organisation of _____ (contracting party of re-export)

TO: Plant Protection Organisation(s) of _____ (contracting party(ies) of import)

I. Description of Consignment

Name and address of exporter: _____

Declared name and address of consignee: _____

Number and description of packages: _____

Distinguishing marks: _____

Place of origin: _____

Declared means of conveyance: _____

Declared point of entry: _____

Name of produce and quantity declared: _____

Botanical name of plants: _____

This is to certify that the plants, plant products or other regulated articles described above were imported into _____ (contracting party of re-export) from _____ (contracting party of origin) covered by Phytosanitary Certificate No _____,

(*) original ☐ (*) certified true copy ☐

of which is attached to this certificate; that they are

(*) packed ☐ (*) repacked ☐

in

(*) original ☐ (*) new ☐

containers, that based on the

(*) original phytosanitary certificate ☐

and

(*) additional inspection ☐

they are considered to conform with the current phytosanitary requirements of the importing contracting party, and that during storage in _____ (contracting party of re-export), the consignment has not been subjected to the risk of infestation or infection.

II. Additional Declaration

[Enter text here]

III. Disinfestation and/or Disinfection Treatment

Date _____ Treatment _____ Chemical (active ingredient) _____

Duration and temperature _____

Concentration _____

Additional information _____

Place of issue _____

(Stamp of Organisation) _____ Name of authorised officer _____

Date _____

(Signature)

No financial liability with respect to this certificate shall attach to _____ (name of Plant Protection Organisation) or to any of its officers or representatives (**).

(*) Insert tick in appropriate ☐ boxes
(**) Optional clause

ANNEX VI
CRITERIA TO IDENTIFY PLANTS REFERRED TO IN ARTICLE 73 WHICH DO NOT REQUIRE A
PHYTOSANITARY CERTIFICATE

The assessment referred to in Article 73 shall take into account the following criteria:

- (1) the plants do not host ► **M1** GB quarantine pests or provisional GB quarantine pests ◀ subject to measures adopted pursuant to Article 30, or commonly hosted pests which may impact on plant species grown in ► **M1** Great Britain ◀;
- (2) the plants have a history of compliance with the requirements for introduction into ► **M1** Great Britain ◀ relevant to the third country or countries of origin;
- (3) no indication of outbreak(s) is linked to the introduction of the plants concerned from one or more third countries, and those plants have not been subject to repetitive interceptions of ► **M1** GB quarantine pests or provisional GB quarantine pests ◀ during the introduction into ► **M1** Great Britain ◀.

ANNEX VII
► M1 UK ◀ PLANT PASSPORTS

PART A

► M1 UK ◀ plant passports ► M1 ----- ◀ as referred to in the first subparagraph of Article 83(2)

- (1) The ► M1 UK plant passport for the movement of plants, plant products and other objects within Great Britain, the introduction of plants, plant products and other objects into Great Britain from a CD territory or the introduction of plants, plant products and other objects into a CD territory from Great Britain ◀ shall contain the following elements:
- (a) ► M1 the words 'UK Plant Passport' in its upper right-hand corner in English ◀;
 - (b) ► M1 ----- ◀
 - (c) the letter 'A.', followed by the botanical name of the plant species or taxon concerned, in the case of plants and plant products, or, where appropriate, the name of the object concerned, and, optionally, the name of the variety;
 - (d) the letter 'B.', followed by ► M1 ----- ◀ the registration number of the professional operator concerned who issues the ► M3 UK ◀ plant passport or for whom the ► M3 UK ◀ plant passport is issued by the competent authority ► M1 or the CD authority ◀;
 - (e) the letter 'C.', followed by the traceability code of the plant, plant product or the other object concerned;
 - (f) the letter 'D.', where applicable followed by:
 - (i) the name of the third country of origin, or
 - (ii) ► M1 if originating in or from Great Britain or a CD territory, 'GB'; ◀
 - M3 (g) the letter 'E.', where applicable followed by 'GB(NI)'. ◀
- (2) The traceability code referred to in point (1)(e) may also be supplemented by a reference to a unique traceability barcode, hologram, chip or other data carrier, present on the trade unit.

PART B

► M1 UK plant passports for movement into GB pest-free areas and CD pest-free areas, as referred to in Article 83(4) ◀

- (1) The ► M1 UK ◀ plant passport for movement into and within ► M1 GB pest-free areas and for movement into CD pest-free areas ◀ shall contain the following elements:
- (a) ► M1 the words 'UK Plant Passport — PFA' in its upper right-hand corner in English; ◀
 - (b) immediately underneath those words, the scientific name(s) or the code(s) of the respective ► M1 PFA quarantine pest(s) or CD quarantine pest(s) ◀;
 - (c) ► M1 ----- ◀
 - (d) the letter 'A.', followed by the botanical name of the plant species or taxon concerned, in the case of plants and plant products, or, where appropriate, the name of the object concerned and, optionally, the name of the variety;

- (e) the letter 'B.', followed by ► **M1** ----- ◄ the registration number of the professional operator concerned who issues the ► **M3** UK ◄ plant passport or for whom the ► **M3** UK ◄ plant passport is issued by the competent authority ► **M1** or the CD authority ◄;
 - (f) the letter 'C.', followed by the traceability code of the plant, plant product or the other object concerned;
 - (g) the letter 'D.', where appropriate followed by:
 - (i) the name of the third country of origin, or
 - (ii) ► **M1** if originating in or from Great Britain or a CD territory, 'GB' ◄, in the case of replacement of ► **M3** a UK ◄ plant passport, the registration number of the professional operator concerned who issued the initial ► **M3** UK ◄ plant passport or for whom the initial ► **M3** UK ◄ plant passport was issued by the competent authority as referred in Article 93(1) and (2);
 - **M3** (h) the letter 'E.', where applicable followed by 'GB(NI)' ◄.
- (2) The traceability code referred to in point (1)(f) may also be supplemented by a reference to a unique traceability barcode, hologram, chip or other data carrier, present on the trade unit.

PART C

► **M1** UK ◄ plant passports ► **M1** ----- ◄, combined with a certification label, as referred to in the second subparagraph of Article 83(5)

- (1) The ► **M1** UK plant passport for the movement of plants, plant products and other objects within Great Britain, the introduction of plants, plant products and other objects into Great Britain from a CD territory or the introduction of plants, plant products and other objects into a CD territory from Great Britain ◄, combined in a joint label with the official label for seeds or other propagating material referred to ► **M1** in the relevant legislation on the marketing of seeds and other propagating material ◄, shall contain the following elements:
- (a) ► **M1** the words 'UK Plant Passport' in its upper right-hand corner in English ◄;
 - (b) ► **M1** ----- ◄.
- The ► **M1** UK ◄ plant passport shall be positioned in the joint label immediately above, and have the same width as, that official label.
- (2) Point (2) of Part A shall apply accordingly.

PART D

► **M1** UK ◄ plant passports for movement into and within ► **M1** GB pest-free areas and for movement into CD pest-free areas ◄, combined with a certification label, as referred to in the third subparagraph of Article 83(5)

- (1) The ► **M1** UK plant passport for movement into and within GB pest-free areas and for movement into CD pest-free areas ◄, combined in a joint label with the official label for seeds or other propagating material referred to ► **M1** in the relevant legislation on the marketing of seeds and other propagating material ◄, shall contain the following elements:
- (a) the words '► **M1** UK ◄ plant passport— PZ' in the upper right-hand corner of the joint label in one of the official languages of the Union and in English, if different, separated by a slash;

(b) immediately underneath those words, the scientific name(s) or code(s) of the ► **M1** PFA quarantine pest(s) or CD quarantine pest(s) ◀ concerned;

(c) ► **M1** ----- ◀.

The ► **M1** UK ◀ plant passport shall be positioned in the joint label immediately above, and have the same width as, that official label or, where applicable, that master certificate.

(2) Point (2) of Part B shall apply accordingly.

ANNEX VIII
CONTENTS OF PHYTOSANITARY CERTIFICATES FOR EXPORT, RE-EXPORT AND PRE-EXPORT AS REFERRED TO IN ARTICLE 100(3), ARTICLE 101(4) AND ARTICLE 102(6)

PART A


Phytosanitary certificates for export as referred to in Article 100(3)

1. The phytosanitary certificate for movement out of the Union territory, for the purpose of export to a third country, shall contain the following elements:
 - (a) the words 'Phytosanitary certificate', followed by subsequently:
 - (i) the letters 'EU';
 - (ii) the two-letter code, referred to in point (a) of Article 67, for the Member State in which the professional operator requesting the issuance of the phytosanitary certificate for export is registered;
 - (iii) a slash;
 - (iv) a unique identification code for the certificate, consisting of numbers or a combination of letters and numbers, the letters representing, as applicable, the province and district of the Member State where the certificate is issued;
 - (b) the words 'Name and address of exporter', followed by the name and address of the registered operator, or private person, requesting the issuance of the phytosanitary certificate for export;
 - (c) the words 'Declared name and address of consignee', followed by the declared name and address of the consignee;
 - (d) the words 'Plant Protection Organisation of', followed by the name of the Member State of which the plant protection organisation issues the certificate, and subsequently the words 'to the Plant Protection Organisation(s) of', followed by the name or, as applicable, names of the country or, as applicable, countries of destination;
 - (e) the words 'Place of origin', followed by the place or places of origin of the plants, plant products or other objects included in the consignment for which the certificate is issued. In all cases, the name of the country or countries of origin should be stated;
 - (f) an unnumbered box, reserved for the EU logo. Optionally, other official logos can be added;
 - (g) the words 'Declared means of conveyance', followed by the declared means of conveyance of that consignment;
 - (h) the words 'Declared point of entry', followed by the declared point of entry into the country of destination of that consignment;
 - (i) the words 'Distinguishing marks; number and description of packages; name of produce; botanical name of plants', followed by a description of the consignment including botanical name of plants or the name of the produce, distinguishing marks, and the number and type of packages included in the consignment;
 - (j) the words 'Quantity declared', followed by the quantity of the plants, plant products or other objects included in that consignment, expressed by number or weight;

- (k) the words 'This is to certify that the plants, plant products or other regulated articles described herein have been inspected and/or tested according to appropriate official procedures and are considered to be free from the quarantine pests specified by the importing contracting party and to conform with the current phytosanitary requirements of the importing contracting party, including those for regulated non-quarantine pests'. Optionally, the following clause may be added: 'They are deemed to be practically free from other pests.';
 - (l) the words 'Additional declaration', followed by the additional declaration referred to in Article 71(2) and the statement referred to in Article 71(3) and, optionally, any further phytosanitary information relevant to the consignment. If there is insufficient space for the whole of the additional declaration, an attachment may be added. The information in the attachment should only include what is required on the phytosanitary certificate. All the pages of the attachment should bear the number of the phytosanitary certificate and should be dated, signed and stamped in the same manner as required for the phytosanitary certificate. The phytosanitary certificate should refer to any attachments in the appropriate section;
 - (m) the words 'Disinfestation and/or disinfection treatment';
 - (n) the word 'Treatment', followed by the treatment that has been applied to that consignment;
 - (o) the words 'Chemical (active ingredient)', followed by the active ingredient of the chemical used for the treatment referred to in point (n);
 - (p) the words 'Duration and temperature', followed by the duration and, where applicable, temperature of that treatment;
 - (q) the word 'Concentration', followed by the concentration of that chemical reached during that treatment;
 - (r) the word 'Date', followed by the date on which that treatment was applied;
 - (s) the word 'Additional information', followed by any additional information that the competent authority wishes to include in the certificate;
 - (t) the words 'Place of issue', followed by the place of issuance of the phytosanitary certificate;
 - (u) the word 'Date', followed by the date of issuance of the phytosanitary certificate;
 - (v) the words 'Name and signature of authorised officer', followed by the name and signature of the officer issuing and signing the phytosanitary certificate;
 - (w) the words 'Stamp of organisation', followed by the official stamp of the competent authority issuing the phytosanitary certificate; and
 - (x) optionally, the sentence 'No financial liability with respect to this certificate shall attach to (name of Plant Protection Organisation) or to any of its officials or representatives' may be added on the certificate below the frame.
2. Where the phytosanitary certificate is not issued electronically, the paper used shall contain a watermark, embossed seal or embossed logo determined by the competent authority that signs

the certificate. The colour of the preprinted text shall be green except for the number of the original certificate as referred to in point (a)(iv) of paragraph 1, which may be in another colour.

Model phytosanitary certificate for export

<p>1. Name and address of exporter</p>	<p>2.</p> <p align="center">PHYTOSANITARY CERTIFICATE</p> <p align="center">No EU XX /00000000</p>	
<p>3. Declared name and address of consignee</p>	<p>4. Plant Protection Organisation of</p> <p align="center">to Plant Protection Organisation(s) of</p>	
	<p>5. Place of origin</p>	
<p>6. Declared means of conveyance</p>		
<p>7. Declared point of entry</p>		
<p>8. Distinguishing marks; number and description of packages; name of produce; botanical name of plants</p>		<p>9. Quantity declared</p>

10. This is to certify that the plants, plant products or other regulated articles described herein have been inspected and/or tested according to appropriate official procedures and are considered to be free from the quarantine pests specified by the importing contracting party and to conform with the current phytosanitary requirements of the importing contracting party, including those for regulated non-quarantine pests.

They are deemed to be practically free from other pests (*).

[Home](#)
[About Us](#)
[Contact Us](#)
[Privacy Policy](#)
[Terms of Service](#)

DISINFESTATION AND/OR DISINFECTION TREATMENT
--

18. Place of issue

12. Treatment	
---------------	--

Name and signature of authorised officer

13. Chemical (active ingredient)

14. Duration and temperature

Stamp of organisation

15. Concentration

16. Date

17. Additional information

(*) optional clause

PART B

Phytosanitary certificates for re-export as referred to in Article 101(4)

1. The phytosanitary certificate for movement out of the Union territory, for the purpose of re-export to a third country, shall contain the following elements:
 - (a) the words 'Phytosanitary certificate for re-export', followed by subsequently:
 - (i) the letters 'EU';
 - (ii) the two-letter code, referred to in point (a) of Article 67, for the Member State in which the professional operator requesting the issuance of the phytosanitary certificate for re-export is registered;
 - (iii) a slash; and
 - (iv) a unique identification code for the certificate, consisting of numbers or a combination of letters and numbers, the letters representing, as applicable, the province and district of the Member State where the certificate is issued;
 - (b) the words 'Name and address of exporter', followed by the name and address of the registered operator requesting the issuance of the phytosanitary certificate for re-export;
 - (c) the words 'Declared name and address of consignee', followed by the declared name and address of the consignee;
 - (d) the words 'Plant Protection Organisation of', followed by the name of the Member State of which the plant protection organisation issues the certificate, and subsequently the words 'to the Plant Protection Organisation(s) of', followed by the name or, as applicable, names, of the country or, as applicable, countries of destination;
 - (e) the words 'Place of origin', followed by the place or places of origin of the plants, plant products or other objects included in the consignment for which the certificate is issued. In all cases, the name of the country or countries of origin should be stated;
 - (f) an unnumbered box, reserved for the EU logo. Optionally, other official logos can be added;
 - (g) the words 'Declared means of conveyance', followed by the declared means of conveyance of that consignment;
 - (h) the words 'Declared point of entry', followed by the declared point of entry into the country of destination of that consignment;
 - (i) the words 'Distinguishing marks; number and description of packages; name of produce; botanical name of plants', followed by a description of the consignment including botanical name of plants or the name of the produce, distinguishing marks, and the number and type of packages included in the consignment;
 - (j) the words 'Quantity declared', followed by the quantity of the plants, plant products or other objects included in that consignment, expressed by number or weight;
 - (k) the following text:

'This is to certify


- that the plants, plant products or other regulated articles described above were imported into ...(country/ contracting party of re-export) from ...(country/ contracting party of origin) covered by phytosanitary certificate No ...
 - ☐ original ☐ certified true copy of which is attached to this certificate,
- that they are
 - ☐ packed ☐ repacked
 in
 - ☐ original ☐ new containers,
- that based on the
 - ☐ original phytosanitary certificate and
 - ☐ additional inspection,
 they are considered to conform with the current phytosanitary requirements of the importing country/contracting party, and
- that during storage in ...(contracting party of re-export) the consignment has not been subjected to the risk of infestation or infection.'

in which text the required information shall be filled and the applicable boxes ticked;

- (l) the words 'Additional declaration', followed by the additional declaration referred to in Article 71(2) and the statement referred to in Article 71(3) and, optionally, any further phytosanitary information relevant to the consignment. If there is insufficient space for the whole of the additional declaration, an attachment may be added. The information in the attachment should only include what is required on the phytosanitary certificate. All the pages of the attachment should bear the number of the phytosanitary certificate and should be dated, signed and stamped in the same manner as required for the phytosanitary certificate. The phytosanitary certificate should refer to any attachments in the appropriate section;
- (m) the words 'Disinfestation and/or disinfection treatment';
- (n) the word 'Treatment', followed by the treatment that has been applied to that consignment;
- (o) the words 'Chemical (active ingredient)', followed by the active ingredient of the chemical used for the treatment referred to in point (n);
- (p) the words 'Duration and temperature', followed by the duration and, where applicable, temperature of that treatment;
- (q) the word 'Concentration', followed by the concentration of that chemical reached during that treatment;
- (r) the word 'Date', followed by the date on which that treatment was applied;
- (s) the word 'Additional information', followed by any additional information that the competent authority wishes to include in the certificate;

- (t) the words 'Place of issue', followed by the place of issuance of the phytosanitary certificate;
 - (u) the word 'Date', followed by the date of issuance of the phytosanitary certificate;
 - (v) the words 'Name and signature of authorised officer', followed by the name and signature of the officer issuing and signing the phytosanitary certificate;
 - (w) the words 'Stamp of organisation', followed by the official stamp of the competent authority issuing the phytosanitary certificate; and
 - (x) optionally, the sentence 'No financial liability with respect to this certificate shall attach to (name of Plant Protection Organisation) or to any of its officials or representatives' may be added on the certificate below the frame.
2. Where the phytosanitary certificate is not issued electronically, the paper used shall contain a watermark, embossed seal or embossed logo determined by the competent authority that signs the certificate. The colour of the preprinted text shall be brown except for the number of the original certificate as referred to in point (a)(iv) of paragraph 1, which may be in another colour.

Model phytosanitary certificate for re-export

<p>1. Name and address of exporter</p>	<p>2.</p> <p align="center">PHYTOSANITARY CERTIFICATE FOR RE-EXPORT</p> <p align="center">No EU XX/00000000</p>	
<p>3. Declared name and address of consignee</p>	<p>4. Plant Protection Organisation of</p> <p>to Plant Protection Organisation(s) of</p>	
	<p>5. Place of origin</p>	
<p>6. Declared means of conveyance</p>		
<p>7. Declared point of entry</p>		
<p>8. Distinguishing marks; number and description of packages; name of produce; botanical name of plants</p>		<p>9. Quantity declared</p>

10. This is to certify

— that the plants, plant products or other regulated articles described above were imported into (country/contracting party of re-export)
from (country/contracting party of origin) covered by phytosanitary certificate No

(*) ☐ original ☐ certified true copy of which is attached to this certificate;

— that they are

(*) ☐ packed ☐ repacked in ☐ original ☐ new containers

— that based on the

(*) ☐ original phytosanitary certificate ☐ and additional inspection,

they are considered to conform with the current phytosanitary requirements of the importing country/contracting party, and

— that during storage in (country/contracting party of re-export) the consignment has not been subjected to the risk of infestation or infection.

(*) Insert tick in the appropriate boxes

11. Additional declaration

DISINFESTATION AND/OR DISINFECTION TREATMENT

12. Treatment

13. Chemical (active ingredient)

14. Duration and temperature

15. Concentration

16. Date

17. Additional information

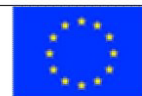
18. Place of issue

Date

Name and signature of authorised officer

Stamp of organisation

PART C
Pre-export certificates as referred to in Article 102(6)
Model pre-export certificate



1. PRE-EXPORT CERTIFICATE

[No EU/Code Member State/Internal individual reference number]

This document is issued by the competent authority of an EU Member State according to [Regulation on protective measures against pests of plants] on request of a professional operator in order to communicate to competent authorities of EU Member States that certain phytosanitary procedures have been applied.

2. Name of Member State of origin and name of the declaring competent authority [and if wanted logo of competent authority of origin]

3. Professional operator

4. Description of the consignment

5. Quantity declared

6. The consignment as described above:

[Boxes(A-G) of applicable options to be ticked combined with information under 'pest specifications']

- ☐ Comply with the specific requirements of EU Regulation on protective measures against pests of plants: [List the publishing number of the relevant implementing act (for the consignment concerned) adopted pursuant to Article 41 and the applicable alternative of the specific requirements that is certified as being complied with.]
- ☐ have been inspected according to an appropriate official procedure: [if necessary list procedure], and found free from (A)
- ☐ have been tested according to an appropriate official procedure: [if necessary list procedure], and found free from (B)
- ☐ originate in a field, officially recognised as being free from (C)
- ☐ originate in a production site, officially recognised as being free from (D)
- ☐ originate in a place of production, officially recognised as being free from (E)
- ☐ originate in an area, officially recognised as being free from (F)
- ☐ originate in a country, officially recognised as being free from (G)

Pest specifications and identification of field/production site/area (with ref. to (A)-(G) above as appropriate):

7 Other official information

[e.g. related to phytosanitary import requirements, treatment of consignment, etc.]

8 Place of issue:

Contact details (phone/email/fax):

Date:

9 Name and signature of the authorised officer

(Stamp of the competent authority)

ANNEX IX CORRELATION TABLE

Council Directive 69/464/EEC	This Regulation
Article 1	Article 28(1)
Article 2	Article 28(1)(e)
Articles 3, 4 and 5	Article 28(1)(d)
Article 6	Article 28(1)(f)
Article 7	—
Article 8	Article 8
Article 9	Article 31(1)
Articles 10 and 11	Article 28(1)(d)
Articles 12 and 13	—
 Council Directive 93/85/EEC	 This Regulation
Article 1	Article 28(1)
Article 2	Article 28(1)(g)
Article 3	Articles 14(1) and 15(1)
Articles 4 to 8	Article 28(1)(a) to (d)
Article 9	—
Article 10	Article 8
Article 11	Article 31
Article 12	Article 28(1)
Articles 13 to 15	—
Annexes I to V	Article 28(1)
 Council Directive 98/57/EC	 This Regulation
Article 1	Article 28(1)
Article 2	Article 28(1)(g)
Article 3	Articles 14(1) and 15(1)
Article 4 to 7	Article 28(1)(a) to (c)
Article 8	—
Article 9	Article 8
Article 10	Article 31

Article 11	Article 28(1)
Articles 12 to 14	—
Annexes I to VII	Article 28(1)
 Council Directive 2007/33/EC	 This Regulation
Article 1	Article 28(1)
Articles 2 and 3	Article 28(1) and (2)
Articles 4 to 8	Article 28(1)(g)
Articles 9 to 13	Article 28(1) and (2)
Article 14	Article 8
Article 15	Article 31
Article 16	Article 28(1)
Article 17	Article 107
Articles 18 to 20	—
Annexes I to IV	Article 28(1)
 Council Directive 2000/29/EC	 This Regulation
Article 1(1)	Article 1(1) and (2)
Article 1(2)	—
Article 1(3)	Article 1(3)
Article 1(4)	— (*)
Article 1(5) and (6)	—
Article 2(1)(a)	Article 2, point (1)
Article 2(1)(b)	Article 2, point (2), first subparagraph
Article 2(1)(c)	Article 2, point (3)
Article 2(1)(d)	Article 2, point (4)
Article 2(1)(e)	Article 1(1) and (2)
Article 2(1)(f)	Article 78
Article 2(1)(g)	— (*)
Article 2(1)(h)	Articles 32 to 35
Article 2(1)(i), first subparagraph	Article 76 (*)
Article 2(1)(i), second and third subparagraphs	— (*)
Article 2(1)(j) to (n)	— (*)

Article 2(1)(o)	Article 2, point (7)
Article 2(1)(p), (q) and (r)	— (*)
Article 2(2)	Article 2, point (2), second subparagraph
Article 3(1)	Article 5(1)
Article 3(2) and (3)	Articles 5(1), 37(1) and 41(1)
Article 3(4)	Articles 5(1) and 37(1)
Article 3(5)	Articles 32(2) and 54(1)
Article 3(6)	Articles 5(2) and 32(3)
Article 3(7)	Articles 5(2) and (3), 28(1) and 37(2)
Articles 3(8) and (9)	Articles 8, 39, 48 and 58
Article 4(1)	Article 40(1)
Article 4(2)	Article 53(1)
Article 4(3)	—
Article 4(4)	—
Article 4(5)	Articles 8, 48 and 58
Article 4(6)	Article 46
Article 5(1)	Articles 40(1) and 41(1)
Article 5(2)	Article 53(1)
Article 5(3)	Articles 40(3) and 53(3)
Article 5(4)	Articles 41(1) and 75
Article 5(5)	Articles 8, 48 and 58
Article 5(6)	Article 46
Article 6(1) to (4)	Article 87(1), (2) and (3)
Article 6(5), first and second subparagraphs	Article 87(1), (2) and (3)
Article 6(5), third subparagraph	Articles 65 and 68
Article 6(5), fourth subparagraph	Article 9(3)
Article 6(5), fifth subparagraph	Article 81
Article 6(6)	Articles 65 and 69
Article 6(7)	Article 81
Article 6(8), first indent	—
Article 6(8), second indent	Article 57
Article 6(8), third indent	Article 87(4)
Article 6(8), fourth indent	Articles 66, 69 and 90

Article 6(8), fifth indent	—
Article 6(8), sixth indent	Article 81
Article 6(9)	Article 66
Article 10(1)	Articles 78, 83(5), 85, 86 and 87
Article 10(2)	Articles 79, 80 and 81
Article 10(3)	Article 93
Article 10(4), first indent	Article 83(7) and (8)
Article 10(4), second, third and fourth indent	—
Article 11(1)	Article 87(1)
Article 11(2)	—
Article 11(3)	— (*)
Article 11(4)	Article 92(2) and (3)
Article 11(5)	Article 92(2) and (3)
Article 12(1)	— (*)
Article 12(2)	Articles 69(4), 93(5) and 95(3) (*)
Article 12(3)	— (*)
Article 12(4)	Articles 41(4) and 95(5) (*)
Article 13(1) and (2)	Article 76(5) (*)
Article 13(3) and (4)	— (*)
Article 13a(1) and (2)	— (*)
Article 13a(3)	Article 76 (*)
Article 13a(4)	Article 76 (*)
Article 13a(5)	— (*)
Article 13b	— (*)
Article 13c(1)(a)	— (*)
Article 13c(1)(b)	Article 65 (*)
Article 13c(1)(c)	— (*)
Article 13c(2) to (4)	— (*)
Article 13c(6)	Article 94 (*)
Article 13c(7)	Article 77 (*)
Article 13c(8)	Articles 40(4), 41(4), 53(4), 54(4) and 103 (*)
Article 13d	— (*)
Article 13e	Articles 100 and 101

Article 14	Articles 5(3) and (4), 32(3), 37(2) and (3), 40(2), 41(2), 53(3), 54(3), 72(2) and (3), 74(2) and (3), 79(2) and (3) and 80(2) and (3)
Article 15(1)	Article 41(3), first subparagraph
Article 15(2)	Article 41(3), second subparagraph
Article 15(3)	Article 71(3)
Article 15(4)	—
Article 16(1)	Article 9(1) and (2) and Article 17
Article 16(2), first subparagraph	Article 29
Article 16(2), second and third subparagraph	Article 13
Article 16(2), fourth subparagraph	—
Article 16(3)	Article 30
Article 16(4)	Articles 28(1), 30(1) and 49(1)
Article 16(5)	Articles 28(6), 30(7) and 49(4)
Article 18	Article 107
Article 20	—
Article 21(1) to (5)	— (*)
Article 21(6)	Article 103
Article 21(7) and (8)	—
Article 27	—
Article 27a	— (*)
Article 28 and 29	—
Annex I, Part A	Article 5(2)
Annex I, Part B	Article 32(3)
Annex II, Part A, Section I	Article 5(2)
Annex II, Part A, Section II	Article 37(2)
Annex II, Part B	Article 32(3)
Annex III, Part A	Article 40(2)
Annex III, Part B	Article 53(2)
Annex IV, Part A	Article 41(2)
Annex IV, Part B	Article 54(2)
Annex V, Part A, Point I	Article 79(1)
Annex V, Part A, Point II	Article 80(1)

Annex V, Part B, Point I	Article 72
Annex V, Part B, Point II	Article 74
Annex VI	—
Annex VII	Annex VIII
Annex VIII	—
Annex VIIIa	— (*)
Annex IX	—
(*) See Article 109(1).	